



41 Campus Drive, Suite 203  
New Gloucester, ME 04260

## Investment Management Services

July 14, 2023

**Belgrade Town Office**  
**990 Augusta Road**  
**Belgrade, ME 04917**

**Attention:** Lorna Dee Nichols, Town Manager

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**1) Brief description of the investment management firm’s ownership, including identification of any affiliated companies, to include:**

**a. Year organized**

*Harpowell Capital Management* was established in 2006 and successfully managed a fund for a global roster of non-profit investors, including the Ontario Teachers’ Pension. In 2013, the Firm evolved into *Harpowell Capital Advisors, LLC*, a privately held organization that then adopted its Outsourced Chief Investment Office (OCIO) endowment management model as an asset management paradigm dedicated to serving not-for-profit institutions to service organization just like the Town of Belgrade.

**b. Number of clients**

Harpowell has partnered with 52 clients. As of June 30, 2023, Harpswell’s AUM was \$421.5 MM.

**c. Type of clientele (defined by industry and size of portfolio)**

Type of Client	Number of Clients	AUM (\$MM)
Individuals	18	\$ 27.2
Charitable Organizations	29	\$ 203.5
State/Municipal Gov't	5	\$ 190.7
<b>Total</b>	<b>52</b>	<b>\$ 421.5</b>

**d. Number of staff (investment-related staff only)**

Harpowell operates as a flat organization with three investment professionals and a collaborative approach to all functions associated with our investment services. This approach helps the Firm optimize efficiencies as well as minimize the impact relating to the potential lack of availability of any team member. Harpswell's CIO will be the Town of Belgrade’s point person. Harpswell’s other members of the investment team have their CFA, have previously served as leaders for \$1B+ portfolios and are skillfully qualified to serve.

Furthermore, Harpswell’s Advisory Board are global leaders in the investment and endowment world. They are an invaluable resource and bring a high level of insight and guidance that help the Harpswell team formulate investment strategies. They review each portfolio, along with all managers, every quarter. These experts are committed to Harpswell because they see the impact our Firm has on the nonprofits we serve.

**e. Assets under management**

Our current AUM is \$407,350,000 with \$376,290,000 being institution/foundations.

**f. Location of corporate headquarters**

Harpowell Capital Advisors is comprised of a single office located just north of Portland, Maine, on the Pineland Farms campus. The physical address is Harpswell Capital Advisors, LLC, 41 Campus Drive, Suite 203 New Gloucester, ME 04260.

**g. Discussion of any past or present litigation or regulatory actions against your firm.**

Harpowell is not, nor has ever been involved in any litigation. Harpswell Capital Advisors, LLC, nor its registered employees and Principals, have not had or are currently involved in any SEC, legal or regulatory action, nor any business litigation, nor have we experienced other legal proceedings or government investigation involving allegations of fraud, negligence, criminal activity, or breach of fiduciary duty relating to investment consulting activities.

**h. Qualifications of principals and professional staff (specify those individuals who will be directly responsible for the account)**

John (Jack) Moore, CFA

**Title:** Chief Investment Officer | Managing Partner

**Role:** Harpswell's Chief Investment Officer, takes the lead on key client relationships

**Years of institutional investment experience:** > 25

**Years with the Firm:** Founder, (2006 - Harpswell Capital Management)

**Education:** In addition to his earning the CFA designation, he holds a Master of Science degree from the London School of Economics and a Bachelor of Arts degree from Hobart College.

Jack was a principal with *The Vanguard Group* where he helped manage several of Vanguard's bond funds and participated in the Chairman's senior strategy efforts. This accounts for Vanguard's former Chief Investment Officer currently serving on Harpswell's Advisory Board. Prior to joining Vanguard, Jack was a Vice President and Portfolio Manager with the hedge fund *Bryn Mawr Capital*, overseeing all of that Firm's investments in energy and basic materials. Prior to that position, Jack served as a fixed income Analyst at *MetLife*.

Before working in the private sector, Jack served as an Expert in Finance at the *Department of Energy* (FERC) and was an Assistant to Senate Majority Leader, George J. Mitchell. Jack undertakes many civic obligations within the community. For decades, he has served on numerous Boards for several educational and arts-oriented institutions and is currently a guardian ad litem (GAL) for foster children in the Maine Court System.

Vanessa Bryant

**Title:** Vice President

**Role:** Oversees client relations, operations, marketing and compliance

**Years of institutional investment experience:** > 10

**Years with the Firm:** > 8

**Education:** University of Maine - BA Business Economics

Vanessa oversees all non-investment client relationships and Firm management related matters. She is an expert with over 20 years of experience in spearheading complex operations initiatives and building intricate business infrastructures. Anticipating Harpswell's industry's needs, Mrs. Bryant analyzes current and emerging regulatory issues and assesses the implications for the activities of the Firm. With a focus on areas relating to enterprise and operational risk management, she is the liaison between the Firm and its regulators.



Providing an advisory based vision, Vanessa ensures that Harpswell has effective operational controls, sound reporting procedures and competent team members in place.

Before joining Harpswell, Vanessa founded and successfully managed *Invision Ink Promotions*, a marketing and advertising Firm serving several Fortune 500 companies including *TD Banknorth*, *Mechanics Savings Bank*, *Schering-Plough* and *Gillette*. Prior to *Invision Ink*, Mrs. Bryant specialized in business development and was the Operations & Marketing Manager at *The Saltwater Institute*, a nonprofit founded on the principles created by Tom Chappell, founder of *Tom's of Maine*.

Claude Pierre, CPA

**Title:** Vice President, investment advisor

**Role:** Developing integrated investment solutions

**Years of institutional investment experience:** > 30

**Years with the Firm:** > 8

**Education:** Mr. Perrier holds a Bachelor of Science degree from Rutgers University, and a Master of Business Administration from Pace University.

Claude joined Harpswell in 2015. He specializes in the development and implementation of Investment policies and brings considerable expertise in asset allocations and the evaluation and Selection of investment managers and funds. Claude also works on manager due diligence and Performance analyses.

Prior to joining Harpswell, Claude was a Director of Advisory Services at *CTC | myCFO*, which served over 300 institutions and families across the globe advising and managing more than \$41 billion in assets while serving as a wealth consultant to families with \$100MM-\$700MM in investable assets. Before joining *CTC*, Claude was a consultant and co-managed the investment manager research and hedge fund departments at *Greycourt & Co*. He was also a Partner and Director of Research at *New England Pension Consultants (NEPC)*, where he focused his expertise on investment asset allocations and alternative investments. Claude was also Executive Director of the *Maine State Retirement System* where he supervised all investment and administrative activities for the \$5.5 billion fund.

Timothy Paylor, CFA

**Title:** Investment Analyst

**Role:** Endowment performance, positioning, portfolios implementation

**Years of institutional investment experience:** > 5

**Years with the Firm:** > 2

**Education:** Mr. Paylor received his Bachelor's in economics from the University of Maine and graduated from the Arabic Language Program at the Presidio of Monterey in California.

Tim focuses on endowment performance and positioning the implementation of portfolios in accordance with each client's investment policies. Mr. Paylor is responsible for generating Harpswell's comprehensive, transparent reporting for all investment committees. He completes thorough asset allocation studies highlighting the sustainability of endowments under a variety of assumptions and scenarios regarding distributions and portfolio composition.

He also contributes to the drafting of formal investment policy statements, and continually works to enhance and streamline Harpswell's portfolio maintenance and reporting while contributing to the analysis and monitoring of outside managers.

Mr. Paylor served in the United States Air Force as an Arabic Language Analyst providing translations and analysis to various customers in the intelligence community. He left the Air Force in 2011 with the rank of Staff Sergeant.

**i. Number of year(s) of experience in investment management**

John P. Moore, CFA, Managing Partner and Claude Pierre, CPA have over 40 years of experience in investment management. Harpswell Capital Advisors, LLC is celebrating its 10<sup>th</sup> year.

**j. Discussion of investment philosophy**

Harpowell's investment team starts with a thorough asset allocation study for each client during their transitions to the Firm. This study is the foundation for asset allocation and portfolio construction. The study highlights the sustainability and earnings of the endowment and helps Boards and committees conceptualize how their policies lend to success in meeting their institution's goals. Harpswell believes in combining the low-cost and diversification merits of passive (index) funds with the expertise of our roster of high-conviction concentrated managers. In some areas, passive funds are the best path to diversification and optimal returns; while the Firm believes active management has the best opportunity to consistently generate better results in other segments of the market. Harpswell's endowments tend to be 30-65% passive with a mean very close to 50%. Currently, Harpswell's allocation to active management is at the high end of the range as the Firm's managers can deploy strategies aimed at better capital preservation and risk reduction.

Identifying tactical strategies can generate premium returns, yet more *value added* comes more from the discretion in knowing the limits of such efforts and recognizing how shifts may alter a portfolio's risk profile. The Firm obsesses over challenging these ideas and we deploy moderate tactical positions aimed at fundamental reasoning rather than value traps or perceived opportunities associated more with a change in price.

Another strategy aimed at institutions like the Town of Belgrade is our efforts on the fixed income (bond) side of the portfolio. When interest rates rise, bond funds tend to lose value. Harpswell works hard to reduce each and every nonprofit's interest rate risk. Furthermore, the correlation risk of the stock market and bond market has increased over the last decade, and Harpswell is focused on that very real risk.

**k. Discussion of your customer service philosophy**

Harpowell Capital Advisors holds customer service as a top priority. We strive to exceed expectations. Harpswell's operations/client service team works with client leadership to ensure all non-investment related needs are met seamlessly and efficiently. The onboarding process is streamlined to minimize any burden or inconvenience to our clients. Our team is thoroughly experienced in fulfilling periodic duties such as auditor verifications, distributions, stock gifts and more.

Harpowell knows that having a partner who is immersed in the nonprofit world is invaluable, and we bring industry best practices and a knowledge base that aligns with all of your needs. Harpswell's customer service philosophy is devoted to making each institution feel like they are the only client. This philosophy is fully aligned with our practices as we conceptualize our role as fulfilling the role of an in-house Chief Investment Officer. We believe this approach is an underlying factor in our Firm's noteworthy client retention ratio. There are no communication gaps between portfolio managers and the client service team at Harpswell because they are one and the same. The team is intentionally structured so that you, the Town of Belgrade, meet with the people who are making the investment decisions. Those making the investment decisions are the same folks that sit face to face with you and fully understand your needs and your mission. From onboarding to audit requests, we are passionate about doing as many of the tasks at hand as possible for you, and we feel this effort and philosophy also accounts for Harpswell's remarkable client retention ratio.

**2) Brief description of the firm's investment services provided to governmental entities.**

Harpowell's range of investment management services are based on meeting the needs of nonprofits and government entities just like the Town of Belgrade. Our explicit dedication to the non-profit and public sector yields several advantages which we believe benefit our clients. From Harpswell's committee educational materials to our quarterly presentations, the firm continuously fine-tunes all aspects of our enterprise and operations to cater to our core focus. When boards, committees or staff leadership have questions, quite often we have already heard them from other clients and are prepared to help. We look proactively into issues which may become areas of concern or uncertainty for our clients. Examples of such areas include distribution policy best practices and trends; yield in a low interest-rate environment; liquidity and anticipating potential cash crunches; restricted funds in troubling times; cash management outside the endowment; trends in fundraising and how your advisor can help; and capital preservation in times of crisis.

**3) A description of the firm's investment process, including research, governance and portfolio management.**

Harpowell builds a custom endowment for each institution based on their specific needs, goals and (sometimes) values. Harpswell will manage your endowment to best position you to fulfil your mission all while living within a comfort zone with respect to risk and volatility. Our process brings a structured framework to the institutions we serve, enabling board and committee members charged with acting on the institution's behalf to easily see the portfolio's alignment with policies and understand the key drivers for performance relative to benchmarks. Our processes are engineered to address each of our duties, ranging from on-boarding and policy development/assessment to stock-gift liquidation.

Harpowell's investing strategy entails pairing concentrated high-conviction active managers with a select number of passive (index) funds. Furthermore, we subscribe to the same philosophy as our active fund managers and prefer to limit the number of fund managers to those who we have the most conviction in. Far too often, we see peers over-diversify their manager roster and we regard that as a "don't get fired" strategy. Harpswell has the highest confidence in our select number of managers and we tend to be with them for an extended time horizon. Thus, we track a small number of managers beyond those we utilize.

Harpowell is a boutique Firm, and our narrow focus affords us the ability to work closely with each institution to ensure you get the investment strategy that best fits your needs.

Portfolio construction is a key component of our focus and involves five steps: (1) institutional research (we do a deep dive on your goals, risks, mission, values, and distribution requirements); (2) portfolio analyses (researching the best mix and ideal fund managers to utilize); (3) implementation; (4) rebalancing/tactical positioning (ongoing); (5) distribution management, analyses and planning (ongoing). Harpswell brings a structured approach to all five facets of portfolio construction, and we have our process down to a science.

**4) A description of the firm’s trust and trustee capabilities.**

Harpowell has a noteworthy tenure serving as municipal endowment and trust advisors. In fact, Harpswell manages all of the State of Maine’s trusts and endowments and the State just re-upped with Harpswell after executing their mandatory routine RFP. Our Firm works with other municipal clients both inside and outside the State of Maine. John Moore, Belgrade’s proposed portfolio manager at Harpswell, is also Trustee for the \$65MM Maine State Teachers’ Employee Plan Trust. Thus, Harpswell’s trust and trustee capabilities are multifaceted and reflect the Firm’s dedication to clients just like the Town of Belgrade!

**5) Description of how the firm would make its services available to the Town’s Board of Selectpersons and its Budget Committee.**

Harpowell works with committees with all levels of investment acumen and our educational resources and activities are well received and appreciated by the nonprofits we serve. We have CFO retreats that cover very timely topics, to 1-on-1 Investment 101 tutorials and Harpswell can effectively provide you, your Selectpersons, it’s Budget Committee and colleagues, with the insights you need to effectively fulfill your duties and understand the nature and purpose of the investment actions and strategy.

Furthermore, Harpswell caters meeting materials accordingly as our training component can be designed to meet your specific needs. Our narrow focus on non- profits has enabled us to develop resources based exclusively on the needs of non-profit committees, boards, and staff. Some of Harpswell’s resources can be found on our web page: [www.harpowelladvisors.com/library](http://www.harpowelladvisors.com/library).

**6) Investment results (past performance) achieved by the firm. Suggested benchmarks/indexes against which performance measurement will be made.**

<b>Manager vs Benchmark: Annualized Return (January 2015 - March 2023)</b>					
	1Q 2023	1 year	3 years	5 years	8 years
Endowment Composite*	4.97%	-6.72%	6.96%	3.94%	4.06%
Endowment Composite Benchmark†	5.56%	-5.49%	9.09%	5.39%	5.12%
MSCI All-Country World Stock Index	7.44%	-6.96%	15.90%	7.46%	7.93%
Bloomberg U.S. Aggregate Bond Index	2.96%	-4.78%	-2.77%	0.91%	1.02%

\*The Harpswell Endowment Composite is calculated as the weighted return on all discretionary, fee-paying accounts under Harpswell’s management that are invested in balanced portfolios, i.e. excluding bond-only and Cash Plus portfolios. The composite is rebalanced quarterly based on beginning-of-quarter assets under management. Returns are net of fees.

**7) A description of Investment Strategies employed for longer term assets and intermediate cash management accounts in accordance with Title 30-A, Chapter 223, subchapter III-A.**

Harpowell advises several entities governed by the State of Maine's municipal finance statues, both for long term assets and intermediate cash accounts. For accounts holding longer-term cash for government and nonprofit institutions, Harpswell utilizes government/AAA bonds, insured (FDIC) bank CDs, and fixed income mutual funds (when permissible). Each individual allocation will be less than 25% of capital. Harpswell aims to align maturities with each institution's needs and liquidity requirements and adheres to a custom strategy for each government or municipality.

**8) Samples of monthly and quarterly statements. Describe customization capabilities that would help the Town and describe your firm's ability to assist in pooling sub-accounts.**

Harpowell works with another (government) client who we adeptly assist with their pooling subaccounts. They have over 50 sub accounts and with limited asset flows, we are confident we can do the same for the Town of Belgrade.

Please see Addendum A for our monthly statements, addendum B for our quarterly statements and Addendum C for a sample of our ability to assist in pooling sub-accounts.

**9) Provide details concerning the firm's management fee proposal and state the commission rates in brokerage transactions.**

Harpowell works hard to align with our clients' best interest and always serve as your advocate for lower fees. Harpswell charges a single fee that encompasses all services offered. Harpswell's proposed fee level is 0.35% basis points. This fee encompasses all functions performed by Harpswell. Other expenses, of which Harpswell receives none and Harpswell aims to minimize, include trading fees (\$6-\$19) and fund managers fees, ranging from 0.05% to 0.55%.

Harpowell's estimated fund fees are well below that for typical Morningstar portfolios. Harpswell does not utilize any such fees as 12B-1 and the Firm has aggressively negotiated lower fees with all managers. Regardless of size, almost all allocations are to institutional fund share classes. Harpswell's minimum fee level is \$10,000.

- ✓ Advisory or Management Fee: 35 bps
- ✓ Manager Fee: (Included in Rate of Return) 35 bps
- ✓ Total Projected Basis Points: 70 bps
- ✓ Estimated Annual Fees: \$77,000

Harpowell's fees are charged quarterly, in arrears. Harpswell petitions each manager for institutional share classes across the board, and this further reduces expense for all clients. Aside from our low management fee, the Town of Belgrade will not incur any additional Harpswell fees for any of the services we provide pertaining to and relating to your investments!

Our Firm does not foresee that our advisory or management fee will increase nor to date have we increased our fee for existing clients. Thus, the Town of Belgrade will not incur any additional charges from Harpswell.

**10) Provide a list with contact information of three or more public-entity client references.**

**Gregory Olson, Deputy Treasurer**  
**Maine State Firefighter’s and Law Enforcement Officers Health Insurance Fund**  
 39 State House Station  
 Augusta, ME 04333-0039  
[Gregory.Olson@Maine.gov](mailto:Gregory.Olson@Maine.gov)  
 207-624-7477

**Kurt Caswell, Director Special Projects, Employee Health & Wellness**  
**State of Maine**  
 61 State House Station  
 Augusta, ME 04333-0061  
[Kurt.Caswell@maine.gov](mailto:Kurt.Caswell@maine.gov)  
 207-485-8152

**Julia Henze, Director of Finance**  
**Town of Brunswick, ME**  
 85 Union Street Brunswick, ME 04011  
[JHenze@Brunswickme.org](mailto:JHenze@Brunswickme.org)  
 207-725-6659

**11) Insurance:**  
 a. **Provide a listing of applicable insurance coverage maintained with relevant coverage limits.**

Type of Insurance	Provider	Amount
<b>Cyber Security Policy</b>	Theodore Liftman Insurance Company Allied World Assurance Co (US) Inc.	\$2,000,000 in aggregate
<b>Crime</b>	Theodore Liftman Insurance Company Hartford Financial Products	\$1,000,000 per claim, \$3,000,000 in the aggregate
<b>Error and omissions insurance</b>	NAPA Nationwide Mutual Insurance Co.	\$2,000,000 per claim, \$2,000,000 in the aggregate
<b>Commercial General Liability</b>	MMG Insurance Chalmers Insurance Agency	\$2,000,000 general aggregate
<b>Evidence of Property Insurance</b>	MMG Insurance Chalmers Insurance Agency	\$25,000
<b>Workers Compensation and Employee Liability</b>	Hartford Fire Insurance	\$100,000 incident/\$500,000 policy limit

**b. Provide the name of your primary insurance carrier and their related AM Best rating.**

Our primary carriers are Nationwide Mutual Insurance Company, which has an AM Best rating of A+, and MMG Insurance, which has an AM Best rating of A. Harpswell, along with our custodian, Bank of New York Mellon | Pershing Advisor Solutions, together carry numerous insurance coverages. Harpswell fulfills all insurance requirements of existing clients and will happily comply with impending requirements.

**12) Provide any other information that would be of assistance to the Board of Selectpersons and the Budget Committee in evaluating the firm's responses and its qualifications to serve as the Town's Investment Fund Manager.**

Harpowell conducted an in-depth search for the best custody provider and arrived at Bank of New York Mellon | Pershing Advisor Solutions, a systemically important institution that maintains the highest liquidity threshold and offers what Harpswell believes the highest degree of safety, yet very low fees. Harpswell negotiates on behalf of our clients and we achieve what we believe to be exceptionally attractive transaction pricing, along with Best-in-class service.

Harpowell Capital Advisors does not discriminate against any individual because of race, religion, sex, color, age, handicap, or national origin, and that these shall not be a factor in consideration for employment, selection of training, promotion, transfer, recruitment, rates of pay, or other forms of compensation, demotion, or separation.

Harpowell encourages the Town of Belgrade to maintain a high threshold for expectations for your advisor with depth of knowledge, level of service, the understanding of your needs and investment performance underpinning the key tenets for evaluation. Harpswell prides itself on its exceptional client retention record and we attribute it to our obsession over these facets of the partnership. Finally, we take the notion of OCIO (outsourced Chief Investment Officer) to equate to a very close relationship that extends well beyond that experienced in the wealth management or banking industries. With our narrow focus on nonprofits just like you comes a level of bespoke service that is crafted around everything you need with respect to investment advisory services.



## Harpowell Capital Advisors Disclosure

### General

The information contained herein regarding Harpowell Capital Advisors is confidential and proprietary and intended only for use by the recipient. The information contained herein is not complete and does not contain certain material information about alternative investments, including important disclosures and risk factors associated with an investment in these types of vehicles, and is subject to change without notice. This document is not intended to be, nor should it be construed or used as an offer to sell, or a solicitation of any offer to buy shares or limited partnership interests in any funds managed by Harpowell Capital Advisors. Neither the Securities and Exchange Commission nor any state securities administrator has approved or disapproved, passed on, or endorsed, the merits of these securities.

### Performance

The performance information herein has been prepared by or on behalf of Harpowell Capital Advisors and has not been independently audited or verified except for certain year-end data. Investment returns may vary from the stated objectives so that investors may have a gain or a loss when they redeem their investment. As with any investment vehicle, risk of losses is possible and past performance cannot assure any level of future results. Investors should always refer to fund prospectuses or consult an investment manager prior to investing in funds. Proposed model performance has limitations inherent in model results in that it does not represent actual trading and may not reflect the impact that material economic and market factors might have on the adviser's decision-making if the adviser were managing accounts. The adviser's clients may have had investment results materially different from the results portrayed in the model. Actual results portrayed may relate to a select group of adviser's clients, unless otherwise specified. Actual proportions to funds and asset classes will vary on a client-by-client basis to correspond with their Investment Policy Statement and may not match the proposed model allocations.

### Risks

Harpowell invests in stocks, bonds, mutual funds, and sometimes alternative investments. Each asset class, along with each individual investment, carries varied degrees of risk of loss. Harpowell analyses investments from a long-term fundamental perspective and aims to engineer portfolios that have an attractive risk and reward balance. Despite a strong bias for diversification, all Harpowell portfolios do carry risks of losses, particularly in times of escalated market volatility. Harpowell does focus on capital preservation, yet extraordinary markets can potentially generate material losses. Our investment decisions and recommendations are based upon our professional judgment. We do not guarantee the results of any of our investment decisions or recommendations, the future performance of your Assets or Accounts, any specific level of performance, the success of any Independent Manager, investment decision, strategy or recommendation made by an Independent Manager, or the overall success of the Account. Past performance is not indicative of future results. Investments in your Account may go up or down in value depending on market conditions.

Alternative investments are designed only for sophisticated investors who can bear the economic risk of losing all of their investment. Alternative investments: (1) often engage in leveraging and other speculative investment practices that may increase the risk of investment loss; (2) can be highly illiquid; (3) are not required to provide periodic pricing or valuation information to investors; (4) may involve complex tax structures and delays in distributing important tax information; (5) are not subject to the same regulatory requirements as mutual funds; and (6) often charge high fees.

### Current Information

Opinions expressed are current opinions as of the date appearing in this material only. While the data contained herein has been prepared from information that Harpowell Capital Advisors believes to be reliable, Harpowell Capital Advisors does not warrant the accuracy or completeness of such information.

### Use of Indices

Market index information shown herein, such as that of the S&P 500 Stock Index, is included to show relative market performance for the periods indicated and not as standards of comparison, since these are unmanaged, broadly based indices which differ in numerous respects from the portfolio composition of the Fund. Market index information was compiled from sources that Harpowell Capital Advisors believes to be reliable. No representation or guarantee is made hereby with respect to the accuracy or completeness of such data.

### Index Definitions

The S&P 500 Stock Index is an unmanaged market capitalization index of 500 US equities generally considered to be representative of US stock market activity. The Morgan Stanley Capital International World Index is a market capitalization-weighted equity index of over 1,500 stocks traded in 22 world markets. The NASDAQ Composite Index measures all NASDAQ domestic and non-U.S. based common stocks listed on the NASDAQ Stock Market. The Index is market value weighted. The SB World Bond Index is a market capitalization weighted index of 18 Government bond markets composed of sovereign debt denominated in the domestic currency. The Lehman Aggregate Index is a benchmark index made up of the Lehman Brothers. The Hennessey Hedge Fund Indices® are calculated from performance data obtained from publicly available information, internally developed data and other third-party sources believed to be reliable. MSCI EAFE is a stock market index that is commonly used as a benchmark for the performance of major international equity markets. The MSCI Emerging Market Index is an index created by Morgan Stanley Capital International (MSCI) that is designed to measure equity market performance in global emerging markets. The Russell 1000 seeks to track the investment results of an index composed of large- and mid-capitalization U.S. equities. The Russell 2000 seeks to track the investment results of an index composed of small-capitalization U.S. equities. The Russell 2500™ Index measures the performance of the small to mid-cap segment of the U.S. equity universe, commonly referred to as "mid" cap. The Barclays Capital Global Aggregate Bond Index is designed to be a broad-based measure of the global investment-grade, fixed rate, fixed income corporate markets outside the United States. The Barclays Capital US Gov/Credit bond Index measures the performance of U.S. Dollar denominated U.S. Treasuries, government-related and investment grade U.S. corporate securities that have a remaining maturity of greater than one year.



# Addendum A

# SAMPLE CLIENT MONTHLY STATEMENT

Pershing Advisor Solutions LLC, a BNY Mellon company  
 One Pershing Plaza, Jersey City, NJ 07399  
 (877) 870-7230  
 Member FINRA, SIPC



## Investment Account Statement

December 1, 2021 - December 31, 2021  
 Account Number:

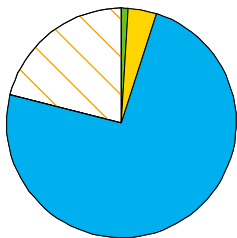
### Portfolio at a Glance

	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$20,121,464.73	\$9,638,460.82
Net Cash Deposits and Withdrawals	131,983.00	247,619.11
Net Securities In/Out of Account	0.00	8,137,778.66
Adjusted Previous Account Value	20,253,447.73	18,023,858.59
Dividends, Interest and Other Income	202,037.87	322,803.73
Other Transactions	-20.00	-32,575.54
Net Change in Portfolio <sup>1</sup>	637,086.43	2,778,465.25
<b>ENDING ACCOUNT VALUE</b>	<b>\$21,092,552.03</b>	<b>\$21,092,552.03</b>
Accrued Interest	\$5,037.97	
Account Value with Accrued Interest	\$21,097,590.00	
Estimated Annual Income	\$281,073.91	

<sup>1</sup> Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Your Investment Advisor:  
 HARPSWELLCAPITAL ADVISORS  
 (207) 926-1380

### Asset Summary



Percent	Asset Type	Last Period	This Period
1%	Cash, Money Funds, and Bank Deposits	581,603.86	5,546.28
4%	Fixed Income	906,228.90	905,263.57
74%	Mutual Funds	14,523,056.97	15,794,612.18
21%	Exchange-Traded Products	4,110,575.00	4,387,130.00
100%	Account Total (Pie Chart)	\$20,121,464.73	\$21,092,552.03



## Additional Information

Description	This Period	Year-to-Date
Securities Bought and Sold	-\$910,120.00	-\$3,373,408.54
Principal Payments	\$19.31	\$2,428,848.34

## For Your Information

### Receive Tax Documents Faster

We know that receiving your tax documents is important to you. Access them faster and more securely via eDelivery.

The benefits of eDelivery include:

- Faster access to account documents
- Increased security of personal information
- Less mail and paper to manage

To enroll in eDelivery, log in to your account at [investor.pershing.com](http://investor.pershing.com), select "Go Paperless" at the top of any page and follow the on-screen prompts for setup. Continued receipt of hardcopy documents may be subject to additional fees. Contact your investment advisor if you have any questions about eDelivery.

## Client Service Information

Your Investment Advisor: ND

HARPSWELL CAPITAL ADVISORS  
SUITE 203  
41 CAMPUS DRIVE  
NEW GLOUCESTER ME 04260-5115

Contact Information

Telephone Number: (207) 926-1380

## Your Account Information

### TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: First In First Out  
Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out  
Default Method for all Other Securities: First In First Out

### BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes  
Accrual market discount method for all other bond types: Constant Yield Method  
Include market discount in income annually: No

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### Your Account Information *(continued)*

#### ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:  
 Electronic Delivery

Enrollment Communication

- Statements and Reports
- Trade Confirmations
- Tax Documents
- Notifications
- Prospectus\*
- Proxy/Shareholder Communications

Please log in to your account or contact your Investment Advisor to make any changes to your electronic delivery preferences.

E-mail notifications are delivered to the following e-mail address(es):

[r#####@SAMPLECLIENT.org](mailto:r#####@SAMPLECLIENT.org)

*\*#####@SAMPLECLIENT.org is on file for these documents*

The above e-mail address is partially masked for your security. Please log in to your account to review the full e-mail address.

### Exchange Rate Table

Currency	USDEquivalent	Currency per USD
BRITISHPOUND	1.3667	0.7316

Exchange rates are based on interbank exchange rates as of 12/31/2020. Exchange rates can vary.

### Global Cash

Currency	Closing Balance	Amount in Base Currency USD
BRITISHPOUND	2,455.69	3,356.19
<b>Total Global Cash Balance</b>		<b>\$3,356.19</b>

### Portfolio Holdings

#### U.S. DOLLARS

OpeningDate	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
<b>CASH, MONEY FUNDS AND BANK DEPOSITS</b>									
Cash Balance				0.00	356.11				
<b>Money Market</b>									
<b>GENERALGOVTSECMNY MKTCLB</b>									
12/01/20	1,833.980	N/A	12/31/20	581,603.86	1,833.98	0.00	347.35	0.01%	0.01%
<b>Total Money Market</b>				<b>\$581,603.86</b>	<b>\$1,833.98</b>	<b>\$0.00</b>	<b>\$347.35</b>		
<b>TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS</b>				<b>\$581,603.86</b>	<b>\$2,190.09</b>	<b>\$0.00</b>	<b>\$347.35</b>		

Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
<b>FIXED INCOME (In Maturity Date Sequence)</b>									
<b>Certificates of Deposit</b>									
1ALLYBKSANDYUTAHCTFDEP2.650%01/29/21DTD01/31/19 SecurityIdentifier:02007GHE6									
ACT/3651STCPNDTE07/31/19CPNPMT SEMI ANNUALONJAN31									
ANDJUL31									
02/05/19	100,000.000	99.8250	99,825.00	100.2090	100,209.00	384.00	1,110.82	2,650.00	2.64%
			Original Cost Basis:\$99,825.00						
BMW BKNORTHAMERSALTLAKECITYUTAHCTFDEP1.850% SecurityIdentifier:05580ASL9									
09/27/21DTD09/27/19ACT/3651STCPNDTE03/27/20CPNPMT									
SEMI ANNUALONMAR27 ANDSEP27									
11/01/19	48,000.000	100.0400	48,019.36	101.3360	48,641.28	621.92	231.12	888.00	1.82%
			Original Cost Basis:\$48,049.00						
WELLSFARGOBKNASIOUXFALLSSDCTFDEP2.300%07/19/22 SecurityIdentifier:949763JP2									
DTD07/19/17ACT/3651STCPNDTE08/19/17CPNPMTMONTHLYON									
19									
03/03/20	100,000.000	101.1300	101,129.85	103.4420	103,442.00	2,312.15	75.62	2,300.00	2.22%
			Original Cost Basis:\$101,723.00						
<b>Total Certificates of Deposit</b>									
248,000.000									
			\$248,974.21	\$252,292.28		\$3,318.07	\$1,417.56	\$5,838.00	
<b>Asset Backed Securities</b>									
6GNMA GTDMTG PASSTRUCTFSPOOL # 4125836.500% SecurityIdentifier:36206JJ47									
04/15/26B/EDTD04/01/961STCPNDTE05/15/96CPNPMT									
MONTHLYON15									
Factor:0.00281441 EffectiveDate:12/01/20									
Current FaceValue:1,455.382									
06/17/97 <sup>*,12,13</sup>	517,118.000	96.8100	1,408.96	111.0630	1,616.39	207.43	7.88		
			Original Cost Basis:\$1,501.33						
<b>Total Asset Backed Securities</b>									
517,118.000									
			\$1,408.96	\$1,616.39		\$207.43	\$7.88		
Total Current Face Value: 1,455.382									
<b>Corporate Bonds</b>									
ABBVIEINCFXDRTSRNT2.300%05/14/21B/EDTD05/12/1630/360 SecurityIdentifier:00287YAU3									
CALLABLE04/14/21 @100.0001STCPNDTE11/14/16CPNPMTSEMI									
ANNUALONMAY14 ANDNOV14Moody RatingBaa2S&PRating									
BBB+									
05/05/20 <sup>12,13</sup>	250,000.000	100.4400	251,099.90	100.5530	251,382.50	282.60	750.69	5,750.00	2.28%
			Original Cost Basis:\$253,026.50						

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Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
<b>FIXED INCOME (continued)</b>									
<b>Corporate Bonds (continued)</b>									
PRINCETON THEOLOGICAL SEMINARY FXDRT TAXABLE BDSER2013 Security Identifier: 742404AH9									
3.805% 07/01/21B/EDTD10/03/13 30/360 1STCPNDTE01/01/14 CPN									
PMT SEMI ANNUAL ON JAN01 AND JUL01 Moody Rating Aa1 S&P									
Rating AAA									
11/30/18	20,000.000	100.3380	20,067.67	101.2710	20,254.20	186.53	380.50	761.00	3.75%
Original Cost Basis: \$20,335.60									
TRUSTEES OF PRINCETON UNIV TAXABLE BDD2016 SERA1.845% Security Identifier: 89837LAC9									
07/01/21B/EDTD03/08/1630/360 1STCPNDTE07/01/16 CPN PMT									
SEMI ANNUAL ON JAN01 AND JUL01 Moody Rating Aaa S&P Rating									
AAA									
10/18/19	50,000.000	100.0770	50,038.58	100.4330	50,216.50	177.92	461.25	922.50	1.83%
Original Cost Basis: \$50,128.50									
MICROSOFT CORP FXDRT SRNT1.550% 08/08/21B/EDTD08/08/16 Security Identifier: 594918BP8									
30/360 CALLABLE 07/08/21 @ 100.000 1STCPNDTE02/08/17 CPN									
PMT SEMI ANNUAL ON FEB08 AND AUG08 Moody Rating Aaa S&P									
Rating AAA									
11/13/18	21,000.000	96.0340	20,167.15	100.6880	21,144.48	977.33	129.30	325.50	1.53%
Original Cost Basis: \$20,167.15									
BAXTER INTL INC FXDRT SRNT1.700% 08/15/21B/EDTD08/15/16 Security Identifier: 071813BR9									
30/360 CALLABLE 07/15/21 @ 100.000 1STCPNDTE02/15/17 CPN									
PMT SEMI ANNUAL ON FEB15 AND AUG15 Moody Rating Baa1 S&P									
Rating A-									
05/11/20 <sup>12.13</sup>	250,000.000	100.4090	251,023.31	100.7010	251,752.50	729.19	1,605.56	4,250.00	1.68%
Original Cost Basis: \$252,049.00									
TRUSTEES AMHERST COLLEGE TAXABLE BDDSER2012-A3.016% Security Identifier: 898361AN0									
11/01/27B/EDTD10/11/1230/360 1STCPNDTE11/01/12 CPN PMT SEMI									
ANNUAL ON MAY01 AND NOV01 Moody Rating Aaa S&P Rating									
AA+									
06/19/18	20,000.000	98.6280	19,725.60	108.7206	21,744.12	2,018.52	100.53	603.20	2.77%
Original Cost Basis: \$19,725.60									



Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield		
<b>FIXED INCOME (continued)</b>											
<b>Corporate Bonds (continued)</b>											
TRUSTEESAMHERSTCOLLEGETAXABLEBDSER2012-A3.694%			SecurityIdentifier:898361AP5								
11/01/32BEDTD10/11/1230/3601STCPNDTE11/01/12CPNPMT SEMI											
ANNUALONMAY01ANDNOV01MoodyRatingAaaS&PRating											
AA+											
03/18/20	30,000.000	101.1820	30,354.63	116.2020	34,860.60	4,505.97	184.70	1,108.20	3.17%		
			Original Cost Basis:\$30,373.10								
Total Corporate Bonds			\$642,476.84		\$651,354.90		\$8,878.06		\$3,612.53	\$13,720.40	
	641,000.000										
TOTAL FIXED INCOME			\$892,860.01		\$905,263.57		\$12,403.56		\$5,037.97	\$19,558.40	
	1,406,118.000										
Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield			
<b>MUTUAL FUNDS</b>											
JOHCMINTERNATIONALSELECTFUNDCLASSI			SecurityIdentifier:JOHIX								
OpenEnd Fund			CUSIP:00770G847								
DividendOption:Cash;Capital GainsOption:Cash											
06/06/18	4,450.065	24.3710	108,453.51	30.2600	134,658.97	26,205.46		465.11	0.34%		
11/12/18	5,808.550	21.5240	125,025.00	30.2600	175,766.72	50,741.72		607.09	0.34%		
Total Covered			10,258.615		233,478.51		310,425.69		76,947.18	1,072.20	
Total			10,258.615		\$233,478.51		\$310,425.69		\$76,947.18		\$1,072.20
GQGPARTNERSEMERGINGMARKETSEQUITYFUND INSTITUTIONAL CLASS			SecurityIdentifier:GQGIX								
OpenEnd Fund			CUSIP:00771X419								
DividendOption:Cash;Capital GainsOption:Cash											
12/26/18	8,243.342	11.2400	92,655.16	17.9500	147,967.99	55,312.83		745.20	0.50%		
12/30/20	5,583.473	17.9100	100,000.00	17.9500	100,223.34	223.34		504.74	0.50%		
Total Covered			13,826.815		192,655.16		248,191.33		55,536.17		1,249.94
Total			13,826.815		\$192,655.16		\$248,191.33		\$55,536.17		\$1,249.94
DFASHORT-TERM EXTENDEDQUALITYPORTFOLIOFUND INSTITUTIONALCLASS			SecurityIdentifier:DFEQX								
OpenEnd Fund			CUSIP:23320G521								
DividendOption:Cash;Capital GainsOption:Cash											
06/01/18	23,235.906	10.7010	248,644.91	11.0000	255,594.96	6,950.05		1,196.18	0.46%		
08/07/19	9,157.509	10.9230	100,025.00	11.0000	100,732.60	707.60		471.43	0.46%		
06/29/20	13,761.468	10.9020	150,025.00	11.0000	151,376.15	1,351.15		708.44	0.46%		
Total Covered			46,154.883		498,694.91		507,703.71		9,008.80		2,376.05
Total			46,154.883		\$498,694.91		\$507,703.71		\$9,008.80		\$2,376.05

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Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>MUTUAL FUNDS(continued)</b>								
DFA UNITED KINGDOM SMALL-COMPANY FUND INSTITUTIONAL CLASS			Security Identifier: DFUKX CUSIP: 233203207					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
11/04/20	22,624.434	22.1010	500,025.00	27.3600	619,004.51	118,979.51	6,191.40	1.00%
12/28/20	8,179.808	27.1430	222,025.00	27.3600	223,799.55	1,774.55	2,238.48	1.00%
Total Covered	30,804.242		722,050.00		842,804.06	120,754.06	8,429.88	
Total	30,804.242		\$722,050.00		\$842,804.06	\$120,754.06	\$8,429.88	
GOLD MANSACHSGQGPARTNERSINTLOPPFUNDINSTLCLASS			Security Identifier: GSIMX CUSIP: 38147N293					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
04/22/19	17,465.449	13.5500	236,656.84	17.8200	311,234.30	74,577.46	544.92	0.17%
GUGGENHEIM LIMITED DURATION FUND INSTITUTIONAL CLASS			Security Identifier: GILHX CUSIP: 40168W483					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
12/31/19	10,170.871	24.5800	250,000.00	25.5900	260,272.59	10,272.59	5,084.53	1.95%
11/25/20	15,606.711	25.6300	400,000.00	25.5900	399,375.73	-624.27	7,801.96	1.95%
Total Covered	25,777.582		650,000.00		659,648.32	9,648.32	12,886.49	
Total	25,777.582		\$650,000.00		\$659,648.32	\$9,648.32	\$12,886.49	
GUGGENHEIM TOTAL RETURN BOND FUND INSTITUTIONAL CLASS			Security Identifier: GIBIX CUSIP: 40168W525					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
05/01/18	7,507.201	26.7800	201,042.84	29.7000	222,963.87	21,921.03	5,683.42	2.54%
02/04/19	7,541.478	26.5200	200,000.00	29.7000	223,981.89	23,981.89	5,709.37	2.54%
02/25/19	5,645.465	26.5700	150,000.00	29.7000	167,670.31	17,670.31	4,273.97	2.54%
06/23/20	3,435.246	29.1100	100,000.00	29.7000	102,026.81	2,026.81	2,600.68	2.54%
Total Covered	24,129.390		651,042.84		716,642.88	65,600.04	18,267.44	
Total	24,129.390		\$651,042.84		\$716,642.88	\$65,600.04	\$18,267.44	
GUGGENHEIM ULTRA SHORT DURATION FUND CLASS A			Security Identifier: GIYAX CUSIP: 40169J549					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
12/16/20	15,030.060	9.9800	150,000.00	9.9800	150,000.00	0.00	1,792.65	1.19%
12/30/20	35,070.140	9.9800	350,000.00	9.9800	350,000.00	0.00	4,182.85	1.19%



Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>MUTUAL FUNDS(continued)</b>								
<b>GUGGENHEIMULTRA SHORT DURATION FUND(Ded)</b>								
Total Covered	50,100.200		500,000.00		500,000.00	0.00	5,975.50	
Total	50,100.200		\$500,000.00		\$500,000.00	\$0.00	\$5,975.50	
<b>WCMFOCUSED EMERGING MARKETS FUND INSTITUTIONAL CLASS</b>								
			Security Identifier: WCMEX CUSIP: 46141P834					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
09/06/19	19,364.833	12.9110	250,025.00	19.8200	383,810.99	133,785.99	668.08	0.17%
11/04/19	10,932.945	13.7220	150,025.00	19.8200	216,690.97	66,665.97	377.19	0.17%
Total Covered	30,297.778		400,050.00		600,501.96	200,451.96	1,045.27	
Total	30,297.778		\$400,050.00		\$600,501.96	\$200,451.96	\$1,045.27	
<b>WCMFOCUSED INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS</b>								
			Security Identifier: WCMIX CUSIP: 461418444					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
08/29/19	7,602.919	17.4010	132,297.41	24.7500	188,172.25	55,874.84	109.25	0.05%
<b>JAPAN SMALLER CAPITALIZATION FUND INCCOM</b>								
			Security Identifier: JOF CUSIP: 47109U104					
Closed End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
01/10/19 <sup>13</sup>	2,437.763	8.7610	21,357.07	9.0400	22,037.38	680.31	312.28	1.41%
04/09/19 <sup>13</sup>	3,000.000	8.9220	26,766.50	9.0400	27,120.00	353.50	384.30	1.41%
12/27/19 <sup>13</sup>	2,062.237	9.0020	18,565.00	9.0400	18,642.62	77.62	264.17	1.41%
Total Covered	7,500.000		66,688.57		67,800.00	1,111.43	960.75	
Total	7,500.000		\$66,688.57		\$67,800.00	\$1,111.43	\$960.75	
<b>MATTHEWS INDIAN INSTITUTIONAL FUND</b>								
			Security Identifier: MIDNX CUSIP: 577130768					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
06/30/20	3,947.368	19.0040	75,015.00	26.6500	105,197.36	30,182.36		
08/04/20	16,540.643	21.1610	350,015.00	26.6500	440,808.14	90,793.14		
10/19/20	2,991.453	23.4050	70,015.00	26.6500	79,722.22	9,707.22		
12/30/20	4,720.544	26.4830	125,015.00	26.6500	125,802.49	787.49		
Total Covered	28,200.008		620,060.00		751,530.21	131,470.21		
Total	28,200.008		\$620,060.00		\$751,530.21	\$131,470.21		
<b>MATTHEWS ASIAGROWTH FUND INSTITUTIONAL CLASS</b>								
			Security Identifier: MIAPX CUSIP: 577130776					
Open End Fund								
Dividend Option: Cash; Capital Gains Option: Cash								
05/19/20	7,225.434	27.6820	200,015.00	39.8200	287,716.79	87,701.79	1,376.59	0.47%
06/16/20	1,358.234	29.4610	40,015.00	39.8200	54,084.88	14,069.88	258.77	0.47%
06/23/20	3,231.018	30.9550	100,015.00	39.8200	128,659.13	28,644.13	615.57	0.47%



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Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>MUTUAL FUNDS(continued)</b>								
<b>MATTHEWS ASIA GROWTH FUND(continued)</b>								
Total Covered	11,814.686		340,045.00		470,460.80	130,415.80	2,250.93	
Total	11,814.686		\$340,045.00		\$470,460.80	\$130,415.80	\$2,250.93	
<b>PIMCO SHORT-TERM FUND INSTITUTIONAL CLASS</b>								
Open End Fund Security Identifier: PTSHX CUSIP: 693390601								
Dividend Option: Cash; Capital Gains Option: Cash								
05/01/18	10,943.612	9.8800	108,128.29	9.8300	107,575.71	-552.58	1,838.90	1.70%
06/01/18	10,131.712	9.8720	100,025.00	9.8300	99,594.73	-430.27	1,702.47	1.70%
07/03/19	22,494.888	9.8720	222,079.78	9.8300	221,124.75	-955.03	3,779.90	1.70%
Your lot has been adjusted due to a wash sale for more than one year.								
12/30/20	10,172.940	9.8320	100,025.00	9.8300	99,999.99	-25.01	1,709.40	1.70%
Total Covered	53,743.152		530,258.07		528,295.18	-1,962.89	9,030.67	
Total	53,743.152		\$530,258.07		\$528,295.18	-\$1,962.89	\$9,030.67	
<b>PARNASSUS ENDEAVOR FUND INSTITUTIONAL CLASS</b>								
Open End Fund Security Identifier: PFPWX CUSIP: 701765406								
Dividend Option: Cash; Capital Gains Option: Cash								
08/04/20	26,745.119	37.3910	1,000,015.00	48.3600	1,293,393.95	293,378.95	11,051.08	0.85%
<b>OTTER CREEK LONG/SHORT OPPORTUNITY FUND INSTITUTIONAL CLASS</b>								
Open End Fund Security Identifier: OTTRX CUSIP: 74316J342								
Dividend Option: Cash; Capital Gains Option: Cash								
05/08/20	12,831.480	11.6910	150,015.00	12.8400	164,756.20	14,741.20	3,463.12	2.10%
<b>VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ADMIRAL SHARES</b>								
Open End Fund Security Identifier: VTIA CUSIP: 921909818								
Dividend Option: Cash; Capital Gains Option: Cash								
07/23/18	3,537.930	29.3340	103,780.45	32.4600	114,841.21	11,060.76	2,412.86	2.10%
<b>VANGUARD MID-CAP VALUE INDEX FUND ADMIRAL CLASS</b>								
Open End Fund Security Identifier: VMVAX CUSIP: 921937694								
Dividend Option: Cash; Capital Gains Option: Cash								
08/24/20	5,567.929	53.8840	300,025.00	61.6100	343,040.11	43,015.11	8,106.90	2.36%
09/10/20	9,469.697	52.8030	500,025.00	61.6100	583,428.03	83,403.03	13,787.88	2.36%

Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>MUTUAL FUNDS(continued)</b>								
<b>VANGUARDMIDCAPVAL UEINDEX(FdUdNnDinued)</b>								
Total Covered	15,037.626		800,050.00		926,468.14	126,418.14	21,894.78	
Total	15,037.626		\$800,050.00		\$926,468.14	\$126,418.14	\$21,894.78	
<b>VANGUARDSHORT-TERM BONDINDEXFUNDADMIRALSHARES</b>								
OpenEnd Fund Security Identifier:VBIRX CUSIP:921937702								
DividendOption:Cash;Capital GainsOption:Cash								
09/08/20	45,913.682	10.8910	500,025.00	10.8700	499,081.72	-943.28	9,168.04	1.83%
<b>VANGUARDINTERNATIONALVALUEPORTFOLIO</b>								
OpenEnd Fund Security Identifier:VTRIX CUSIP:921939203								
DividendOption:Cash;Capital GainsOption:Cash								
11/24/20	17,902.813	39.1010	700,025.00	40.2500	720,588.22	20,563.22	11,362.91	1.57%
<b>VANGUARDINTERNATIONALEXPLORERFUND</b>								
OpenEnd Fund Security Identifier:VINEX CUSIP:921946208								
DividendOption:Cash;Capital GainsOption:Cash								
10/12/18	1,855.391	18.6550	34,611.69	20.4000	37,849.98	3,238.29	400.95	1.05%
06/05/19	7,678.133	16.2810	125,007.50	20.4000	156,633.91	31,626.41	1,659.24	1.05%
Total Covered	9,533.524		159,619.19		194,483.89	34,864.70	2,060.19	
Total	9,533.524		\$159,619.19		\$194,483.89	\$34,864.70	\$2,060.19	
<b>VANGUARDGLOBALMINIMUMVOLATILITYFUNDADMIRALSHARES</b>								
OpenEnd Fund Security Identifier:VMNVX CUSIP:921946869								
DividendOption:Cash;Capital GainsOption:Cash								
10/19/20	18,889.309	26.4710	500,025.00	27.3300	516,244.81	16,219.81	11,095.58	2.14%
10/22/20	15,100.038	26.4920	400,025.00	27.3300	412,684.04	12,659.04	8,869.76	2.14%
10/23/20	7,532.957	26.5530	200,025.00	27.3300	205,875.71	5,850.71	4,424.86	2.14%
11/04/20	18,698.874	26.5100	495,714.64	27.3300	511,040.23	15,325.59	10,983.71	2.14%
Total Covered	60,221.178		1,595,789.64		1,645,844.79	50,055.15	35,373.91	
Total	60,221.178		\$1,595,789.64		\$1,645,844.79	\$50,055.15	\$35,373.91	
<b>VANGUARDULTRA-SHORT-TERMBONDFUNDADMIRALCLASS</b>								
OpenEnd Fund Security Identifier:VUSFX CUSIP:922031711								
DividendOption:Cash;Capital GainsOption:Cash								
02/26/20	22,354.694	20.1310	450,025.00	20.1500	450,447.08	422.08	7,305.51	1.62%
03/03/20	7,444.169	20.1530	150,025.00	20.1500	150,000.00	-25.00	2,432.75	1.62%
05/08/20	10,020.040	19.9620	200,025.00	20.1500	201,903.81	1,878.81	3,274.55	1.62%
08/10/20	37,220.844	20.1510	750,025.00	20.1500	750,000.00	-25.00	12,163.77	1.62%
09/22/20	9,920.635	20.1630	200,025.00	20.1500	199,900.80	-124.20	3,242.06	1.62%
10/19/20	11,160.714	20.1620	225,025.00	20.1500	224,888.40	-136.60	3,647.32	1.62%
12/30/20	5,111.663	20.1550	103,025.00	20.1500	103,000.00	-25.00	1,670.50	1.62%

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Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>MUTUAL FUNDS(continued)</b>								
<b>VANGUARDULTRA-SHORT-TERM BOND(continued)</b>								
Total Covered	103,232.759		2,078,175.00		2,080,140.09	1,965.09	33,736.46	
<b>Total</b>	<b>103,232.759</b>		<b>\$2,078,175.00</b>		<b>\$2,080,140.09</b>	<b>\$1,965.09</b>	<b>\$33,736.46</b>	
<b>VANGUARDSHORT-TERM FEDERALFUNDADMIRALSHARES</b>								
OpenEnd Fund			Security Identifier:VSGDX CUSIP:922031844					
DividendOption:Cash;Capital GainsOption:Cash								
05/01/18	7,634.918	10.5010	80,170.65	11.0100	84,060.45	3,889.80	1,230.17	1.46%
12/28/20	9,262.605	11.0510	102,359.29	11.0100	101,981.28	-378.01	1,492.42	1.46%
Total Covered	16,897.523		182,529.94		186,041.73	3,511.79	2,722.59	
<b>Total</b>	<b>16,897.523</b>		<b>\$182,529.94</b>		<b>\$186,041.73</b>	<b>\$3,511.79</b>	<b>\$2,722.59</b>	
<b>VANGUARDFTSEALLWORLDINDEX-U.S.SMALLCAPINDEXFUND ADMIRALSHARECLASS</b>								
OpenEnd Fund			Security Identifier:VFSAX CUSIP:922042593					
DividendOption:Cash;Capital GainsOption:Cash								
02/26/20	1,025.132	25.3360	25,973.08	30.1400	30,897.48	4,924.40	572.63	1.85%
<b>VANGUARDSHORT-TERMTAX-EXEMPTFUNDADMIRALSHARES</b>								
OpenEnd Fund			Security Identifier:VWSUX CUSIP:922907803					
DividendOption:Cash;Capital GainsOption:Cash								
08/19/20	39,411.028	15.9600	629,007.50	15.9500	628,605.90	-401.60	8,221.81	1.30%
<b>VANGUARDEXTENDEDMARKET INDEXFUNDADMIRALSHARES</b>								
OpenEnd Fund			Security Identifier:VEXAX CUSIP:922908694					
DividendOption:Cash;Capital GainsOption:Cash								
08/24/20	3,008.725	99.7180	300,025.00	124.7500	375,338.44	75,313.44	4,029.58	1.07%
<b>TOTAL MUTUAL FUNDS</b>			<b>\$13,999,007.11</b>		<b>\$15,563,892.45</b>	<b>\$1,564,885.34</b>	<b>\$210,269.25</b>	

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>EXCHANGE-TRADED PRODUCTS</b>								
<b>INVECOEXCHANGE-TRADEDFTRIICHINATECHNOLOGYETF</b>								
DividendOption:Cash;Capital GainsOption:Cash			Security Identifier:CQQQ CUSIP:46138E800					
06/29/20	1,500.000	63.0030	94,504.95	82.3300	123,495.00	28,990.05	578.82	0.46%
<b>ISHARESTRMSCI EAFEMINIMUM VOLATILITYFACTORETF</b>								
DividendOption:Cash;Capital GainsOption:Cash			Security Identifier:EFV CUSIP:46429B689					
12/04/18	500.000	69.5190	34,759.47	73.4100	36,705.00	1,945.53	488.65	1.33%

Portfolio Holdings (continued)

U.S. DOLLARS(continued)

Date Acquired	Quantity	Unit Cost	Current Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>EXCHANGE-TRADED PRODUCTS(continued)</b>								
<b>ISHARESTRMSCIEAFEMINIMUMVOLA(TddrTtInued)Y</b>								
02/05/19	500.000	70.2650	35,132.35	73.4100	36,705.00	1,572.65	488.65	1.33%
Total Covered	1,000.000		69,891.82		73,410.00	3,518.18	977.30	
Total	1,000.000		\$69,891.82		\$73,410.00	\$3,518.18	\$977.30	
<b>ISHARESTRMSCI UTDKINGDOMETFNEW</b>								
			Security Identifier:EWU CUSIP:46435G334					
DividendOption:Cash;Capital GainsOption:Cash	11/16/20	2,500.000	28.7020	71,754.95	29.2900	73,225.00	1,470.05	1,816.60 2.48%
<b>VANGUARDINDEXFDSVANGUARDEXTENDED MKTETF</b>								
			Security Identifier:VXF CUSIP:922908652					
DividendOption:Cash;Capital GainsOption:Cash	08/03/20	25,000.000	127.1500	3,178,750.00	164.6800	4,117,000.00	938,250.00	44,170.00 1.07%
<b>TOTAL EXCHANGE-TRADED PRODUCTS</b>			\$3,414,901.72		\$4,387,130.00	\$972,228.28	\$47,542.72	
<b>TOTAL U.S. DOLLARS</b>			\$18,308,958.93		\$20,858,476.11	\$2,549,517.18	\$5,037.97	\$277,717.72

BRITISH POUND

Description	Quantity	Opening Balance	Closing Balance	Currency	Accrued Interest	30-Day Yield
<b>CASH, MONEY FUNDS AND BANK DEPOSITS</b>						
Global Cash Balance		0.00	2,455.69	GBP		
		0.00	3,356.19	USD		
<b>TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS</b>		0.00	2,455.69	GBP	0.00	
		0.00	3,356.19	USD	0.00	

Description	Quantity	Market Price	Market Value	Currency	Accrued Interest	Estimated Annual Income	Estimated Yield
<b>MUTUAL FUNDS</b>							
GENESISMERGINGMARKETFUNDLTDPARTSHS	18,968.000	8.9000	168,815.20	GBP		2,455.69	1.45%
ISIN#GG00B4L0PD47			230,719.73	USD		3,356.19	
Security Identifier:GSS:GB CUSIP:G3823U170 ClosedEnd Fund							
<b>TOTAL MUTUAL FUNDS</b>			168,815.20	GBP		2,455.69	
			230,719.73	USD		3,356.19	
<b>TOTAL BRITISH POUND</b>					Market Value	Accrued Interest	Estimated Annual Income
					171,270.89	\$0.00	2,455.69
					234,075.92	0.00	3,356.19

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Portfolio Holdings (continued)

	Current Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings - U.S. DOLLARS	\$18,308,958.93	\$21,092,552.03	\$2,549,517.18	\$5,037.97	\$281,073.91

\* Noncovered under the cost basis rules as defined below.

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

Cost Basis on fixed income securities may be adjusted for amortization, accretion, original issue discount adjustments, or principal paydowns. The calculation is based upon the taxpayer election, type of fixed income security, and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the cost basis system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving foreign bonds, bonds sold short or bonds issued with less than one year to maturity. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

- <sup>1</sup> This bond is maturing.
- <sup>6</sup> The Current Face value represents the outstanding principal balance of the underlying security. The Current Face value is determined by multiplying the current factor times the original face amount of the security. Factors may change over time and are obtained from sources we believe to be reliable.
- <sup>12</sup> Pershing has received updated cost basis information, therefore cost basis provided on previous client brokerage statements may differ from the new cost basis reported in this section.
- <sup>13</sup> The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

Holdings Summary by Currency

	BRITISH POUND (GBP)	U.S. DOLLARS (USD)
Cash, Money Funds and Bank Deposits	2,455.69	2,190.09
Fixed Income	0.00	905,263.57
Mutual Funds	168,815.20	15,563,892.45
Exchange-Traded Products	0.00	4,387,130.00
Total Market Value Local	171,270.89	20,858,476.11
TOTAL MARKET VALUE (USD)	234,075.92	20,858,476.11
Percent of Portfolio	1.00	99.00

### Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total.

THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

### Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to [www.pershing.com/disclosures](http://www.pershing.com/disclosures) for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

### Reinvestment

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your statement may have been reinvested. You will not receive confirmation of these reinvestments. Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased, may be obtained. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow.

### Option Disclosure

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

### Certificates of Deposit

Certificates of Deposit acquired through the Certificate of Deposit Account Registry Service ("CDARS") and held in your brokerage account are subject to Securities Investor Protection Corporation (SIPC) coverage. Please see additional information about SIPC under Important Information and Disclosures on this statement.

Please be advised that the secondary market for CDs is generally illiquid; the actual value of CDs may be different from their purchase price; and a significant loss of principal could result if your CDs are sold prior to maturity. In the event that the CDs listed above do not indicate a market valuation, an accurate market value could not be determined. In the event that a price is listed above for your CDs, Pershing has obtained a price from sources deemed to be reliable or has priced your CDs using a matrix formula. Prices are estimates and the actual value you may obtain for your CD may be different if you elect to sell your CD in the secondary market.

### Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

### Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than



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Portfolio Holdings Disclosures *(continued)*

Proxy Vote *(continued)*

the number of shares in your margin account.

Ratings

This statement may contain credit rating information obtained from Standard & Poor's. Reproducing and distributing any information received from Standard & Poor's is not permitted without prior written authorization from Standard & Poor's. Standard & Poor's does not guarantee the accuracy, completeness, timeliness or availability of any information. Standard & Poor's is not responsible for any errors or omissions, regardless of the cause, or for the results of using such content. Standard & Poor's makes no express or implied warranties including warranties of merchantability or fitness for a particular purpose. Standard & Poor's shall not be legally responsible for any fees, costs, expenses or losses in connection with the use of their content. Credit ratings are opinions and not statements of facts; are not recommendations to purchase, hold or sell securities; and do not address suitability for investment purpose. Credit ratings should not be relied upon as investment advice.

Activity Summary *(All amounts shown are in base currency)*

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
<b>Securities</b>						
Securities Bought	0.00	-1,252,449.29	-1,252,449.29	0.00	-16,886,531.42	-16,886,531.42
Securities Sold	342,329.29	0.00	342,329.29	13,513,122.88	0.00	13,513,122.88
Securities Deposited	0.00	0.00	0.00	8,137,778.66	0.00	8,137,778.66
<b>Total Securities</b>	<b>\$342,329.29</b>	<b>-\$1,252,449.29</b>	<b>-\$910,120.00</b>	<b>\$21,650,901.54</b>	<b>-\$16,886,531.42</b>	<b>\$4,764,370.12</b>
<b>Dividends and Interest</b>	<b>\$119,936.22</b>	<b>\$0.00</b>	<b>\$119,936.22</b>	<b>\$240,768.76</b>	<b>-\$66.68</b>	<b>\$240,702.08</b>
<b>Distributions</b>	<b>\$82,120.96</b>	<b>\$0.00</b>	<b>\$82,120.96</b>	<b>\$2,510,949.99</b>	<b>\$0.00</b>	<b>\$2,510,949.99</b>
<b>Fees</b>	<b>\$0.00</b>	<b>-\$20.00</b>	<b>-\$20.00</b>	<b>\$0.00</b>	<b>-\$32,575.54</b>	<b>-\$32,575.54</b>
<b>Cash</b>						
Withdrawals	0.00	-468,017.00	-468,017.00	0.00	-1,371,186.99	-1,371,186.99
Deposits	600,000.00	0.00	600,000.00	1,618,806.10	0.00	1,618,806.10
<b>Total Cash</b>	<b>\$600,000.00</b>	<b>-\$468,017.00</b>	<b>\$131,983.00</b>	<b>\$1,618,806.10</b>	<b>-\$1,371,186.99</b>	<b>\$247,619.11</b>
<b>Totals</b>	<b>\$1,144,386.47</b>	<b>-\$1,720,486.29</b>	<b>-\$576,099.82</b>	<b>\$26,021,426.39</b>	<b>-\$18,290,360.63</b>	<b>\$7,731,065.76</b>



## Transactions by Type of Activity

Process/ Settlement	Trade/ Transaction	Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
<b>BRITISH POUND</b>									
Dividends and Interest									
12/16/20	12/11/20	FOREIGNSECURITYDIVIDEND	RECEIVED	18968.00SHARESGENESISSEMERGING MARKETSFUNDLTD				2,455.69	GBP
			GSS:GB	PARTSHSISIN#GG00B4L0PD47 RD1113PD121120 DIVAT				3,313.95	USD
				.129465					
Total Dividends and Interest							0.00	2,455.69	GBP
Total USD Equivalent							\$0.00	\$3,313.95	USD
Total Transactions							0.00	2,455.69	GBP
Total USD Equivalent							\$0.00	\$3,313.95	USD
<b>U.S. DOLLARS</b>									
Securities Bought and Sold									
12/17/20	12/16/20	PURCHASED	GIYAX	GUGGENHEIMULTRASHORTDURATIONFUNDCLASSASAL1E5S,030.060		9.9800		-150,000.00	USD
				LOAD=0.00%UNSOLICITEDORDERHLDPERSALL\$					
12/29/20	12/28/20	SOLD	JOHIX	JOHCMINTERNATIONALSELECTFUNDCLASSIUNSOLICITED-8,005.337		29.9800		239,985.00	USD
				ORDER					
12/29/20	12/28/20	PURCHASED	DFUKX	DFAUNITEDKINGDOMSMALL-COMPANYFUND	8,179.808	27.1400		-222,025.00	USD
				INSTITUTIONALCLASSEQ3JXXXXXXUNSOLICITEDORDERHLD					
				PERSALL\$					
12/29/20	12/28/20	SOLD	VBTLX	VANGUARDTOTALBONDMARKETINDEXFUNDADMIRAL	-8,823.430	11.6000		102,344.29	USD
				SHARESUNSOLICITEDORDERASAFUNDEXCHANGE					
12/29/20	12/28/20	PURCHASED	VSGDX	VANGUARDSHORT-TERM FEDERALFUNDADMIRALSHARES9,262.605		11.0500		-102,359.29	USD
				EQ3JXXXXXXUNSOLICITEDORDERHLDPERSALL\$ ASAFUND					
				EXCHANGE					
12/31/20	12/30/20	PURCHASED	GQGIX	GQGPARTNERSEMERGINGMARKETSEQUITYFUND	5,583.473	17.9100		-100,000.00	USD
				INSTITUTIONALCLASSEQ3JXXXXXXUNSOLICITEDORDERHLD					
				PERSALL\$					
12/31/20	12/30/20	PURCHASED	GIYAX	GUGGENHEIMULTRASHORTDURATIONFUNDCLASSASAL3E5S,070.140		9.9800		-350,000.00	USD
				LOAD=0.00%UNSOLICITEDORDERHLDPERSALL\$					
12/31/20	12/30/20	PURCHASED	MIDNX	MATTHEWSINDIAINSTITUTIONALFUNDEQ3JXXXXXX	4,720.544	26.4800		-125,015.00	USD
				UNSOLICITEDORDERHLDPERSALL\$					
12/31/20	12/30/20	PURCHASED	PTSHX	PIMCOSHORT-TERMFUNDINSTITUTIONALCLASS	10,172.940	9.8300		-100,025.00	USD
				EQ3JXXXXXXUNSOLICITEDORDERHLDPERSALL\$					
12/31/20	12/30/20	PURCHASED	VUSFX	VANGUARDULTRA-SHORT-TERMBONDFUNDADMIRAL	5,111.663	20.1500		-103,025.00	USD
				CLASSEQ3JXXXXXXUNSOLICITEDORDERHLDPERSALL\$					
Total Securities Bought and Sold							\$0.00	-\$910,120.00	USD

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Transactions by Type of Activity (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
<b>U.S. DOLLARS (continued)</b>								
<b>Dividends and Interest</b>								
12/01/20		CASHDIVIDENDRECEIVED GILHX	GUGGENHEIMLIMITEDDURATIONFUNDINSTITUTIONAL CLASSFORACCRUALPERIODENDING11/30/20				468.94	USD
12/01/20		CASHDIVIDENDRECEIVED GIBIX	GUGGENHEIMTOTALRETURNBONDFUNDINSTITUTIONAL CLASSFORACCRUALPERIODENDING11/30/20				1,583.78	USD
12/01/20		CASHDIVIDENDRECEIVED PTSHX	PIMCOSHORT-TERM FUND INSTITUTIONAL CLASS FOR ACCRUAL PERIOD ENDING 11/30/20				273.77	USD
12/01/20		CASHDIVIDENDRECEIVED VBTLX	VANGUARD TOTAL BOND MARKET INDEX FUND ADMIRAL SHARES FOR ACCRUAL PERIOD ENDING 11/30/20				167.54	USD
12/01/20		CASHDIVIDENDRECEIVED VBIRX	VANGUARD SHORT-TERM BOND INDEX FUND ADMIRAL SHARES FOR ACCRUAL PERIOD ENDING 11/30/20				607.15	USD
12/01/20		CASHDIVIDENDRECEIVED VUSFX	VANGUARD ULTRA-SHORT-TERM BOND FUND ADMIRAL CLASS 98121.0960 SHRSRD 11/27PD 12/01/20				1,589.56	USD
12/01/20		CASHDIVIDENDRECEIVED VSGDX	VANGUARD SHORT-TERM FEDERAL FUND ADMIRAL SHARES FOR ACCRUAL PERIOD ENDING 11/30/20				43.15	USD
12/01/20		NON-TAXABLE DISTRIBUTION RECEIVED VWSUX	VANGUARD SHORT-TERM TAX-EXEMPT FUND ADMIRAL SHARES FOR ACCRUAL PERIOD ENDING 11/30/20				493.45	USD
12/10/20		CASHDIVIDENDRECEIVED WCMIX	WCM FOCUSED INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS 7602.9190 SHRSRD 12/08PD 12/09/20				109.25	USD
12/15/20		CASHDIVIDENDRECEIVED DFEQX	DFAShort-Term Extended Quality Portfolio Fund Institutional Class 46154.8830 SHRSRD 12/11PD 12/15/20				902.79	USD
12/15/20		BOND INTEREST RECEIVED 36206JJ47	517118 GNMA GTDMTGPASSTHRUCTFSPPOOL # 412583 6.500% 04/15/26B/EDTD 04/01/96RD 11/30PD 12/15/20				7.99	USD
12/17/20		CASHDIVIDENDRECEIVED JOHIX	JOHCM INTERNATIONAL SELECT FUND CLASS I 18263.9520 SHRSRD 12/15PD 12/16/20				1,908.91	USD

## Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
<b>U.S. DOLLARS</b> <i>(continued)</i>								
<b>Dividends and Interest</b> <i>(continued)</i>								
12/17/20		CASHDIVIDENDRECEIVED DFUKX	DFAUNITEDKINGDOMSMALL-COMPANYFUND INSTITUTIONALCLASS22624.4340 SHRSRD12/15PD12/17/20				6,191.40	USD
12/17/20		CASHDIVIDENDRECEIVED GSIMX	GOLDMANSACHSGQGPARTNERSINTL OPPFUNDINSTL CLASS17465.4490 SHRSRD12/15PD12/17/20				544.92	USD
12/17/20		CASHDIVIDENDRECEIVED MIAPX	MATTHEWSASIAGROWTHFUNDINSTITUTIONALCLASS 11814.6860 SHRSRD12/15PD12/16/20				2,250.93	USD
12/17/20		CASHDIVIDENDRECEIVED OTTRX	OTTERCREEKLONG/SHORTOPPORTUNITYFUND INSTITUTIONALCLASS12831.4800 SHRSRD12/14PD12/15/20				3,463.13	USD
12/17/20		CASHDIVIDENDRECEIVED VMNVX	VANGUARDGLOBALMINIMUMVOLATILITYFUNDADMIRAL SHARES60221.1780 SHRSRD12/15PD12/17/20				35,373.92	USD
12/18/20		CASHDIVIDENDRECEIVED GIYAX	GUGGENHEIMULTRASHORTDURATIONFUNDCLASSA 15030.0600 SHRSRD12/16PD12/17/20				21.89	USD
12/18/20		CASHDIVIDENDRECEIVED EWU	2500SHRSISHARESTRMSCI UTDKINGDOMETFNEWRD 12/15PD12/18/20				829.81	USD
12/18/20		CASHDIVIDENDRECEIVED PFPWX	PARNASSUSENDEAVORFUNDINSTITUTIONALCLASS 26745.1190 SHRSRD12/16PD12/17/20				11,051.08	USD
12/21/20		CASHDIVIDENDRECEIVED VTIAX	VANGUARDTOTALINTERNATIONALSTOCKINDEXFUND ADMIRALSHARES3537.9300 SHRSRD12/17PD12/21/20				1,111.62	USD
12/21/20		CASHDIVIDENDRECEIVED VFSAX	VANGUARDFTSEALLWORLDDEX-U.S.SMALLCAPINDEXFUND ADMIRALSHARECLASS1025.1320 SHRSRD12/17PD12/21/20				494.52	USD
12/21/20		BONDINTERESTRECEIVED 949763JP2	100000WELLSFARGOBKNASIOUXFALLSSDCTFDEP 2.300%07/19/22DTD07/19/17RD12/04PD12/19/20				189.04	USD
12/22/20		CASHDIVIDENDRECEIVED VTRIX	VANGUARDINTERNATIONALVALUEPORTFOLIO17902.8130 SHRSRD12/18PD12/22/20				11,362.92	USD
12/22/20		CASHDIVIDENDRECEIVED VINEX	VANGUARDINTERNATIONALEXPLORERFUND9533.5240 SHRSRD12/18PD12/22/20				2,060.19	USD
12/23/20		CASHDIVIDENDRECEIVED VUSFX	VANGUARDULTRA-SHORT-TERMBONDFUNDADMIRAL CLASS98121.0960 SHRSRD12/21PD12/23/20				1,589.56	USD
12/24/20		CASHDIVIDENDRECEIVED VMVAX	VANGUARDMID-CAPVALUEINDEXFUNDADMIRALCLASS 15037.6260 SHRSRD12/22PD12/24/20				5,830.09	USD

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Transactions by Type of Activity (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
<b>U.S. DOLLARS (continued)</b>								
<b>Dividends and Interest (continued)</b>								
12/24/20		CASHDIVIDENDRECEIVED VEXAX	VANGUARDEXTENDED MARKET INDEX FUND ADMIRAL SHARES 3008.7250 SHRSRD12/22PD12/24/20				1,847.66	USD
12/28/20		CASHDIVIDENDRECEIVED JOF	7500 SHRS JAPAN SMALLER CAPITALIZATION FUND INCCOMRD 12/18PD12/28/20				960.75	USD
12/29/20		CASHDIVIDENDRECEIVED PTSHX	PIMCO SHORT-TERM FUND INSTITUTIONAL CLASS 43570.2120 SHRSRD12/24PD12/28/20				1,961.53	USD
12/29/20		CASHDIVIDENDRECEIVED VBTLX	VANGUARD TOTAL BOND MARKET INDEX FUND ADMIRAL SHARES FOR ACCRUAL PERIOD ENDING 12/28/20				154.96	USD
12/30/20		CASHDIVIDENDRECEIVED VXF	25000 SHRS VANGUARD INDEX FDS VANGUARDEXTENDED MKT ETF RD12/28PD12/30/20				20,237.50	USD
12/31/20		MONEY MARKET FUND INCOME RECEIVED PER982151	GENERAL GOV SEC CLB				3.64	USD
12/31/20		CASHDIVIDENDRECEIVED GQGIX	GQG PARTNER EMERGING MARKET EQUITY FUND INSTITUTIONAL CLASS 8243.3420 SHRSRD12/29PD12/31/20				356.11	USD
12/31/20		CASHDIVIDENDRECEIVED CQQQ	1500 SHRS IN VESCO EXCHANGE-TRADED FDI CHINA TECHNOLOGY ETF RD12/22PD12/31/20				578.82	USD
<b>Total Dividends and Interest</b>						<b>\$0.00</b>	<b>\$116,622.27</b>	<b>USD</b>
<b>Distributions</b>								
12/10/20		LONG TERM CAPITAL GAIN DISTRIBUTION WCMEX	WCM FOCUSED EMERGING MARKETS FUND INSTITUTIONAL CLASS 30297.7780 SHRSRD12/08PD12/09/20				4,658.59	USD
12/10/20		SHORT TERM CAPITAL GAIN DISTRIBUTION WCMEX	WCM FOCUSED EMERGING MARKETS FUND INSTITUTIONAL CLASS 30297.7780 SHRSRD12/08PD12/09/20				572.93	USD
12/10/20		LONG TERM CAPITAL GAIN DISTRIBUTION WCMIX	WCM FOCUSED INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS 7602.9190 SHRSRD12/08PD12/09/20				2,564.31	USD

## Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
<b>U.S. DOLLARS</b> <i>(continued)</i>								
<b>Distributions</b> <i>(continued)</i>								
12/15/20		PRINCIPALPAYDOWN RECEIVED 36206JJ47	GNMAGTDMTGPASSTHRICTFSPOOL#4125836.500% 04/15/26B/EDTD04/01/96RD11/30PD12/15/20				19.31	USD
12/17/20		LONGTERMCAPITALGAIN DISTRIBUTION JOHIX	JOHCMINTERNATIONALSELECTFUNDCLASSI 18263.9520 SHRSRD12/15PD12/16/20				12,905.93	USD
12/17/20		SHORTTERMCAPITALGAIN DISTRIBUTION JOHIX	JOHCMINTERNATIONALSELECTFUNDCLASSI 18263.9520 SHRSRD12/15PD12/16/20				1,091.69	USD
12/17/20		LONGTERMCAPITALGAIN DISTRIBUTION MIDNX	MATTHEWSINDIAINSTITUTIONALFUND23479.4640 SHRS RD12/15PD12/16/20				14,715.05	USD
12/17/20		SHORTTERMCAPITALGAIN DISTRIBUTION MIDNX	MATTHEWSINDIAINSTITUTIONALFUND23479.4640 SHRS RD12/15PD12/16/20				4,011.23	USD
12/17/20		LONGTERMCAPITALGAIN DISTRIBUTION MIAPX	MATTHEWSASIAGROWTHFUNDINSTITUTIONAL CLASS 11814.6860 SHRSRD12/15PD12/16/20				16,480.90	USD
12/17/20		SHORTTERMCAPITALGAIN DISTRIBUTION MIAPX	MATTHEWSASIAGROWTHFUNDINSTITUTIONAL CLASS 11814.6860 SHRSRD12/15PD12/16/20				1,988.53	USD
12/18/20		LONGTERMCAPITALGAIN DISTRIBUTION GILHX	GUGGENHEIMLIMITEDDURATIONFUND INSTITUTIONAL CLASS25777.5820 SHRSRD12/16PD12/17/20				1,670.00	USD
12/18/20		SHORTTERMCAPITALGAIN DISTRIBUTION GILHX	GUGGENHEIMLIMITEDDURATIONFUND INSTITUTIONAL CLASS25777.5820 SHRSRD12/16PD12/17/20				1,330.63	USD
12/18/20		LONGTERMCAPITALGAIN DISTRIBUTION GIBIX	GUGGENHEIMTOTALRETURNBONDFUNDINSTITUTIONAL CLASS24129.3900 SHRSRD12/16PD12/17/20				6,336.85	USD
12/18/20		SHORTTERMCAPITALGAIN DISTRIBUTION GIBIX	GUGGENHEIMTOTALRETURNBONDFUNDINSTITUTIONAL CLASS24129.3900 SHRSRD12/16PD12/17/20				11,278.55	USD
12/23/20		LONGTERMCAPITALGAIN DISTRIBUTION VBTLX	VANGUARDTOTALBONDMARKETINDEXFUNDADMIRAL SHARESFORACCRUALPERIODENDING12/22/20				123.53	USD

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Transactions by Type of Activity (continued)

Process/ Settlement	Trade/ Transaction	Date	Date	Activity Type	Description	Quantity	Price	AccruedInterest	Amount	Currency
<b>U.S. DOLLARS(continued)</b>										
<b>Distributions (continued)</b>										
		12/23/20		SHORTTERMCAPITALGAIN DISTRIBUTION VBTX	VANGUARDTOTALBONDMARKETINDEXFUNDADMIRAL SHARESFORACCRUALPERIODENDING12/22/20				43.23	USD
		12/28/20		LONGTERMCAPITALGAIN DISTRIBUTION JOF	7500SHRSJAPANSMALLERCAPITALIZATIONFDINCCOMRD 12/18PD12/28/20				1,620.00	USD
		12/30/20		SHORTTERMCAPITALGAIN DISTRIBUTION VSGDX	VANGUARDSHORT-TERM FEDERALFUNDADMIRALSHARES FORACCRUALPERIODENDING12/29/20				709.70	USD
<b>Total Distributions</b>								\$0.00	\$82,120.96	USD
<b>Fees</b>										
		12/21/20		WIREFUNDSFEE USD999997	WIREFUNDSFEEACCTxxxRR:JND				-20.00	USD
<b>Total Fees</b>								\$0.00	-\$20.00	USD
<b>Cash Withdrawals and Deposits</b>										
		12/21/20		FEDERALFUNDSSENT USD999997	HSBCBANKUSA, NA				-400,000.00	USD
		12/28/20		ELECTRONICTRANSACTION USD999997	PRINCIPALDISTRIBTNACHCITIZENSBANKNA				-68,017.00	USD
		12/30/20		FEDERALFUNDSRECEIVED USD999997	CITIZENSBANKNATION11360				600,000.00	USD
<b>Total Cash Withdrawals and Deposits</b>								\$0.00	\$131,983.00	USD
<b>Total Transactions</b>								\$0.00	-\$579,413.77	USD

The price and quantity displayed may have been rounded.

## Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	NonTaxable	Taxable	NonTaxable
Dividend Income				
Equities	0.00	0.00	2,263.00	0.00
Money Market	3.64	0.00	347.35	0.00
Other Dividends	119,242.10	493.45	201,149.53	1,739.57
Interest Income				
Bond Interest	7.99	0.00	23,968.59	0.00
Other Interest	189.04	0.00	11,234.04	0.00
<b>Total Income</b>	<b>\$119,442.77</b>	<b>\$493.45</b>	<b>\$238,962.51</b>	<b>\$1,739.57</b>
Distributions				
Long - Term Capital Gain Distributions	61,075.16	0.00	61,075.16	0.00
Short - Term Capital Gain Distributions	21,026.49	0.00	21,026.49	0.00
Other Distributions	0.00	19.31	0.00	76.42
<b>Total Distributions</b>	<b>\$82,101.65</b>	<b>\$19.31</b>	<b>\$82,101.65</b>	<b>\$76.42</b>

## Accrued Interest Summary

	Current Period		Year-to-Date	
	Taxable	NonTaxable	Taxable	NonTaxable
Accrued Interest Paid				
Certificates of Deposit	0.00	0.00	-94.52	0.00
U.S. Government Bonds	0.00	0.00	-311.46	0.00
Corporate Bonds	0.00	0.00	-427.89	0.00
<b>Total Accrued Interest Paid</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>-\$833.87</b>	<b>\$0.00</b>

## Money Market Fund Detail

Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund				
GENERAL GOVT SEC MNY MKT CLB				
Current Yield: 0.01% Activity Ending: 12/31/20				
12/01/20	Opening Balance		581,603.86	581,603.86
12/02/20	Deposit	MONEY FUND PURCHASE	5,227.34	586,831.20
12/11/20	Deposit	MONEY FUND PURCHASE	7,905.08	594,736.28
12/16/20	Deposit	MONEY FUND PURCHASE	930.09	595,666.37
12/17/20	Withdrawal	MONEY FUND REDEMPTION	-150,000.00	445,666.37
12/18/20	Deposit	MONEY FUND PURCHASE	100,926.54	546,592.91
12/21/20	Withdrawal	MONEY FUND REDEMPTION	-367,312.15	179,280.76
12/22/20	Deposit	MONEY FUND PURCHASE	1,606.14	180,886.90
12/23/20	Deposit	MONEY FUND PURCHASE	13,423.11	194,310.01

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### Money Market Fund Detail (continued)

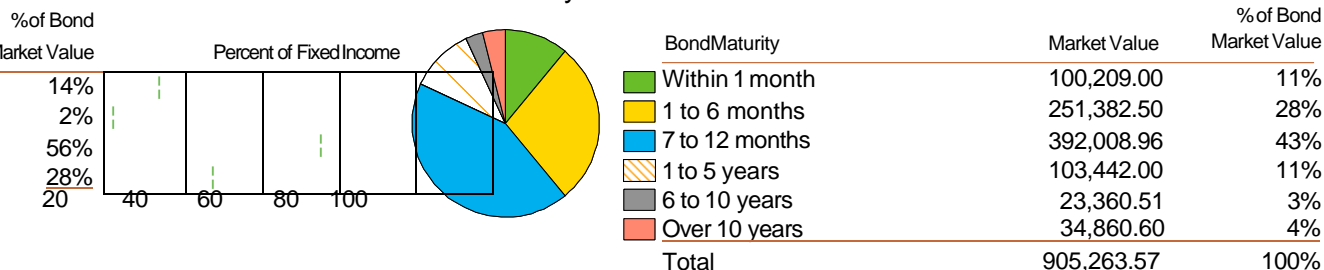
Date	Activity Type	Description	Amount	Balance
Sweep Money Market Fund (continued)				
GENERAL GOVT SEC MNY MKT CLB(continued)				
12/24/20	Deposit	MONEY FUND PURCHASE	1,756.32	196,066.33
12/28/20	Withdrawal	MONEY FUND REDEMPTION	-57,758.50	138,307.83
12/30/20	Deposit	MONEY FUND PURCHASE	20,061.49	158,369.32
12/31/20	Withdrawal	MONEY FUND REDEMPTION	-156,538.98	1,830.34
12/31/20	Deposit	INCOME REINVEST	3.64	1,833.98
12/31/20	Closing Balance			\$1,833.98
Total All Money Market Funds				\$1,833.98

### Fixed Income Analysis

#### Bond Quality

Bond Quality	Market Value	% of Bond Market Value
AAA	127,965.70	14%
AA	20,254.20	2%
BAA	503,135.00	56%
Not Rated	253,908.67	28%
<b>Total</b>	<b>905,263.57</b>	<b>100%</b>

#### Bond Maturity Schedule



Percentages of bond market values are rounded to the nearest whole percentage.

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term BROADCOMINCCOM Security Identifier: 11135F101	08/03/20	07/15/20	Covered <sup>1</sup> d <sup>3</sup>	First InFirst OutSELL	650.000	208,794.88	204,194.38	4,600.50
DFAINVESTMENTGRADE FUNDINSTITUTIONAL CLASS Security Identifier: 23320G448	03/25/20	04/17/19	Covered	First InFirst OutSELL	2,676.182	29,975.00	28,881.78	1,093.22
FEDERALFARMCRBKS MMWDEBDS CONSBOND 1.625%05 /09/22B/EDTD08/09/16CLD Security Identifier: 3133EGPW9	04/08/20	03/03/20	Covered	First InFirst OutREDEMPTION Original Cost Basis: 60,026.19	60,000.000	60,000.00	60,025.07	-25.07
ISHARESTRMSCI EAFE ETF Security Identifier: 464288273	08/28/20	03/25/20	Covered	First InFirst OutSELL	500.000	29,536.86	21,654.95	7,881.91
	08/28/20	04/21/20	Covered	First InFirst OutSELL	2,000.000	118,147.42	92,754.95	25,392.47
Total					2,500.000	147,684.28	114,409.90	33,274.38
ISHARESTRMSCI GLOB ETF Security Identifier: 46435G532	10/19/20	04/21/20	Covered	First InFirst OutSELL	1,000.000	81,347.21	60,493.30	20,853.91
	10/19/20	04/21/20	Covered	First InFirst OutSELL	500.000	40,673.61	30,011.65	10,661.96
	10/19/20	08/03/20	Covered	First InFirst OutSELL	3,500.000	284,715.24	261,450.00	23,265.24
	10/23/20	08/03/20	Covered	First InFirst OutSELL	2,500.000	200,565.61	186,750.00	13,815.61
Total					7,500.000	607,301.67	538,704.95	68,596.72
JPMORGANCHASEBKNA OHIOCTFDEPACT/365 2.650%0 2/28/21B/EDTD02/28/19CLB Security Identifier: 48128HGU6	02/28/20	03/12/19	Covered	First InFirst OutREDEMPTION Adjustment: 84.98 Adjustment Type: R Original Cost Basis: 199,825.00	200,000.000	200,000.00	199,825.00	175.00
MATTHEWSASIASMALL FUNDINVESTORCLASS Security Identifier: 577125206	05/26/20	05/08/20	Covered	First InFirst OutSELL	298.131	5,199.40	5,050.34	149.06
MATTHEWSCHINASMALL FUNDINSTITUTIONALCLASS Security Identifier: 577125842	09/09/20	05/19/20	Covered	First InFirst OutSELL	4,168.983	83,163.37	75,015.00	8,148.37
	09/09/20	06/26/20	Covered	First InFirst OutSELL	3,810.976	76,021.81	75,015.00	1,006.81
Total					7,979.959	159,185.18	150,030.00	9,155.18
MATTHEWSASIASMALL FUNDINSTITUTIONALCLASS Security Identifier: 577125867	11/25/20	05/19/20	Covered	First InFirst OutSELL	5,704.507	128,746.88	100,015.00	28,731.88
	11/25/20	06/16/20	Covered	First InFirst OutSELL	2,159.827	48,745.84	40,015.00	8,730.84
	11/25/20	08/04/20	Covered	First InFirst OutSELL	14,388.489	324,738.50	300,015.00	24,723.50
Total					22,252.823	502,231.22	440,045.00	62,186.22

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Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Short Term (continued)								
QUESTDIAGNOSTICSIN DRTSRNT 4.700%04/01/21B/ EDTD03/24/11CLD Security Identifier:74834LAS9	11/18/20	05/11/20	Covere <sup>1</sup> d <sup>3</sup>	First InFirst OutREDEMPTION Original Cost Basis:258,264.00	250,000.000	254,012.52	253,464.59	547.93
TRUISTFINLCORPCOM Security Identifier:89832Q109	08/03/20	01/23/20	Covere <sup>1</sup> d <sup>3</sup>	First InFirst OutSELL	4,000.000	151,076.66	224,974.10	-73,897.44
UNITEDSTATESTREAS 00%09/17/20B/EDTD03/19/20 Security Identifier:9127962G3	09/17/20	06/15/20	Noncovere <sup>1</sup> d <sup>13</sup>	First InFirst OutREDEMPTION Original Cost Basis:199,911.40	200,000.000	199,911.40	199,911.40	0.00
UNITEDSTATESTREAS 00%10/22/20B/EDTD04/23/20 Security Identifier:9127962S7	10/22/20	07/20/20	Noncovere <sup>1</sup> d <sup>13</sup>	First InFirst OutREDEMPTION Original Cost Basis:499,848.00	500,000.000	499,848.00	499,848.00	0.00
UNITEDSTATESTREAS %01/31/20B/EDTD01/31/15 Security Identifier:912828H52	01/31/20	10/03/19	Covered	First InFirst OutREDEMPTION Adjustment7:00.00Adjustment Type: R Original Cost Basis:499,300.00	500,000.000	500,000.00	499,300.00	700.00
VANGUARDTOTALBOND	01/21/20	04/22/19	Covered	First InFirst OutSELL	2,336.029	25,975.00	24,787.40	1,187.60
EXFUNDADMIRAL SHARES	02/26/20	04/22/19	Covered	First InFirst OutSELL	4,265.026	48,357.64	45,255.83	3,101.81
Security Identifier:921937603	02/26/20	07/18/19	Covered	First InFirst OutSELL	9,491.588	107,617.36	103,661.10	3,956.26
Total					16,092.643	181,950.00	173,704.33	8,245.67
VANGUARDFTSEALLWO .INDEXFUNDADMIRALCLASS Security Identifier:921937736	11/04/20	12/12/19	Covered	First InFirst OutSELL	974.917	31,294.36	32,219.39	-925.03
VANGUARDFTSEALLWO .SMALLCAPINDEXFUNDADMIRAL SHARECLASS Security Identifier:922042593	06/30/20	02/26/20	Covered	First InFirst OutSELL	2,922.756	69,975.00	74,051.92	-4,076.92

Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
<b>Short Term (continued)</b>								
VANGUARDINTLEQUITY	08/19/20	03/03/20	Covered	First InFirst OutSELL	500.000	53,497.99	50,654.20	2,843.79
FTSEALLWORLDINDEXUSASMALL	08/19/20	03/11/20	Covered	First InFirst OutSELL	500.000	53,497.99	44,325.48	9,172.51
APINDEXFDETF SHS	08/28/20	03/11/20	Covered	First InFirst OutSELL	500.000	53,996.33	44,325.47	9,670.86
Security Identifier:922042718	08/28/20	03/12/20	Covered	First InFirst OutSELL	500.000	53,996.33	38,716.71	15,279.62
<b>Total</b>					<b>2,000.000</b>	<b>214,988.64</b>	<b>178,021.86</b>	<b>36,966.78</b>
VANGUARDINTLEQUITY	10/22/20	08/04/20	Covered	First InFirst OutSELL	5,000.000	414,085.89	398,504.95	15,580.94
<b>TOTALWORLDSTKINDEXFDETF SHS</b>								
Security Identifier:922042742								
WELLSFARGOBKNAS	03/27/20	09/06/19	Covered	First InFirst OutREDEMPTION	95,000.000	95,000.00	95,000.00	0.00
SDCTFDEPACT/365 2.500%0				Original Cost Basis:95,311.90				
3/27/20B/EDTD02/27/19								
Security Identifier:949763YB6								
<b>Total Short Term</b>						<b>4,532,514.10</b>	<b>4,370,166.96</b>	<b>162,347.14</b>
<b>Long Term</b>								
EATONCORPORATIONPL	09/03/20	02/07/19	Covered <sup>1d3</sup>	First InFirst OutSELL	2,600.000	273,639.79	191,231.82	82,407.97
#IE00B8KQN827	09/03/20	05/06/19	Covered <sup>1d3</sup>	First InFirst OutSELL	500.000	52,623.04	40,075.60	12,547.44
Security Identifier:G29183103								
<b>Total</b>					<b>3,100.000</b>	<b>326,262.83</b>	<b>231,307.42</b>	<b>94,955.41</b>
APTIVPLCSHSCOM	08/03/20	02/07/19	Covered <sup>1d3</sup>	First InFirst OutSELL	2,200.000	175,380.12	167,291.30	8,088.82
Security Identifier:G6095L109				First InFirst OutSELL	300.000	23,915.47	24,603.55	-688.08
				First InFirst OutSELL	500.000	39,859.12	39,418.00	441.12
<b>Total</b>					<b>3,000.000</b>	<b>239,154.71</b>	<b>231,312.85</b>	<b>7,841.86</b>
AT&TINCCOM	08/03/20	11/27/17	Covered <sup>1d3</sup>	First InFirst OutSELL	5,200.000	154,020.59	180,918.92	-26,898.33
Security Identifier:00206R102				First InFirst OutSELL	1,000.000	29,619.35	30,666.40	-1,047.05
				First InFirst OutSELL	1,000.000	29,619.34	30,801.90	-1,182.56
<b>Total</b>					<b>7,200.000</b>	<b>213,259.28</b>	<b>242,387.22</b>	<b>-29,127.94</b>
JOHCMINTERNATIONAL	11/04/20	06/06/18	Covered	First InFirst OutSELL	8,061.627	224,985.00	196,471.67	28,513.33
DCLASSI	12/28/20	06/06/18	Covered	First InFirst OutSELL	8,005.337	239,985.00	195,099.82	44,885.18
Security Identifier:00770G847								
<b>Total</b>					<b>16,066.964</b>	<b>464,970.00</b>	<b>391,571.49</b>	<b>73,398.51</b>
APPLEINCFXDRTNT	05/06/20	05/08/18	Covered	First InFirst OutREDEMPTION	50,000.000	50,000.00	49,445.50	554.50
/06/20B/EDTD05/13/15				Adjustment5:54.50Adjustment Type: R				
Security Identifier:037833BD1								
				Original Cost Basis:49,445.50				

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Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
<b>Long Term (continued)</b>								
BANKAMERCORPSRIN IXEDRATENTS 4.750%02/15/20 B/EDTD02/03/11 Security Identifier:06050WEM3	02/18/20	05/08/18	Covered	First InFirst OutREDEMPTION Original Cost Basis:17,531.60	17,000.000	17,000.00	17,000.00	0.00
BANKOFAMERICACORP M Security Identifier:060505104	08/03/20 08/03/20	03/07/16 07/05/16	Covere <sup>1</sup> d <sup>3</sup> Covere <sup>1</sup> d <sup>3</sup>	First InFirst OutSELL First InFirst OutSELL	1,200.000 7,000.000	29,987.34 174,926.13	16,350.74 89,686.30	13,636.60 85,239.83
<b>Total</b>					<b>8,200.000</b>	<b>204,913.47</b>	<b>106,037.04</b>	<b>98,876.43</b>
BORGWARNERINCCOM Security Identifier:099724106	08/03/20 08/03/20	03/26/19 05/06/19	Covere <sup>1</sup> d <sup>3</sup> Covere <sup>1</sup> d <sup>3</sup>	First InFirst OutSELL First InFirst OutSELL	5,500.000 1,000.000	203,110.51 36,929.18	203,949.25 40,466.50	-838.74 -3,537.32
<b>Total</b>					<b>6,500.000</b>	<b>240,039.69</b>	<b>244,415.75</b>	<b>-4,376.06</b>
BURLINGTONNORTHNSA PDEB 8.125%04/15/20B/EDTD 04/14/00 Security Identifier:12189TAQ7	04/15/20	05/08/18	Covered	First InFirst OutREDEMPTION Original Cost Basis:3,329.20	3,000.000	3,000.00	3,000.00	0.00
CISCOSYSTEMSINC Security Identifier:17275R102	08/03/20 08/03/20	05/10/16 02/06/17	Covere <sup>1</sup> d <sup>3</sup> Covere <sup>1</sup> d <sup>3</sup>	First InFirst OutSELL First InFirst OutSELL	4,200.000 1,600.000	198,067.62 75,454.33	112,828.63 50,225.22	85,238.99 25,229.11
<b>Total</b>					<b>5,800.000</b>	<b>273,521.95</b>	<b>163,053.85</b>	<b>110,468.10</b>
COMCASTCORPCLA Security Identifier:20030N101	08/03/20 08/03/20	03/19/19 05/06/19	Covere <sup>1</sup> d <sup>3</sup> Covere <sup>1</sup> d <sup>3</sup>	First InFirst OutSELL First InFirst OutSELL	4,400.000 1,500.000	188,667.82 64,318.58	177,890.24 64,890.05	10,777.58 -571.47
<b>Total</b>					<b>5,900.000</b>	<b>252,986.40</b>	<b>242,780.29</b>	<b>10,206.11</b>
CORNINGINCCOM Security Identifier:219350105	08/03/20	09/29/15	Noncovere <sup>1</sup> d <sup>13</sup>	First InFirst OutSELL	8,500.000	262,644.19	141,332.90	121,311.29
DFAINVESTMENTGRADE FUNDINSTITUTIONAL CLASS Security Identifier:23320G448	05/08/20	04/17/19	Covered	First InFirst OutSELL	8,908.619	104,384.01	96,143.22	8,240.79

Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term (continued) DFASHORT-TERMEXTEN	01/21/20	06/01/18	Covered	First InFirst OutSELL	4,801.477	51,975.00	51,380.09	594.91
YPORTFOLIOFUNDINSTITUTIONAL CLASS								
Security Identifier:23320G521								
DEVONENERGYCORPNE	08/03/20	10/09/03	Noncovered <sup>d.13</sup>	First InFirst OutSELL	2,500.000	26,499.41	60,206.25	-33,706.84
Security Identifier:25179M103	08/03/20	11/27/17	Covered <sup>d.3</sup>	First InFirst OutSELL	2,700.000	28,619.37	100,217.00	-71,597.63
	08/03/20	02/22/18	Covered <sup>d.3</sup>	First InFirst OutSELL	2,300.000	24,379.46	70,490.00	-46,110.54
	08/03/20	05/06/19	Covered <sup>d.3</sup>	First InFirst OutSELL	1,000.000	10,599.76	31,314.70	-20,714.94
Total					8,500.000	90,098.00	262,227.95	-172,129.95
DOWINCCOM	08/03/20	02/07/13	Noncovered <sup>d.13</sup>	First InFirst OutSELL	1,232.667	49,416.53	40,080.33	9,336.20
Security Identifier:260557103	08/03/20	02/19/13	Noncovered <sup>d.13</sup>	First InFirst OutSELL	633.333	25,389.75	20,628.39	4,761.36
	08/03/20	05/06/19	Covered <sup>d.3</sup>	First InFirst OutSELL	2,134.000	85,550.17	115,295.85	-29,745.68
	08/03/20	06/06/19	Covered <sup>d.3</sup>	First InFirst OutSELL	1,400.000	56,124.76	71,085.62	-14,960.86
Total					5,400.000	216,481.21	247,090.19	-30,608.98
DUPONTDENEMOURSIN	08/03/20	02/07/13	Noncovered <sup>d.13</sup>	First InFirst OutSELL	1,232.667	64,664.28	58,818.41	5,845.87
Security Identifier:26614N102	08/03/20	02/19/13	Noncovered <sup>d.13</sup>	First InFirst OutSELL	633.333	33,223.92	30,272.41	2,951.51
	08/03/20	07/11/19	Covered <sup>d.3</sup>	First InFirst OutSELL	1,334.000	69,980.09	92,517.00	-22,536.91
Total					3,200.000	167,868.29	181,607.82	-13,739.53
GNMAGTDMTGPA STH	08/07/20	06/17/97	Noncovered <sup>d.13</sup>	REPYMTOFPRIN	517,118.000	19.00	18.40	0.60
OL# 412583 6.500%04/15/26B				Original Cost Basis:18.40				
/EDTD04/01/96	09/08/20	06/17/97	Noncovered <sup>d.13</sup>	REPYMTOFPRIN	517,118.000	18.83	18.23	0.60
Security Identifier:36206JJ47				Original Cost Basis:18.23				
	10/07/20	06/17/97	Noncovered <sup>d.13</sup>	REPYMTOFPRIN	517,118.000	19.08	18.47	0.61
				Original Cost Basis:18.47				
	11/06/20	06/17/97	Noncovered <sup>d.13</sup>	REPYMTOFPRIN	517,118.000	19.19	18.58	0.61
				Original Cost Basis:18.58				
	12/07/20	06/17/97	Noncovered <sup>d.13</sup>	REPYMTOFPRIN	517,118.000	19.30	18.69	0.61
				Original Cost Basis:18.69				
Total					2,585,590.000	95.40	92.37	3.03
GOLDMANSACHSGQGPA	06/23/20	06/01/18	Covered	First InFirst OutSELL	9,457.755	150,000.00	128,058.00	21,942.00
LOPPFUNDINSTLCLASS	11/04/20	06/01/18	Covered	First InFirst OutSELL	9,006.056	157,696.04	121,942.00	35,754.04
Security Identifier:38147N293	11/04/20	08/15/18	Covered	First InFirst OutSELL	3,825.555	66,985.47	50,000.00	16,985.47
	11/04/20	01/03/19	Covered	First InFirst OutSELL	4,815.448	84,318.49	57,400.14	26,918.35
	11/25/20	01/03/19	Covered	First InFirst OutSELL	2,734.888	47,067.42	32,599.86	14,467.56
	11/25/20	02/11/19	Covered	First InFirst OutSELL	3,955.696	68,077.53	50,000.00	18,077.53
	11/25/20	04/22/19	Covered	First InFirst OutSELL	6,150.787	105,855.05	83,343.16	22,511.89
Total					39,946.185	680,000.00	523,343.16	156,656.84

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Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
<b>Long Term (continued)</b>								
HALLIBURTONCOCOM	08/03/20	12/28/18	Covered <sup>1d3</sup>	First InFirst OutSELL	7,000.000	101,707.74	187,807.40	-86,099.66
Security Identifier:406216101	08/03/20	03/19/19	Covered <sup>1d3</sup>	First InFirst OutSELL	1,000.000	14,529.68	29,621.60	-15,091.92
	08/03/20	05/06/19	Covered <sup>1d3</sup>	First InFirst OutSELL	1,000.000	14,529.68	27,666.50	-13,136.82
<b>Total</b>					<b>9,000.000</b>	<b>130,767.10</b>	<b>245,095.50</b>	<b>-114,328.40</b>
HONEYWELLINTLINCC	08/03/20	07/05/02	Noncovered <sup>d13</sup>	First InFirst OutSELL	500.000	74,263.36	16,435.61	57,827.75
Security Identifier:438516106	08/03/20	04/21/09	Noncovered <sup>d13</sup>	First InFirst OutSELL	1,000.000	148,526.71	29,155.17	119,371.54
<b>Total</b>					<b>1,500.000</b>	<b>222,790.07</b>	<b>45,590.78</b>	<b>177,199.29</b>
INTELCORPCOM	08/03/20	04/21/09	Noncovered <sup>d13</sup>	First InFirst OutSELL	1,900.000	91,767.97	29,146.00	62,621.97
Security Identifier:458140100	08/03/20	02/07/13	Noncovered <sup>d13</sup>	First InFirst OutSELL	2,000.000	96,597.87	41,936.00	54,661.87
	08/03/20	02/19/13	Noncovered <sup>d13</sup>	First InFirst OutSELL	1,400.000	67,618.50	29,638.00	37,980.50
<b>Total</b>					<b>5,300.000</b>	<b>255,984.34</b>	<b>100,720.00</b>	<b>155,264.34</b>
INTERNATIONALPAPER	08/03/20	12/28/07	Noncovered <sup>d13</sup>	First InFirst OutSELL	5,350.000	189,599.81	171,023.66	18,576.15
Security Identifier:460146103	08/03/20	11/16/10	Noncovered <sup>d13</sup>	First InFirst OutSELL	650.000	23,035.49	0.00	23,035.49
<b>Total</b>					<b>6,000.000</b>	<b>212,635.30</b>	<b>171,023.66</b>	<b>41,611.64</b>
WCMFOCUSEDINTERNAT	11/24/20	08/29/19	Covered	First InFirst OutSELL	21,132.713	499,985.00	367,727.59	132,257.41
THFUNDINSTITUTIONAL CLASS								
Security Identifier:461418444								
ISHARESTRMSCI EAFE	03/11/20	10/09/18	Covered	First InFirst OutSELL	1,000.000	65,555.30	70,072.99	-4,517.69
OLATILITYETF	04/28/20	10/09/18	Covered	First InFirst OutSELL	1,000.000	65,286.58	70,072.98	-4,786.40
Security Identifier:46429B689	04/28/20	10/11/18	Covered	First InFirst OutSELL	1,500.000	97,929.86	103,973.89	-6,044.03
	06/09/20	10/11/18	Covered	First InFirst OutSELL	500.000	34,071.77	34,657.96	-586.19
	06/09/20	10/16/18	Covered	First InFirst OutSELL	500.000	34,071.77	34,912.90	-841.13
	07/27/20	12/04/18	Covered	First InFirst OutSELL	500.000	34,086.79	34,759.48	-672.69
<b>Total</b>					<b>5,000.000</b>	<b>331,002.07</b>	<b>348,450.20</b>	<b>-17,448.13</b>
JPMORGANCHASE& CO	08/03/20	03/07/16	Covered <sup>1d3</sup>	First InFirst OutSELL	2,100.000	201,805.53	125,750.33	76,055.20
US46625H1005								
Security Identifier:46625H100								



Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	DispositionMethod/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term (continued)								
JAPANSMALLERCAPITA	08/04/20	09/27/18	Covere <sup>1</sup> d <sup>3</sup>	First InFirst Ou/tSELL	5,062.000	39,430.55	56,287.16	-16,856.61
DINCCOM	08/04/20	09/27/18	Covere <sup>1</sup> d <sup>3</sup>	First InFirst Ou/tSELL	4,937.763	38,563.51	54,905.70	-16,342.19
SecurityIdentifier:47109U104	08/04/20	10/29/18	Covere <sup>1</sup> d <sup>3</sup>	First InFirst Ou/tSELL	2,062.237	16,105.90	20,664.85	-4,558.95
	08/28/20	10/29/18	Covere <sup>1</sup> d <sup>3</sup>	First InFirst Ou/tSELL	2,937.763	23,499.64	29,438.15	-5,938.51
	08/28/20	01/10/19	Covere <sup>1</sup> d <sup>3</sup>	First InFirst Ou/tSELL	4,562.237	36,494.08	39,969.43	-3,475.35
Total					19,562.000	154,093.68	201,265.29	-47,171.61
JOHNSON&JOHNSONCO	08/03/20	10/21/03	Noncovere <sup>1</sup> d <sup>13</sup>	First InFirst Ou/tSELL	1,692.000	249,310.69	85,953.60	163,357.09
SecurityIdentifier:478160104	08/03/20	10/19/06	Noncovere <sup>1</sup> d <sup>13</sup>	First InFirst Ou/tSELL	8.000	1,178.77	544.32	634.45
	08/03/20	02/19/13	Noncovere <sup>1</sup> d <sup>13</sup>	First InFirst Ou/tSELL	200.000	29,469.35	15,410.00	14,059.35
Total					1,900.000	279,958.81	101,907.92	178,050.89
LOWESCOSINCCOM	08/03/20	08/31/17	Covere <sup>1</sup> d <sup>3</sup>	First InFirst Ou/tSELL	2,000.000	300,533.35	148,624.33	151,909.02
SecurityIdentifier:548661107								
MAINSTAYMACKAYSHOR	03/12/20	06/01/18	Covered	First InFirst Ou/tSELL	10,162.602	92,174.80	100,000.00	-7,825.20
HIGHYIELDFUNDCLASSI								
SecurityIdentifier:56064B738								
MATTHEWSASIASMALL	05/26/20	06/01/18	Covered	First InFirst Ou/tSELL	4,201.681	73,277.32	100,000.00	0.00
FUNDINVESTORCLASS								
SecurityIdentifier:577125206	05/26/20	08/15/18	Covered	First InFirst Ou/tSELL	867.052	15,121.39	18,000.00	0.00
	05/26/20	09/26/18	Covered	First InFirst Ou/tSELL	536.324	9,353.49	11,000.00	0.00
	05/26/20	05/08/20	Covered	First InFirst Ou/tSELL	4,201.681	73,277.31	97,899.15	-24,621.84
	05/26/20	05/08/20	Covered	First InFirst Ou/tSELL	867.052	15,121.39	17,566.48	-2,445.09
	05/26/20	05/08/20	Covered	First InFirst Ou/tSELL	536.324	9,353.49	10,731.84	-1,378.35
Total					11,210.114	195,504.39	255,197.47	-28,445.28
MATTHEWSASIAGROWTH	05/19/20	06/01/18	Covered	First InFirst Ou/tSELL	6,307.333	173,010.15	185,372.51	-12,362.36
STORCLASS	05/19/20	08/15/18	Covered	First InFirst Ou/tSELL	414.625	11,373.16	11,000.00	373.16
SecurityIdentifier:577130867								
Total					6,721.958	184,383.31	196,372.51	-11,989.20
MERCK&COINCNEWC	08/03/20	04/21/09	Noncovere <sup>1</sup> d <sup>13</sup>	First InFirst Ou/tSELL	3,300.000	272,375.98	78,398.10	193,977.88
SecurityIdentifier:58933Y105								

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Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
<i>Long Term (continued)</i>								
MICROSOFTCORPCOM	08/03/20	10/14/10	Noncovered <sup>13</sup>	First InFirst OutSELL	450.000	97,440.85	11,353.50	86,087.35
Security Identifier:594918104	08/03/20	02/19/13	Noncovered <sup>13</sup>	First InFirst OutSELL	950.000	205,708.45	26,637.00	179,071.45
<b>Total</b>					<b>1,400.000</b>	<b>303,149.30</b>	<b>37,990.50</b>	<b>265,158.80</b>
MORGANSTANLEYBKN	08/21/20	04/15/19	Covered	First InFirst OutREDEMPTION	100,000.000	100,000.00	100,000.00	0.00
ECITYUTAHCTFDEP 2.500%08/21/20B/EDTD02/21/19				Original Cost Basis:100,219.00				
Security Identifier:61690UEM8								
OTISWORLDWIDECORP	08/03/20	12/31/18	Covered <sup>1d3</sup>	First InFirst OutSELL	1,000.000	63,208.60	55,227.13	7,981.47
Security Identifier:68902V107								
PAYPALHLDGSINCCOM	08/03/20	05/10/16	Covered <sup>1d3</sup>	First InFirst OutSELL	300.000	59,119.69	11,845.86	47,273.83
Security Identifier:70450Y103	08/03/20	04/04/17	Covered <sup>1d3</sup>	First InFirst OutSELL	1,500.000	295,598.47	63,826.25	231,772.22
<b>Total</b>					<b>1,800.000</b>	<b>354,718.16</b>	<b>75,672.11</b>	<b>279,046.05</b>
PFIZERINCCOM	08/03/20	09/11/07	Noncovered <sup>13</sup>	First InFirst OutSELL	4,000.000	153,396.61	96,840.00	56,556.61
Security Identifier:717081103	08/03/20	10/14/10	Noncovered <sup>13</sup>	First InFirst OutSELL	3,000.000	115,047.45	53,280.00	61,767.45
<b>Total</b>					<b>7,000.000</b>	<b>268,444.06</b>	<b>150,120.00</b>	<b>118,324.06</b>
RAYTHEONTECHNOLOGIE	08/03/20	12/31/18	Covered <sup>1d3</sup>	First InFirst OutSELL	2,000.000	115,017.45	116,546.53	-1,529.08
Security Identifier:75513E101								
ROYALBKCDAGLOBAL	03/02/20	05/08/18	Covered	First InFirst OutREDEMPTION	50,000.000	50,000.00	49,328.00	672.00
MSRBKNTS 2.125%03/02/20B/EDTD03/06/17				Adjustment6:72.00Adjustment Type: R				
Security Identifier:78012KC62				Original Cost Basis:49,328.00				
UNITEDSTATESTREAS	04/30/20	01/02/19	Covered	First InFirst OutREDEMPTION	200,000.000	200,000.00	196,360.94	3,639.06
%04/30/20B/EDTD04/30/13				Adjustment3:639.06Adjustment Type: R				
Security Identifier:912828VA5				Original Cost Basis:196,360.94				
UNITEDSTATESTREAS	01/15/20	01/02/19	Covered	First InFirst OutREDEMPTION	200,000.000	200,000.00	197,557.00	2,443.00
%01/15/20B/EDTD01/15/17				Adjustment2:443.00Adjustment Type: R				
Security Identifier:912828V31				Original Cost Basis:197,557.00				



Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
Long Term (continued)								
VANGUARDTOTALINTER TOCKINDEXFUNDADMIRALSHARES Security Identifier:921909818	10/02/20	07/23/18	Covered	First InFirst OutSELL	3,281.027	91,975.00	96,244.55	-4,269.55
VANGUARDTOTALBOND EXFUNDADMIRAL SHARES Security Identifier:921937603	12/28/20	07/18/19	Covered	First InFirst OutSELL	8,823.430	102,344.29	96,363.90	5,980.39
VANGUARDFTSEALLWO	08/19/20	05/01/18	Covered	First InFirst OutSELL	11,488.279	368,080.08	389,002.85	-20,922.77
. INDEXFUNDADMIRALCLASS	08/19/20	06/04/18	Covered	First InFirst OutSELL	8,143.431	260,912.42	275,023.30	-14,110.88
Security Identifier:921937736	11/04/20	06/04/18	Covered	First InFirst OutSELL	2,220.798	71,286.54	75,001.70	-3,715.16
	11/04/20	10/16/18	Covered	First InFirst OutSELL	3,244.646	104,151.56	100,025.00	4,126.56
	11/04/20	10/29/18	Covered	First InFirst OutSELL	8,614.748	276,529.23	250,025.00	26,504.23
	11/04/20	12/12/19	Covered	First InFirst OutSELL	387.481	12,437.95	12,951.04	-513.09
Yourlot hasbeenadjustedduetoawash saleformorethanoneyear.								
Total					34,099.383	1,093,397.78	1,102,028.89	-8,631.11
VANGUARDDEVELOPEDM EXFUNDADMIRAL CLASS Security Identifier:921943809	05/19/20	04/22/19	Covered	First InFirst OutSELL	4,340.278	49,975.00	58,512.80	-8,537.80
	10/02/20	04/22/19	Covered	First InFirst OutSELL	12,566.372	165,097.13	169,411.63	-4,314.50
Total					16,906.650	215,072.13	227,924.43	-12,852.30
VANGUARDINTERNATION RFUND Security Identifier:921946208	05/19/20	06/07/18	Covered	First InFirst OutSELL	6,902.899	99,454.20	150,025.00	-50,570.80
	05/19/20	10/12/18	Covered	First InFirst OutSELL	3,506.539	50,520.80	65,413.31	-14,892.51
Total					10,409.438	149,975.00	215,438.31	-65,463.31
VANGUARDINTLEQUITY	01/21/20	06/14/18	Covered	First InFirst OutSELL	250.000	27,928.97	30,373.61	-2,444.64
FTSEALLWORLDDEXUSASMALC	08/19/20	07/30/18	Covered	First InFirst OutSELL	1,200.000	128,395.18	140,312.95	-11,917.77
APIINDEXFDETFSHS	08/19/20	10/23/18	Covered	First InFirst OutSELL	500.000	53,497.99	50,513.95	2,984.04
Security Identifier:922042718	08/19/20	12/31/18	Covered	First InFirst OutSELL	300.000	32,098.80	28,436.53	3,662.27
Total					2,250.000	241,920.94	249,637.04	-7,716.10
VERIZONCOMMUNICATIO Security Identifier:92343V104	08/03/20	02/21/14	Noncovered <sup>13</sup>	First InFirst OutSELL	1,341.000	76,757.14	64,522.21	12,234.93
	08/03/20	03/06/14	Noncovered <sup>13</sup>	First InFirst OutSELL	2,859.000	163,645.54	135,802.50	27,843.04
Total					4,200.000	240,402.68	200,324.71	40,077.97
WESTROCKCOCOM Security Identifier:96145D105	08/03/20	05/10/16	Covered <sup>13</sup>	First InFirst OutSELL	3,600.000	100,149.79	135,054.48	-34,904.69
	08/03/20	12/28/18	Covered <sup>13</sup>	First InFirst OutSELL	1,000.000	27,819.38	38,358.50	-10,539.12
	08/03/20	12/31/18	Covered <sup>13</sup>	First InFirst OutSELL	1,000.000	27,819.39	37,438.00	-9,618.61
	08/03/20	05/06/19	Covered <sup>13</sup>	First InFirst OutSELL	1,000.000	27,819.38	38,840.90	-11,021.52
Total					6,600.000	183,607.94	249,691.88	-66,083.94

December 1, 2020 - December 31, 2020  
 SAMPLE CLIENT

Schedule of Realized Gains and Losses Year-to-Date(continued)

Description	Date Disposed	Date Acquired	Designation	Disposition Method/ Transaction	Quantity	Proceeds	Cost Basis	Realized Gain/Loss
<b>Long Term (continued)</b>								
WEYERHAEUSERCOCOM	08/03/20	01/06/11	Noncovered <sup>13</sup>	First InFirst Out/SELL	5,700.000	159,596.47	114,757.53	44,838.94
Security Identifier:962166104	08/03/20	12/31/18	Covered <sup>3</sup>	First InFirst Out/SELL	2,000.000	55,998.76	43,602.10	12,396.66
	08/03/20	06/06/19	Covered <sup>3</sup>	First InFirst Out/SELL	1,000.000	27,999.38	23,061.50	4,937.88
<b>Total</b>					<b>8,700.000</b>	<b>243,594.61</b>	<b>181,421.13</b>	<b>62,173.48</b>
<b>Total Long Term</b>						<b>11,409,476.10</b>	<b>9,509,529.84</b>	<b>1,931,194.06</b>
<b>Total Short and Long Term</b>						<b>15,941,990.20</b>	<b>13,879,696.80</b>	<b>2,093,541.20</b>

<sup>13</sup> Noncovered under the cost basis rules as defined below.

Generally, securities acquired before 2011, in retirement accounts or held by Non-U.S. entities are not subject to the cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended by the Emergency Economic Stabilization Act of 2008, and are marked as "noncovered". Securities marked as "covered", were identified as securities potentially subject to the cost basis reporting rules and may be reported to the IRS on form 1099-B for the applicable tax year in which the securities are disposed.

Note: In the event where we cannot easily determine the taxability of an account, we may mark the account as noncovered. However, if the account does not receive a 1099B, the cost basis will not be reported to the IRS.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Cost Basis on fixed income securities may be adjusted for amortization, accretion, original issue discount adjustments, or principal paydowns. The calculation is based upon the taxpayer election, type of fixed income security, and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the cost basis system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving foreign bonds, bonds sold short or bonds issued with less than one year to maturity. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

<sup>13</sup> The cost basis of this security has been provided to us by the delivering firm or transferring agent and Pershing makes no representation as to the accuracy of this information.

<sup>R</sup> Reportable Income- This adjustment is the deferred market discount on a disposed tax lot from the Original Settle Date.

## Schedule of Realized Gains and Losses Year-to-Date(continued)

<sup>W</sup> *Wash Sales Loss- This adjustment is the amount for a disallowed wash sale loss.*

Please refer to the Your Account Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

## Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your Investment Professional for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

Please be advised that, beginning August 1, 2020, Pershing implemented a change to accounts governed by a margin agreement. Under the new process, eligible settled long positions will be maintained in a margin account type. Accounts that are not governed by a margin agreement will not be affected by this change. Under this new process you will continue to retain the ability to segregate positions on an exception basis.

Please contact your advisory firm if you wish to learn more about this change.

Pershing Advisor Solutions does not solicit nor act with discretion on any trade executions for your account. The terms solicited/ unsolicited and discretionary/ non-discretionary on your trade confirmation identify how your investment advisor reported that it acted on this transaction.

## Important Information and Disclosures

### The Role of Pershing

- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC

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## Important Information and Disclosures *(continued)*

### The Role of Pershing *(continued)*

protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: [www.pershing.com/about/strength-and-stability](http://www.pershing.com/about/strength-and-stability).

- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330. Errors and Omission excepted.

### Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

### Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until; (I) the class certification is denied; (II) the class is decertified; or (III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.

## TERMS AND CONDITIONS

### TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

**FREE CREDIT BALANCES:** ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

**DEBIT BALANCES:** INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

**MARGIN INFORMATION:** IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

### TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

### GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANYTIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW.
- PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.
- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- THE INVESTMENT ADVISOR RETAINED BY YOU, IF ANY, IS RESPONSIBLE FOR DETERMINING THE SUITABILITY OF EACH TRANSACTION IN YOUR ACCOUNT. PERSHING DOES NOT EVALUATE WHETHER YOUR INVESTMENTS COMPORT WITH YOUR FINANCIAL STATUS OR OBJECTIVES. YOU RELEASE PERSHING FROM ANY LIABILITY TO DO SO.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

### PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES [REGULATION NMS - RULE 607 (A)(1)-(2)]

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

**BEST EXECUTION:** NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

### WRAP ACCOUNT CUSTOMERS WHO ELECTED NOT TO RECEIVE IMMEDIATE CONFIRMATION OF TRANSACTIONS

THE FOLLOWING TERMS AND CONDITIONS ARE APPLICABLE ONLY IF YOUR ACCOUNT IS AN INVESTMENT ADVISORY ACCOUNT AND THE TRANSACTION CONFIRMATIONS ARE NOT SENT TO YOU (SENT ONLY TO YOUR FIDUCIARY) PURSUANT TO YOUR INSTRUCTION:

### WRAP ACCOUNT TRANSACTIONS

- THE FOLLOWING INFORMATION WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR FINANCIAL INSTITUTION ("INTRODUCING FIRM") WITH RESPECT TO ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU: THE MARKET UPON WHICH ANY TRANSACTION WAS EXECUTED; THE TIME OF DAY THAT ANY TRANSACTION WAS EXECUTED; THE NAME OF THE PERSON FROM WHOM ANY SECURITY WAS PURCHASED OR TO WHOM SUCH SECURITY WAS SOLD; THE SOURCE AND AMOUNT OF OTHER COMMISSIONS RECEIVED IN CONNECTION WITH ANY TRANSACTION; AND A COPY OF THE TRANSACTION CONFIRMATION.
- YOUR INTRODUCING FIRM EFFECTED EACH TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU AS YOUR AGENT.
- IN CONNECTION WITH EACH EQUITY SECURITY AND CERTAIN OTHER SECURITIES SOLD, YOUR INTRODUCING FIRM CHARGES TO YOU, AND REMITS TO A REGULATORY ORGANIZATION OR NATIONAL SECURITIES EXCHANGE, A "TRANS. FEE." PERSHING CALCULATES THIS FEE BASED ON AMOUNTS PAID BY YOUR INTRODUCING FIRM TO THE APPLICABLE REGULATORY ORGANIZATION OR NATIONAL SECURITIES EXCHANGE. THIS, IN TURN, IS BASED ON THE VALUE OF THE APPLICABLE SECURITIES SOLD. TO DETERMINE THE EXACT AMOUNT OF THIS FEE WITH RESPECT TO ANY TRANSACTION, PLEASE CONTACT YOUR INTRODUCING FIRM.
- IF ANY TRANSACTION INVOLVES AN ASSET-BACKED SECURITY, INCLUDING A MUNICIPAL COLLATERALIZED MORTGAGE OBLIGATION, WHICH REPRESENTS AN INTEREST IN OR IS SECURED BY A POOL OF RECEIVABLES OR OTHER FINANCIAL ASSETS THAT ARE SUBJECT CONTINUOUSLY TO PREPAYMENT, THEN THE ACTUAL YIELD OF SUCH SECURITY MAY VARY ACCORDING TO THE RATE AT WHICH THE UNDERLYING RECEIVABLES OR OTHER FINANCIAL ASSETS ARE PREPAID. INFORMATION CONCERNING THE FACTORS THAT AFFECT YIELD (INCLUDING AT A MINIMUM ESTIMATED YIELD, WEIGHTED AVERAGE LIFE, AND THE PREPAYMENT ASSUMPTIONS OF UNDERLYING YIELD) WILL BE FURNISHED TO YOU UPON REQUEST TO YOUR INTRODUCING FIRM.

**WRAP ACCOUNT ORDER FLOW:** YOUR INTRODUCING FIRM DOES NOT RECEIVE ANY PAYMENT FOR ORDER FLOW FOR ANY TRANSACTION FOR WHICH A CONFIRMATION WAS NOT SENT TO YOU.

**WRAP ACCOUNT CALL FEATURES:** CALL FEATURES MAY EXIST FOR SECURITIES. CALL FEATURES FOR FIXED INCOME SECURITIES MAY AFFECT YIELD. COMPLETE INFORMATION WILL BE PROVIDED UPON REQUEST.

**WRAP ACCOUNT RATINGS:** THE RATINGS THAT APPEAR IN THE DESCRIPTION OF SOME FIXED INCOME SECURITIES HAVE BEEN OBTAINED FROM RATINGS SERVICES WHICH PERSHING BELIEVES TO BE RELIABLE; HOWEVER, PERSHING CANNOT GUARANTEE THEIR ACCURACY. SECURITIES FOR WHICH A RATING IS NOT AVAILABLE ARE MARKED "UNRATED".

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN. LEGAL DEPT

# Contact

*For additional information please contact Jack Moore.*

*Harpswell Capital Advisors, LLC  
41 Campus Drive, Suite 203  
New Gloucester, ME 04260*

*+1 207 926 1348 w  
jpm@harpswelladvisors.com*





## Addendum B

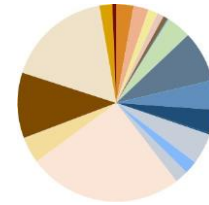


# Quarterly Statement Package

Household: SAMPLE CLIENT QUARTERLY REPORT  
 Period: 10/1/2021 to 12/31/2021

## Activity Summary Allocation Summary

	Period (10/1/2021 to 12/31/2021)	1-Year (1/1/2021 to 12/31/2021)	3-Year (1/1/2019 to 12/31/2021)	5-Year (1/1/2017 to 12/31/2021)
Beginning Market Value	\$1,234,917.69	\$1,139,281.03	\$884,326.41	\$710,417.83
Contributions	\$3,500.00	\$22,000.00	\$50,235.64	\$213,983.97
Distributions	(\$15,725.00)	(\$20,725.00)	(\$52,670.00)	(\$78,470.00)
Transfer In/Out	\$0.00	\$0.00	\$0.00	(\$17,500.01)
Advisory Fees Paid	(\$1,248.26)	(\$4,815.78)	(\$12,542.88)	(\$19,848.37)
Miscellaneous Charges	(\$105.00)	(\$585.03)	(\$1,766.52)	(\$2,627.99)
Market Value Increase/Decrease	\$47,902.41	\$134,086.62	\$401,659.19	\$463,286.41
Ending Market Value	\$1,269,241.84	\$1,269,241.84	\$1,269,241.84	\$1,269,241.84
Time Weighted Return (Net)	3.75 %	11.18 %	12.82 %	9.08 %



Asset Class	Allocation
Emerging Asia	2.8 %
S&P MidCap 400	2.5 %
Russell Mid Cap Value	1.6 %
Balanced Fund	1.1 %
International Small Cap Eq	0.8 %
Intermediate Corp Bonds	0.2 %
CASH - Taxable	4.0 %
CASH - Tax Exempt	0.1 %
Ultra Short Term Bonds	8.4 %
Short Term Corporates	4.8 %
Short Aggregate Bond Index	3.9 %
Intermediate Gov't Bonds	0.3 %
Aggregate Bond Index	5.1 %
Intermediate Bonds	2.1 %
International Bonds	2.2 %
Large Cap Equities	25.4 %
Russell 2000 Index	4.0 %
Russell Small Value	0.3 %
International Developed	10.7 %
MSCI World	17.0 %
MSCI World ex US	0.3 %
Emerging Markets Equity	2.1 %
Hedge Fund	0.6 %

## Portfolio Detail

Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
US Dollars	CASH - Tax Exempt	Money Market	USxxx997	1.660	\$1.00	12/31/2021	0.00 %	\$1.66
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	959.000	\$1.00	12/31/2021	1.34 %	\$959.00
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	21.000	\$84.75	12/31/2021	2.48 %	\$1,779.75
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	23.000	\$81.26	12/31/2021	2.61 %	\$1,868.98
Goldman Sachs GQG Ptnrs Intl Op Fund	International Developed	Equity	GSIMX	174.908	\$19.60	12/31/2021	4.78 %	\$3,428.20



Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	66.000	\$55.16	12/31/2021	5.08 %	\$3,640.56
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	44.000	\$87.62	12/31/2021	5.38 %	\$3,855.28
Vanguard Total World	MSCI World	Equity	VT	50.000	\$107.43	12/31/2021	7.49 %	\$5,371.50
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	809.717	\$9.77	12/31/2021	11.03 %	\$7,910.94
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	551.489	\$14.78	12/31/2021	11.37 %	\$8,151.01
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	161.000	\$61.28	12/31/2021	13.76 %	\$9,866.08
Vanguard S&P 500	Large Cap Equities	Equity	VOO	57.000	\$436.57	12/31/2021	34.70 %	\$24,884.49
<b>SAMPLE CLIENT XXXXXXXXXX Total</b>								<b>\$71,717.45</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	11.000	\$80.83	12/31/2021	1.23 %	\$889.13
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	11.000	\$81.26	12/31/2021	1.24 %	\$893.86
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	11.000	\$84.75	12/31/2021	1.29 %	\$932.25
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	11.000	\$87.62	12/31/2021	1.34 %	\$963.82
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	34.000	\$55.16	12/31/2021	2.60 %	\$1,875.44
Vanguard Extended Market Index Admiral	S&P MidCap 400	Equity	VEXAX	14.498	\$138.70	12/31/2021	2.79 %	\$2,010.87
DFA United Kingdom Small Co	International Small Cap Eq	Equity	DFUKX	67.590	\$30.60	12/31/2021	2.87 %	\$2,068.25
iShares Biotechnology ETF	Large Cap Equities	Equity	IBB	20.000	\$152.62	12/31/2021	4.24 %	\$3,052.40
JOHCM International Select I	International Developed	Equity	JOHIX	144.648	\$30.07	12/31/2021	6.04 %	\$4,349.57
Parnassus Endeavor Instl	Large Cap Equities	Equity	PPFWX	81.363	\$54.10	12/31/2021	6.11 %	\$4,401.74
Guggenheim Ultra Short Duration A	Ultra Short Term Bonds	Fixed Income	GIYAX	501.002	\$9.93	12/31/2021	6.90 %	\$4,974.95
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	5,028.760	\$1.00	12/31/2021	6.98 %	\$5,028.76
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	84.000	\$61.28	12/31/2021	7.14 %	\$5,147.52
Vanguard Short-Term Corporate Bond Index Adm	Short Term Corporates	Fixed Income	VSCSX	453.867	\$22.13	12/31/2021	13.94 %	\$10,044.08
Vanguard S&P 500	Large Cap Equities	Equity	VOO	25.000	\$436.57	12/31/2021	15.15 %	\$10,914.25
Vanguard Global Minimum Volatility Admiral	MSCI World	Equity	VMNVX	490.733	\$29.56	12/31/2021	20.13 %	\$14,506.07
<b>SAMPLE CLIENT XXXXXXXXXX Total</b>								<b>\$72,052.96</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
US Dollars	CASH - Tax Exempt	Money Market	USxxxx997	59.970	\$1.00	12/31/2021	0.23 %	\$59.97
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	5.000	\$80.83	12/31/2021	1.53 %	\$404.15
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	5.000	\$81.26	12/31/2021	1.54 %	\$406.30
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	5.000	\$84.75	12/31/2021	1.60 %	\$423.75
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	5.000	\$87.62	12/31/2021	1.66 %	\$438.10
iShares Biotechnology ETF	Large Cap Equities	Equity	IBB	4.000	\$152.62	12/31/2021	2.31 %	\$610.48
Vanguard Small-Cap Value	Russell Small Value	Equity	VBR	8.000	\$178.85	12/31/2021	5.41 %	\$1,430.80

Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
Vanguard Small-Cap	Russell 2000 Index	Equity	VB	7.000	\$226.01	12/31/2021	5.99 %	\$1,582.07
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	2,186.210	\$1.00	12/31/2021	8.27 %	\$2,186.21
GQG Emerging Mkts Eqty Instl	Emerging Markets Equity	Equity	GQGIX	147.820	\$16.86	12/31/2021	9.43 %	\$2,492.25
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	92.081	\$28.56	12/31/2021	9.95 %	\$2,629.83
WCM Focused International Growth Instl	International Developed	Equity	WCMIX	105.708	\$27.68	12/31/2021	11.07 %	\$2,926.00
Vanguard S&P 500	Large Cap Equities	Equity	VOO	11.000	\$436.57	12/31/2021	18.17 %	\$4,802.27
Vanguard Total Stock Market	Large Cap Equities	Equity	VTI	25.000	\$241.44	12/31/2021	22.84 %	\$6,036.00
<b>SAMPLE CLIENT XXXXXXXXX Total</b>								<b>\$26,428.18</b>
<b>SAMPLE CLIENT XXXXXXXXX</b>								
WCM Focused Emerging Markets Fund Institutional	Emerging Markets Equity	Equity	WCMEX	36.729	\$18.67	12/31/2021	1.06 %	\$685.73
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	13.000	\$80.83	12/31/2021	1.62 %	\$1,050.79
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	12.000	\$87.62	12/31/2021	1.62 %	\$1,051.44
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	13.000	\$81.26	12/31/2021	1.63 %	\$1,056.38
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	13.000	\$84.75	12/31/2021	1.70 %	\$1,101.75
Matthews India Instl	Emerging Asia	Equity	MIDNX	52.706	\$28.64	12/31/2021	2.32 %	\$1,509.50
DFA United Kingdom Small Co	International Small Cap Eq	Equity	DFUKX	50.693	\$30.60	12/31/2021	2.39 %	\$1,551.21
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	40.000	\$55.16	12/31/2021	3.40 %	\$2,206.40
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	254.065	\$9.77	12/31/2021	3.82 %	\$2,482.22
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	3,107.360	\$1.00	12/31/2021	4.78 %	\$3,107.36
Vanguard Total World Stk Index Adm Shr	MSCI World	Equity	VTWAX	86.693	\$38.07	12/31/2021	5.08 %	\$3,300.40
Parnassus Endeavor Instl	Large Cap Equities	Equity	PPWX	86.505	\$54.10	12/31/2021	7.20 %	\$4,679.92
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	85.000	\$61.28	12/31/2021	8.02 %	\$5,208.80
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	444.444	\$14.78	12/31/2021	10.11 %	\$6,568.88
Vanguard Total World	MSCI World	Equity	VT	75.000	\$107.43	12/31/2021	12.40 %	\$8,057.25
Vanguard Short-Term Corporate Bond Index Adm	Short Term Corporates	Fixed Income	VSCSX	413.034	\$22.13	12/31/2021	14.07 %	\$9,140.44
Vanguard S&P 500	Large Cap Equities	Equity	VOO	28.000	\$436.57	12/31/2021	18.81 %	\$12,223.96
<b>SAMPLE CLIENT XXXXXXXXX Total</b>								<b>\$64,982.43</b>
<b>SAMPLE CLIENT XXXXXXXXX</b>								
US Dollars	CASH - Tax Exempt	Money Market	USxxx997	445.350	\$1.00	12/31/2021	0.19 %	\$445.35
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	15.000	\$84.75	12/31/2021	0.53 %	\$1,271.25
Vanguard Mid Cap Value Index Adm	Russell Mid Cap Value	Equity	VMVAX	20.833	\$77.84	12/31/2021	0.68 %	\$1,621.64
Matthews India Instl	Emerging Asia	Equity	MIDNX	70.274	\$28.64	12/31/2021	0.84 %	\$2,012.65
Matthews Emerging Markets Small Companies CI INV	Emerging Asia	Equity	MSMLX	73.073	\$29.92	12/31/2021	0.91 %	\$2,186.34
DFA United Kingdom Small Co	International Small Cap Eq	Equity	DFUKX	84.488	\$30.60	12/31/2021	1.08 %	\$2,585.33

Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	47.000	\$55.16	12/31/2021	1.08 %	\$2,592.52
Vanguard Balanced Index Adm	Balanced Fund	Balanced Funds	VBIAX	66.066	\$49.02	12/31/2021	1.35 %	\$3,238.56
Vanguard Intrmd-Term Treasury Index Fund Admiral	Intermediate Gov't Bonds	Fixed Income	VSIGX	146.049	\$22.46	12/31/2021	1.37 %	\$3,280.26
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	3,634.790	\$1.00	12/31/2021	1.51 %	\$3,634.79
Vanguard Total World Stk Index Adm Shr	MSCI World	Equity	VTWAX	130.039	\$38.07	12/31/2021	2.06 %	\$4,950.58
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	94.000	\$87.62	12/31/2021	3.43 %	\$8,236.28
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	375.573	\$28.56	12/31/2021	4.47 %	\$10,726.36
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	216.000	\$81.26	12/31/2021	7.31 %	\$17,552.16
Vanguard Extended Market Index Admiral	S&P MidCap 400	Equity	VEXAX	128.487	\$138.70	12/31/2021	7.42 %	\$17,821.15
Vanguard Total Stock Mkt Index Adm	Large Cap Equities	Equity	VTSAX	175.285	\$117.56	12/31/2021	8.58 %	\$20,606.50
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	2,186.893	\$9.77	12/31/2021	8.90 %	\$21,365.94
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	372.000	\$61.28	12/31/2021	9.49 %	\$22,796.16
GQG Partners Global Quality Equity Instl	MSCI World	Equity	GQRIX	1,815.541	\$14.90	12/31/2021	11.27 %	\$27,051.56
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	1,875.475	\$14.78	12/31/2021	11.54 %	\$27,719.52
Vanguard S&P 500	Large Cap Equities	Equity	VOO	88.000	\$436.57	12/31/2021	16.00 %	\$38,418.16
<b>SAMPLE CLIENT XXXXXXXXXX Total</b>								<b>\$240,113.06</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
US Dollars	CASH - Tax Exempt	Money Market	USxxxx997	15.630	\$1.00	12/31/2021	0.01 %	\$15.63
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	93.809	\$28.56	12/31/2021	1.65 %	\$2,679.19
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	60.000	\$87.62	12/31/2021	3.24 %	\$5,257.20
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	65.000	\$81.26	12/31/2021	3.25 %	\$5,281.90
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	5,681.520	\$1.00	12/31/2021	3.50 %	\$5,681.52
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	122.000	\$55.16	12/31/2021	4.14 %	\$6,729.52
Guggenheim Limited Duration Instl	Short Aggregate Bond Index	Fixed Income	GILHX	323.494	\$25.14	12/31/2021	5.00 %	\$8,132.64
Goldman Sachs Absolute Return Tracker I	Hedge Fund	Hedge Fund	GJRTX	831.601	\$9.80	12/31/2021	5.02 %	\$8,149.69
WCM Focused International Growth Instl	International Developed	Equity	WCMIX	371.839	\$27.68	12/31/2021	6.33 %	\$10,292.50
WCM Focused Emerging Markets Fund Institutional	Emerging Markets Equity	Equity	WCMEX	618.132	\$18.67	12/31/2021	7.10 %	\$11,540.52
Vanguard Total World Stk Index Adm Shr	MSCI World	Equity	VTWAX	303.424	\$38.07	12/31/2021	7.11 %	\$11,551.35
Parnassus Endeavor Instl	Large Cap Equities	Equity	PPFWX	218.699	\$54.10	12/31/2021	7.28 %	\$11,831.62
Vanguard S&P 500	Large Cap Equities	Equity	VOO	30.000	\$436.57	12/31/2021	8.06 %	\$13,097.10
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	925.098	\$14.78	12/31/2021	8.41 %	\$13,672.95
DFA One-Year Fixed Income Portfolio (I)	Ultra Short Term Bonds	Fixed Income	DFIHX	1,460.565	\$10.28	12/31/2021	9.24 %	\$15,014.61
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	249.000	\$61.28	12/31/2021	9.39 %	\$15,258.72
Vanguard Small-Cap	Russell 2000 Index	Equity	VB	81.000	\$226.01	12/31/2021	11.27 %	\$18,306.81
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$162,493.47</b>

SAMPLE CLIENT

Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	15.000	\$84.75	12/31/2021	3.65 %	\$1,271.25
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	16.000	\$80.83	12/31/2021	3.72 %	\$1,293.28
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	16.000	\$81.26	12/31/2021	3.74 %	\$1,300.16
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	15.000	\$87.62	12/31/2021	3.78 %	\$1,314.30
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	2,304.610	\$1.00	12/31/2021	6.62 %	\$2,304.61
WCM Focused International Growth Instl	International Developed	Equity	WCMIX	99.157	\$27.68	12/31/2021	7.89 %	\$2,744.67
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	201.465	\$14.78	12/31/2021	8.56 %	\$2,977.65
Guggenheim Limited Duration Instl	Short Aggregate Bond Index	Fixed Income	GILHX	134.310	\$25.14	12/31/2021	9.70 %	\$3,376.55
Vanguard Total World Stk Index Adm Shr	MSCI World	Equity	VTWAX	90.746	\$38.07	12/31/2021	9.93 %	\$3,454.70
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	60.000	\$61.28	12/31/2021	10.57 %	\$3,676.80
Vanguard Small-Cap	Russell 2000 Index	Equity	VB	22.000	\$226.01	12/31/2021	14.29 %	\$4,972.22
Vanguard S&P 500	Large Cap Equities	Equity	VOO	14.000	\$436.57	12/31/2021	17.56 %	\$6,111.98
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$34,798.17</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	8.000	\$80.83	12/31/2021	2.75 %	\$646.64
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	8.000	\$81.26	12/31/2021	2.77 %	\$650.08
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	8.000	\$84.75	12/31/2021	2.89 %	\$678.00
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	13.000	\$55.16	12/31/2021	3.05 %	\$717.08
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	769.470	\$1.00	12/31/2021	3.28 %	\$769.47
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	11.000	\$87.62	12/31/2021	4.10 %	\$963.82
Vanguard Total World	MSCI World	Equity	VT	10.000	\$107.43	12/31/2021	4.57 %	\$1,074.30
Guggenheim Limited Duration Instl	Short Aggregate Bond Index	Fixed Income	GILHX	61.050	\$25.14	12/31/2021	6.53 %	\$1,534.80
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	42.000	\$61.28	12/31/2021	10.96 %	\$2,573.76
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	348.291	\$14.78	12/31/2021	21.92 %	\$5,147.74
Vanguard S&P 500	Large Cap Equities	Equity	VOO	20.000	\$436.57	12/31/2021	37.18 %	\$8,731.40
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$23,487.09</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	9.000	\$84.75	12/31/2021	2.95 %	\$762.75
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	9.000	\$87.62	12/31/2021	3.05 %	\$788.58
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	10.000	\$80.83	12/31/2021	3.13 %	\$808.30
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	10.000	\$81.26	12/31/2021	3.15 %	\$812.60
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	47.812	\$28.56	12/31/2021	5.29 %	\$1,365.51

Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	29.000	\$55.16	12/31/2021	6.20 %	\$1,599.64
Vanguard Total World Stk Index Adm Shr	MSCI World	Equity	VTWAX	43.346	\$38.07	12/31/2021	6.39 %	\$1,650.18
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	2,076.330	\$1.00	12/31/2021	8.04 %	\$2,076.33
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	50.000	\$61.28	12/31/2021	11.87 %	\$3,064.00
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	251.642	\$14.78	12/31/2021	14.41 %	\$3,719.27
Vanguard S&P 500	Large Cap Equities	Equity	VOO	21.000	\$436.57	12/31/2021	35.51 %	\$9,167.97
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$25,815.13</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	41.000	\$80.83	12/31/2021	3.36 %	\$3,314.03
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	41.000	\$81.26	12/31/2021	3.38 %	\$3,331.66
Matthews India Instl	Emerging Asia	Equity	MIDNX	125.000	\$28.64	12/31/2021	3.63 %	\$3,580.00
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	150.432	\$28.56	12/31/2021	4.36 %	\$4,296.34
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	5,081.070	\$1.00	12/31/2021	5.16 %	\$5,081.07
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	122.000	\$55.16	12/31/2021	6.83 %	\$6,729.52
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	910.931	\$9.77	12/31/2021	9.03 %	\$8,899.80
Vanguard Small-Cap	Russell 2000 Index	Equity	VB	54.000	\$226.01	12/31/2021	12.39 %	\$12,204.54
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	835.470	\$14.78	12/31/2021	12.53 %	\$12,348.25
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	205.000	\$61.28	12/31/2021	12.75 %	\$12,562.40
Vanguard S&P 500	Large Cap Equities	Equity	VOO	60.000	\$436.57	12/31/2021	26.58 %	\$26,194.20
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$98,541.81</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	20.000	\$81.26	12/31/2021	1.98 %	\$1,625.20
Matthews Asia Growth Fund Institutional	Emerging Asia	Equity	MIAPX	51.533	\$32.33	12/31/2021	2.03 %	\$1,666.06
WCM Focused Emerging Markets Fund Institutional	Emerging Markets Equity	Equity	WCMEX	101.574	\$18.67	12/31/2021	2.31 %	\$1,896.39
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	2,948.040	\$1.00	12/31/2021	3.59 %	\$2,948.04
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	112.740	\$28.56	12/31/2021	3.92 %	\$3,219.85
Vanguard Small-Cap	Russell 2000 Index	Equity	VB	15.000	\$226.01	12/31/2021	4.13 %	\$3,390.15
Vanguard FTSE Emerging Markets	Emerging Markets Equity	Equity	VWO	80.000	\$49.46	12/31/2021	4.82 %	\$3,956.80
Vanguard Total World Stk Index Adm Shr	MSCI World	Equity	VTWAX	108.366	\$38.07	12/31/2021	5.02 %	\$4,125.49
Vanguard Ultra Short Term Bond Admiral	Ultra Short Term Bonds	Fixed Income	VUSFX	250.125	\$20.06	12/31/2021	6.11 %	\$5,017.51
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	418.803	\$14.78	12/31/2021	7.54 %	\$6,189.91
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	103.000	\$61.28	12/31/2021	7.68 %	\$6,311.84
Vanguard Balanced Index Adm	Balanced Fund	Balanced Funds	VBIAX	194.626	\$49.02	12/31/2021	11.61 %	\$9,540.57
Parnassus Endeavor Instl	Large Cap Equities	Equity	PPFWX	181.587	\$54.10	12/31/2021	11.96 %	\$9,823.86
Vanguard Global Minimum Volatility Admiral	MSCI World	Equity	VMNVX	362.319	\$29.56	12/31/2021	13.04 %	\$10,710.15

Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	145.000	\$80.83	12/31/2021	14.27 %	\$11,720.35
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$82,142.17</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
US Dollars	CASH - Tax Exempt	Money Market	USxxxx997	25.010	\$1.00	12/31/2021	0.45 %	\$25.01
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	131.640	\$1.00	12/31/2021	2.38 %	\$131.64
Vanguard Total World Stk Index Adm Shr	MSCI World	Equity	VTWAX	11.828	\$38.07	12/31/2021	8.13 %	\$450.29
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	10.000	\$61.28	12/31/2021	11.06 %	\$612.80
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	10.000	\$80.83	12/31/2021	14.59 %	\$808.30
Vanguard S&P 500	Large Cap Equities	Equity	VOO	2.000	\$436.57	12/31/2021	15.77 %	\$873.14
Guggenheim Limited Duration Instl	Short Aggregate Bond Index	Fixed Income	GILHX	44.480	\$25.14	12/31/2021	20.19 %	\$1,118.23
GQG Partners Global Quality Equity Instl	MSCI World	Equity	GQRIX	101.945	\$14.90	12/31/2021	27.43 %	\$1,518.98
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$5,538.39</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	2.000	\$87.62	12/31/2021	0.82 %	\$175.24
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	3.000	\$84.75	12/31/2021	1.18 %	\$254.25
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	7.000	\$61.28	12/31/2021	2.00 %	\$428.96
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	50.813	\$9.77	12/31/2021	2.31 %	\$496.44
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	7.000	\$80.83	12/31/2021	2.63 %	\$565.81
JOHCM International Select I	International Developed	Equity	JOHIX	20.982	\$30.07	12/31/2021	2.94 %	\$630.93
Matthews India Instl	Emerging Asia	Equity	MIDNX	24.718	\$28.64	12/31/2021	3.29 %	\$707.92
Vanguard Extended Market Index	S&P MidCap 400	Equity	VXF	4.000	\$182.86	12/31/2021	3.40 %	\$731.44
WCM Focused Emerging Markets Fund Institutional	Emerging Markets Equity	Equity	WCMEX	45.872	\$18.67	12/31/2021	3.98 %	\$856.43
Vanguard S&P 500	Large Cap Equities	Equity	VOO	2.000	\$436.57	12/31/2021	4.06 %	\$873.14
Vanguard Balanced Index Adm	Balanced Fund	Balanced Funds	VBIAX	24.024	\$49.02	12/31/2021	5.48 %	\$1,177.66
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	49.123	\$28.56	12/31/2021	6.53 %	\$1,402.95
Vanguard Global Minimum Volatility Admiral	MSCI World	Equity	VMNVX	52.247	\$29.56	12/31/2021	7.19 %	\$1,544.42
Vanguard Extended Market Index Admiral	S&P MidCap 400	Equity	VEXAX	16.836	\$138.70	12/31/2021	10.86 %	\$2,335.15
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	2,569.830	\$1.00	12/31/2021	11.96 %	\$2,569.83
Guggenheim Limited Duration Instl	Short Aggregate Bond Index	Fixed Income	GILHX	122.008	\$25.14	12/31/2021	14.27 %	\$3,067.28
Parnassus Endeavor Instl	Large Cap Equities	Equity	PPPWX	67.932	\$54.10	12/31/2021	17.10 %	\$3,675.12
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$21,492.97</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	840.800	\$1.00	12/31/2021	2.59 %	\$840.80

Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	101.626	\$9.77	12/31/2021	3.06 %	\$992.89
Guggenheim Limited Duration Instl	Short Aggregate Bond Index	Fixed Income	GILHX	80.808	\$25.14	12/31/2021	6.26 %	\$2,031.51
Vanguard Interm-Tm Corporate Bond Index Admiral	Intermediate Corp Bonds	Fixed Income	VICSX	83.788	\$25.05	12/31/2021	6.47 %	\$2,098.89
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	91.946	\$28.56	12/31/2021	8.09 %	\$2,625.98
Vanguard Total Bond Market Index Adm	Aggregate Bond Index	Fixed Income	VBTLX	321.987	\$11.19	12/31/2021	11.10 %	\$3,603.03
Vanguard Global Minimum Volatility Admiral	MSCI World	Equity	VMNVX	147.493	\$29.56	12/31/2021	13.44 %	\$4,359.89
Vanguard Total Stock Mkt Index Adm	Large Cap Equities	Equity	VTSAX	64.893	\$117.56	12/31/2021	23.51 %	\$7,628.82
Vanguard Small Cap Value Index Adm	Russell 2000 Index	Equity	VSIAX	107.604	\$76.81	12/31/2021	25.47 %	\$8,265.06
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$32,446.87</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Vanguard Short-Term Corporate Bond Index Adm	Short Term Corporates	Fixed Income	VSCSX	22.904	\$22.13	12/31/2021	2.96 %	\$506.87
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	580.770	\$1.00	12/31/2021	3.39 %	\$580.77
Vanguard Ultra Short Term Bond Admiral	Ultra Short Term Bonds	Fixed Income	VUSFX	79.404	\$20.06	12/31/2021	9.30 %	\$1,592.84
WCM Focused International Growth Instl	International Developed	Equity	WCMIX	99.157	\$27.68	12/31/2021	16.03 %	\$2,744.67
Vanguard Int-Term Bond Index Adm	Intermediate Bonds	Fixed Income	VBILX	275.155	\$11.89	12/31/2021	19.11 %	\$3,271.59
Vanguard Total Stock Mkt Index Adm	Large Cap Equities	Equity	VTSAX	33.995	\$117.56	12/31/2021	23.34 %	\$3,996.45
Vanguard FTSE All World Ex-US Index Admiral	MSCI World ex US	Equity	VFWAX	116.205	\$38.13	12/31/2021	25.88 %	\$4,430.90
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$17,124.09</b>
<b>SAMPLE CLIENT XXXXXXXXXX</b>								
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	22.067	\$28.56	12/31/2021	1.83 %	\$630.23
Vanguard Extended Market Index Admiral	S&P MidCap 400	Equity	VEXAX	14.399	\$138.70	12/31/2021	5.81 %	\$1,997.14
DFA One-Year Fixed Income Portfolio (I)	Ultra Short Term Bonds	Fixed Income	DFIHX	194.932	\$10.28	12/31/2021	5.82 %	\$2,003.90
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	2,015.970	\$1.00	12/31/2021	5.86 %	\$2,015.97
Vanguard Global Minimum Volatility Admiral	MSCI World	Equity	VMNVX	71.327	\$29.56	12/31/2021	6.13 %	\$2,108.43
Vanguard Total Stock Market	Large Cap Equities	Equity	VTI	10.000	\$241.44	12/31/2021	7.02 %	\$2,414.40
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	31.000	\$80.83	12/31/2021	7.28 %	\$2,505.73
Vanguard Small Cap Value Index Adm	Russell 2000 Index	Equity	VSIAX	33.473	\$76.81	12/31/2021	7.47 %	\$2,571.06
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	303.644	\$9.77	12/31/2021	8.62 %	\$2,966.60
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	52.000	\$61.28	12/31/2021	9.26 %	\$3,186.56
Vanguard FTSE All World Ex-US Small Cap Index Admiral	International Small Cap Eq	Equity	VFSAX	102.181	\$33.06	12/31/2021	9.82 %	\$3,378.10
Parnassus Endeavor Instl	Large Cap Equities	Equity	PPPWX	78.719	\$54.10	12/31/2021	12.38 %	\$4,258.70
Vanguard S&P 500	Large Cap Equities	Equity	VOO	10.000	\$436.57	12/31/2021	12.69 %	\$4,365.70
<b>SAMPLE CLIENT XXXXXXXXXX Total:</b>								<b>\$34,402.52</b>
<b>SAMPLE CLIENT SAMPLE CLIENTXXXXXXXXXX</b>								



Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
US Dollars	CASH - Tax Exempt	Money Market	USxxxx997	120.130	\$1.00	12/31/2021	0.06 %	\$120.13
GQG Emerging Mkts Eqty Instl	Emerging Markets Equity	Equity	GQGIX	296.108	\$16.86	12/31/2021	2.68 %	\$4,992.38
Vanguard Global Minimum Volatility Admiral	MSCI World	Equity	VMNVX	190.767	\$29.56	12/31/2021	3.03 %	\$5,639.07
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	73.000	\$81.26	12/31/2021	3.19 %	\$5,931.98
Parnassus Endeavor Instl	Large Cap Equities	Equity	PPWX	111.11	\$54.10	12/31/2021	3.23 %	\$6,011.11
Guggenheim Ultra Short Duration A	Ultra Short Term Bonds	Fixed Income	GIYAX	651.303	\$9.93	12/31/2021	3.47 %	\$6,467.44
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	7,775.770	\$1.00	12/31/2021	4.18 %	\$7,775.77
JOHCM International Select I	International Developed	Equity	JOHIX	290.065	\$30.07	12/31/2021	4.68 %	\$8,722.25
Vanguard Ultra Short Term Bond Admiral	Ultra Short Term Bonds	Fixed Income	VUSFX	499.750	\$20.06	12/31/2021	5.38 %	\$10,024.99
Matthews India Instl	Emerging Asia	Equity	MIDNX	350.877	\$28.64	12/31/2021	5.40 %	\$10,049.12
Matthews Asia Growth Investor	Emerging Asia	Equity	MPACX	326.797	\$31.99	12/31/2021	5.61 %	\$10,454.24
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	1,107.963	\$9.77	12/31/2021	5.81 %	\$10,824.80
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	858.127	\$14.78	12/31/2021	6.81 %	\$12,683.12
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	563.698	\$28.56	12/31/2021	8.65 %	\$16,099.21
Vanguard Mid Cap Value Index Adm	Russell Mid Cap Value	Equity	VMVAX	236.742	\$77.84	12/31/2021	9.90 %	\$18,428.00
Vanguard Total Stock Mkt Index Adm	Large Cap Equities	Equity	VTSAX	442.192	\$117.56	12/31/2021	27.92 %	\$51,984.09
<b>SAMPLE CLIENT SAMPLE CLIENTXXXXXXXXX Total</b>								<b>\$186,207.70</b>
<b>SAMPLE CLIENT SAMPLE CLIENTXXXXXXXXX</b>								
US Dollars	CASH - Tax Exempt	Money Market	USxxxx997	2.000	\$1.00	12/31/2021	0.00 %	\$2.00
Vanguard Short-Term Bond Index ETF	Short Aggregate Bond Index	Fixed Income	BSV	7.000	\$80.83	12/31/2021	0.81 %	\$565.81
Vanguard Short Term Corp Bond	Short Term Corporates	Fixed Income	VCSH	7.000	\$81.26	12/31/2021	0.82 %	\$568.82
Vanguard Total Bond Market	Aggregate Bond Index	Fixed Income	BND	7.000	\$84.75	12/31/2021	0.85 %	\$593.25
Vanguard Intermediate Term Bond	Intermediate Bonds	Fixed Income	BIV	7.000	\$87.62	12/31/2021	0.88 %	\$613.34
Guggenheim Total Return Bond Fund I	Aggregate Bond Index	Fixed Income	GIBIX	37.580	\$28.56	12/31/2021	1.55 %	\$1,073.28
Vanguard Total International Bond Index ETF	International Bonds	Fixed Income	BNDX	23.000	\$55.16	12/31/2021	1.83 %	\$1,268.68
Vanguard FTSE All-World ex-US Index ETF	International Developed	Equity	VEU	27.000	\$61.28	12/31/2021	2.38 %	\$1,654.56
Vanguard Small-Cap Value	Russell Small Value	Equity	VBR	12.000	\$178.85	12/31/2021	3.09 %	\$2,146.20
Pershing Money Market	CASH - Taxable	Money Market	PERSHCAS H	3,019.940	\$1.00	12/31/2021	4.35 %	\$3,019.94
Matthews India Instl	Emerging Asia	Equity	MIDNX	131.579	\$28.64	12/31/2021	5.43 %	\$3,768.42
iShares Edge MSCI EAFE Minimum Vol	International Developed	Equity	EFV	50.000	\$76.77	12/31/2021	5.53 %	\$3,838.50
Goldman Sachs GQG Ptnrs Intl Op Fund	International Developed	Equity	GSIMX	210.379	\$19.60	12/31/2021	5.94 %	\$4,123.43
Vanguard Global Minimum Volatility Inv	MSCI World	Equity	VMVFX	314.103	\$14.78	12/31/2021	6.68 %	\$4,642.44
Vanguard S&P 500	Large Cap Equities	Equity	VOO	11.000	\$436.57	12/31/2021	6.91 %	\$4,802.27
PIMCO Short-Term Instl	Ultra Short Term Bonds	Fixed Income	PTSHX	508.768	\$9.77	12/31/2021	7.16 %	\$4,970.66



Portfolio Detail								
Security	Asset Class	Asset Category	Ticker	Units	Price	Price Date	Portfolio Composition	Market Value
Vanguard Total Bond Market Index Adm	Aggregate Bond Index	Fixed Income	VBTLX	447.227	\$11.19	12/31/2021	7.21 %	\$5,004.47
Guggenheim Limited Duration Instl	Short Aggregate Bond Index	Fixed Income	GILHX	202.593	\$25.14	12/31/2021	7.33 %	\$5,093.19
Parnassus Endeavor Instl	Large Cap Equities	Equity	PPFWX	105.181	\$54.10	12/31/2021	8.19 %	\$5,690.29
Vanguard Extended Market Index Admiral	S&P MidCap 400	Equity	VEXAX	45.856	\$138.70	12/31/2021	9.16 %	\$6,360.23
Vanguard Total Stock Market	Large Cap Equities	Equity	VTI	40.000	\$241.44	12/31/2021	13.90 %	\$9,657.60
<b>SAMPLE CLIENT SAMPLE CLIENTXXXXXXXXX Total</b>								<b>\$69,457.38</b>
<b>SAMPLE CLIENT:</b>								<b>\$1,269,241.84</b>

Transactions By Date							
Date	Transaction Type	Security	Account Number	Units	Price	Total	Status
12/31/2021	Dividend to Cash	Goldman Sachs GQG Ptnrs Intl Op Fund (GSIMX)	+ ****X(NP)	0.000	\$0.00	\$1.66	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	73.750	\$1.00	\$73.75	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.010	\$1.00	\$0.01	Complete
12/31/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.660	\$1.00	\$1.66	Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-73.750	\$1.00	(\$73.75)	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	28.990	\$1.00	\$28.99	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.040	\$1.00	\$0.04	Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-28.990	\$1.00	(\$28.99)	Complete
12/31/2021	Dividend to Cash	GQG Emerging Mkts Eqty Instl (GQGIX)	+ ****X(NP)	0.000	\$0.00	\$59.97	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	46.100	\$1.00	\$46.10	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.050	\$1.00	\$0.05	Complete
12/31/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	59.970	\$1.00	\$59.97	Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-46.100	\$1.00	(\$46.10)	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	33.230	\$1.00	\$33.23	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.060	\$1.00	\$0.06	Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-33.230	\$1.00	(\$33.23)	Complete
12/31/2021	Dividend to Cash	GQG Partners Global Quality Equity Instl (GQRIX)	+ ****X(NP)	0.000	\$0.00	\$445.35	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	208.020	\$1.00	\$208.02	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.050	\$1.00	\$0.05	Complete
12/31/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	445.350	\$1.00	\$445.35	Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-208.020	\$1.00	(\$208.02)	Complete
12/31/2021	Short Term Gain to Cash	Goldman Sachs Absolute Return Tracker I (GJRTX)	+ ****X(NP)	0.000	\$0.00	\$15.63	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	203.050	\$1.00	\$203.05	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.100	\$1.00	\$0.10	Complete
12/31/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	15.630	\$1.00	\$15.63	Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-203.050	\$1.00	(\$203.05)	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	46.940	\$1.00	\$46.94	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.050	\$1.00	\$0.05	Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-46.940	\$1.00	(\$46.94)	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	19.700	\$1.00	\$19.70	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.010	\$1.00	\$0.01	Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-19.700	\$1.00	(\$19.70)	Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	24.560	\$1.00	\$24.56	Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.050	\$1.00	\$0.05	Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-24.560	\$1.00	(\$24.56) Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	120.160	\$1.00	\$120.16 Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	0.110	\$1.00	\$0.11 Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-120.160	\$1.00	(\$120.16) Complete
12/31/2021	Dividend to Cash	GQG Emerging Mkts Eqty Instl (GQGIX)	+ ****XX(X)(NP)	0.000	\$0.00	\$120.13 Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	13.290	\$1.00	\$13.29 Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	0.150	\$1.00	\$0.15 Complete
12/31/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	120.130	\$1.00	\$120.13 Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-13.290	\$1.00	(\$13.29) Complete
12/31/2021	Dividend to Cash	Goldman Sachs GQG Ptnrs Intl Op Fund (GSIMX)	+ ****XX(X)(NP)	0.000	\$0.00	\$2.00 Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	67.500	\$1.00	\$67.50 Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	0.060	\$1.00	\$0.06 Complete
12/31/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	2.000	\$1.00	\$2.00 Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-67.500	\$1.00	(\$67.50) Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	224.180	\$1.00	\$224.18 Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	0.050	\$1.00	\$0.05 Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-224.180	\$1.00	(\$224.18) Complete
12/31/2021	Dividend to Cash	GQG Partners Global Quality Equity Instl (GQRIX)	+ ****XX(X)(NP)	0.000	\$0.00	\$25.01 Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	2.220	\$1.00	\$2.22 Complete
12/31/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	25.010	\$1.00	\$25.01 Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-2.220	\$1.00	(\$2.22) Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	28.150	\$1.00	\$28.15 Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	0.050	\$1.00	\$0.05 Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-28.150	\$1.00	(\$28.15) Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	0.020	\$1.00	\$0.02 Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	0.010	\$1.00	\$0.01 Complete
12/31/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	15.460	\$1.00	\$15.46 Complete
12/31/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	0.050	\$1.00	\$0.05 Complete
12/31/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-15.460	\$1.00	(\$15.46) Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	94.040	\$1.00	\$94.04 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	42.640	\$1.00	\$42.64 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	4.190	\$1.00	\$4.19 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	0.390	\$1.00	\$0.39 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	23.800	\$1.00	\$23.80 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	2.730	\$1.00	\$2.73 Complete
12/30/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-94.040	\$1.00	(\$94.04) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****XX(X)(NP)	0.000	\$0.00	\$42.64 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****XX(X)(NP)	0.000	\$0.00	\$4.19 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ ****XX(X)(NP)	0.000	\$0.00	\$2.73 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****XX(X)(NP)	0.000	\$0.00	\$23.80 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****XX(X)(NP)	0.000	\$0.00	\$0.39 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	47.540	\$1.00	\$47.54 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	2.000	\$1.00	\$2.00 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	0.200	\$1.00	\$0.20 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	12.260	\$1.00	\$12.26 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	1.430	\$1.00	\$1.43 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	0.130	\$1.00	\$0.13 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	2.310	\$1.00	\$2.31 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	10.660	\$1.00	\$10.66 Complete
12/30/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-47.540	\$1.00	(\$47.54) Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$10.66 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$2.00 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.31 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.13 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.43 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$12.26 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.20 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.260	\$1.00	\$2.26 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	21.480	\$1.00	\$21.48 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.640	\$1.00	\$7.64 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	9.460	\$1.00	\$9.46 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.910	\$1.00	\$0.91 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.650	\$1.00	\$0.65 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.060	\$1.00	\$0.06 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.050	\$1.00	\$1.05 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.850	\$1.00	\$4.85 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.260	\$1.00	(\$2.26) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$4.85 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.91 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.05 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.06 Complete
12/30/2021	Dividend to Cash	Vanguard Small-Cap (VB)	+ *****XXXX(NP)	0.000	\$0.00	\$7.64 Complete
12/30/2021	Dividend to Cash	Vanguard Small-Cap Value (VBR)	+ *****XXXX(NP)	0.000	\$0.00	\$9.46 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.65 Complete
12/30/2021	Dividend to Cash	Vanguard Total Stock Market (VTI)	+ *****XXXX(NP)	0.000	\$0.00	\$21.48 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	55.810	\$1.00	\$55.81 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.370	\$1.00	\$2.37 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.240	\$1.00	\$0.24 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	14.420	\$1.00	\$14.42 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.690	\$1.00	\$1.69 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.150	\$1.00	\$0.15 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.730	\$1.00	\$2.73 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	11.630	\$1.00	\$11.63 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-55.810	\$1.00	(\$55.81) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$11.63 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$2.37 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.73 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.15 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.69 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$14.42 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.24 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	95.640	\$1.00	\$95.64 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	44.320	\$1.00	\$44.32 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	39.330	\$1.00	\$39.33 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	0.280	\$1.00	\$0.28 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	16.950	\$1.00	\$16.95 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	1.950	\$1.00	\$1.95 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	91.100	\$1.00	\$91.10 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	2.200	\$1.00	\$2.20 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	11.890	\$1.00	\$11.89 Complete
12/30/2021	Sell Exchange	US Dollars (USxxx997)	+ *****XXXX(NP)	-95.640	\$1.00	(\$95.64) Complete
12/30/2021	Dividend to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$11.89 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$44.32 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.20 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$91.10 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$39.33 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.95 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$16.95 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.28 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	167.600	\$1.00	\$167.60 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	88.350	\$1.00	\$88.35 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	11.840	\$1.00	\$11.84 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	43.990	\$1.00	\$43.99 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	58.150	\$1.00	\$58.15 Complete
12/30/2021	Sell Exchange	US Dollars (USxxx997)	+ *****XXXX(NP)	-167.600	\$1.00	(\$167.60) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$58.15 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$11.84 Complete
12/30/2021	Dividend to Cash	Vanguard Small-Cap (VB)	+ *****XXXX(NP)	0.000	\$0.00	\$88.35 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$43.99 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.72 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.970	\$1.00	\$6.97 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	14.540	\$1.00	\$14.54 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	24.000	\$1.00	\$24.00 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	2.910	\$1.00	\$2.91 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	1.950	\$1.00	\$1.95 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	0.180	\$1.00	\$0.18 Complete
12/30/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	3.360	\$1.00	\$3.36 Complete
12/30/2021	Sell Exchange	US Dollars (USxxx997)	+ *****XXXX(NP)	-6.970	\$1.00	(\$6.97) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$14.54 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$2.91 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$3.36 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.18 Complete
12/30/2021	Dividend to Cash	Vanguard Small-Cap (VB)	+ *****XXXX(NP)	0.000	\$0.00	\$24.00 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.95 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	20.330	\$1.00	\$20.33 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.460	\$1.00	\$1.46 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.080	\$1.00	\$0.08 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.690	\$1.00	\$4.69 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.040	\$1.00	\$1.04 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.090	\$1.00	\$0.09 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.680	\$1.00	\$1.68 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.660	\$1.00	\$10.66 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-20.330	\$1.00	(\$20.33) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$10.66 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.46 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.68 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.09 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.04 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.69 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.08 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	40.550	\$1.00	\$40.55 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.720	\$1.00	\$8.72 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.820	\$1.00	\$1.82 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.170	\$1.00	\$0.17 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.460	\$1.00	\$10.46 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.170	\$1.00	\$1.17 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.120	\$1.00	\$0.12 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.100	\$1.00	\$2.10 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-40.550	\$1.00	(\$40.55) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$8.72 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.82 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.10 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.12 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.17 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.46 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.17 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	159.750	\$1.00	\$159.75 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.610	\$1.00	\$8.61 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	58.900	\$1.00	\$58.90 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.470	\$1.00	\$7.47 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	43.990	\$1.00	\$43.99 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.470	\$1.00	\$0.47 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-159.750	\$1.00	(\$159.75) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$7.47 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$8.61 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.47 Complete
12/30/2021	Dividend to Cash	Vanguard Small-Cap (VB)	+ *****XXXX(NP)	0.000	\$0.00	\$58.90 Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$43.99 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.72 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.260	\$1.00	\$7.26 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.290	\$1.00	\$13.29 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.260	\$1.00	(\$7.26) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$13.29 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	31.970	\$1.00	\$31.97 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	34.370	\$1.00	\$34.37 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	14.190	\$1.00	\$14.19 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.270	\$1.00	\$1.27 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.140	\$1.00	\$0.14 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.290	\$1.00	\$8.29 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.910	\$1.00	\$0.91 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.080	\$1.00	\$0.08 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.470	\$1.00	\$1.47 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.780	\$1.00	\$6.78 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-31.970	\$1.00	(\$31.97) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$6.78 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.27 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.47 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.08 Complete
12/30/2021	Dividend to Cash	Vanguard Small-Cap Value (VBR)	+ *****XXXX(NP)	0.000	\$0.00	\$14.19 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.91 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$8.29 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.14 Complete
12/30/2021	Dividend to Cash	Vanguard Total Stock Market (VTI)	+ *****XXXX(NP)	0.000	\$0.00	\$34.37 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	12.500	\$1.00	\$12.50 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	16.360	\$1.00	\$16.36 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.640	\$1.00	\$3.64 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.670	\$1.00	\$1.67 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	30.450	\$1.00	\$30.45 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.480	\$1.00	\$6.48 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	35.010	\$1.00	\$35.01 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	130.570	\$1.00	\$130.57 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-12.500	\$1.00	(\$12.50) Complete
12/30/2021	Dividend to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$35.01 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$130.57 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$6.48 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$3.64 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$30.45 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.67 Complete
12/30/2021	Dividend to Cash	Vanguard Small-Cap (VB)	+ *****XXXX(NP)	0.000	\$0.00	\$16.36 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.120	\$1.00	\$0.12 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.100	\$1.00	\$2.10 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-0.720	\$1.00	(\$0.72) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.10 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.12 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1.210	\$1.00	\$1.21 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	16.120	\$1.00	\$16.12 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.030	\$1.00	\$3.03 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.390	\$1.00	\$0.39 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.080	\$1.00	\$0.08 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.470	\$1.00	\$1.47 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.940	\$1.00	\$1.94 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.800	\$1.00	\$0.80 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.320	\$1.00	\$4.32 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1.210	\$1.00	(\$1.21) Complete
12/30/2021	Dividend to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.32 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$16.12 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.80 Complete
12/30/2021	Dividend to Cash	Vanguard Extended Market Index (VXF)	+ *****XXXX(NP)	0.000	\$0.00	\$3.03 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.94 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.47 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.08 Complete
12/30/2021	Long Term Gain to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.39 Complete
12/30/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.250	\$1.00	\$2.25 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.510	\$1.00	\$6.51 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.590	\$1.00	\$8.59 Complete
12/30/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.360	\$1.00	\$0.36 Complete
12/30/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.250	\$1.00	(\$2.25) Complete
12/30/2021	Long Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$6.51 Complete
12/30/2021	Short Term Gain to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.36 Complete
12/30/2021	Dividend to Cash	Vanguard Total Stock Market (VTI)	+ *****XXXX(NP)	0.000	\$0.00	\$8.59 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.960	\$1.00	\$2.96 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.180	\$1.00	\$6.18 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.290	\$1.00	\$2.29 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	82.610	\$1.00	\$82.61 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$6.18 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$2.29 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.96 Complete
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$82.61 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.550	\$1.00	\$1.55 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.090	\$1.00	\$1.09 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	42.550	\$1.00	\$42.55 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.550	\$1.00	\$1.55 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.800	\$1.00	\$0.80 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.55 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.09 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.80 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.55 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$42.55 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.500	\$1.00	\$0.50 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.700	\$1.00	\$0.70 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.360	\$1.00	\$0.36 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.700	\$1.00	\$0.70 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.70 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.50 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.36 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.70 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.690	\$1.00	\$1.69 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.290	\$1.00	\$1.29 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	50.060	\$1.00	\$50.06 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.830	\$1.00	\$1.83 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.940	\$1.00	\$0.94 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.69 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.29 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.94 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.83 Complete
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$50.06 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.110	\$1.00	\$2.11 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	21.490	\$1.00	\$21.49 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	58.830	\$1.00	\$58.83 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.210	\$1.00	\$13.21 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$13.21 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$21.49 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.11 Complete
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$58.83 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.430	\$1.00	\$8.43 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.470	\$1.00	\$6.47 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	152.700	\$1.00	\$152.70 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$8.43 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$6.47 Complete
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$152.70 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.160	\$1.00	\$1.16 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.110	\$1.00	\$2.11 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.590	\$1.00	\$1.59 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.110	\$1.00	\$2.11 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.11 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.59 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.16 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.11 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.550	\$1.00	\$1.55 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.800	\$1.00	\$0.80 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	16.270	\$1.00	\$16.27 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.130	\$1.00	\$1.13 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.580	\$1.00	\$0.58 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.55 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.80 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.58 Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.13 Complete
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$16.27 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.260	\$1.00	\$1.26 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.000	\$1.00	\$1.00 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	36.300	\$1.00	\$36.30 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.270	\$1.00	\$1.27 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.26 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.00 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.72 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.27 Complete
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$36.30 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.080	\$1.00	\$4.08 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	152.700	\$1.00	\$152.70 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.970	\$1.00	\$2.97 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$4.08 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.97 Complete
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$152.70 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.260	\$1.00	\$7.26 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$7.26 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.510	\$1.00	\$0.51 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.980	\$1.00	\$0.98 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.700	\$1.00	\$0.70 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	28.790	\$1.00	\$28.79 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.990	\$1.00	\$0.99 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.98 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.70 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.51 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.99 Complete
12/29/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$28.79 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.510	\$1.00	\$10.51 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.990	\$1.00	\$1.99 Complete
12/29/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.99 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$10.51 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.72 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.510	\$1.00	\$0.51 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.280	\$1.00	\$0.28 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.420	\$1.00	\$0.42 Complete
12/29/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.28 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.51 Complete
12/29/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.42 Complete
12/29/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.250	\$1.00	\$2.25 Complete
12/29/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.25 Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	87.380	\$1.00	\$87.38 Complete
12/28/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-87.380	\$1.00	(\$87.38) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	46.640	\$1.00	\$46.64 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-46.640	\$1.00	(\$46.64) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	16.860	\$1.00	\$16.86 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-16.860	\$1.00	(\$16.86) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	42.920	\$1.00	\$42.92 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-42.920	\$1.00	(\$42.92) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	290.700	\$1.00	\$290.70 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-290.700	\$1.00	(\$290.70) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	45.990	\$1.00	\$45.99 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-45.990	\$1.00	(\$45.99) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	21.460	\$1.00	\$21.46 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-21.460	\$1.00	(\$21.46) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	30.660	\$1.00	\$30.66 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-30.660	\$1.00	(\$30.66) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	32.190	\$1.00	\$32.19 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-32.190	\$1.00	(\$32.19) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	91.970	\$1.00	\$91.97 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-91.970	\$1.00	(\$91.97) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	288.550	\$1.00	\$288.55 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-288.550	\$1.00	(\$288.55) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	43.190	\$1.00	\$43.19 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-43.190	\$1.00	(\$43.19) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	3.070	\$1.00	\$3.07 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-3.070	\$1.00	(\$3.07) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	12.740	\$1.00	\$12.74 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-12.740	\$1.00	(\$12.74) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	81.590	\$1.00	\$81.59 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-81.590	\$1.00	(\$81.59) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	14.110	\$1.00	\$14.11 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-14.110	\$1.00	(\$14.11) Complete
12/28/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	40.600	\$1.00	\$40.60 Complete
12/28/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-40.600	\$1.00	(\$40.60) Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	175.360	\$1.00	\$175.36 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	87.380	\$1.00	\$87.38 Complete
12/27/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-175.360	\$1.00	(\$175.36) Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ ****XX(X)(NP)	0.000	\$0.00	\$87.38 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	3,194.090	\$1.00	\$3,194.09 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	8.320	\$1.00	\$8.32 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	38.320	\$1.00	\$38.32 Complete
12/27/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-3,194.090	\$1.00	(\$3,194.09) Complete
12/27/2021	Dividend to Cash	Vanguard Extended Market Index Admiral (VEXAX)	+ ****XX(X)(NP)	0.000	\$0.00	\$8.32 Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ ****XX(X)(NP)	0.000	\$0.00	\$38.32 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	16.860	\$1.00	\$16.86 Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ ****XX(X)(NP)	0.000	\$0.00	\$16.86 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	256.300	\$1.00	\$256.30 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	42.920	\$1.00	\$42.92 Complete
12/27/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-256.300	\$1.00	(\$256.30) Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ ****XX(X)(NP)	0.000	\$0.00	\$42.92 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****XX(X)(NP)	335.600	\$1.00	\$335.60 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	72.780	\$1.00	\$72.78 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	73.780	\$1.00	\$73.78 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	134.900	\$1.00	\$134.90 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ ****XX(X)(NP)	9.240	\$1.00	\$9.24 Complete
12/27/2021	Sell Exchange	US Dollars (USxxx997)	+ ****XX(X)(NP)	-335.600	\$1.00	(\$335.60) Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/27/2021	Dividend to Cash	Vanguard Extended Market Index Admiral (VEXAX)	+ *****XXXX(NP)	0.000	\$0.00	\$73.78 Complete
12/27/2021	Dividend to Cash	Vanguard Mid Cap Value Index Adm (VMVAX)	+ *****XXXX(NP)	0.000	\$0.00	\$9.24 Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$134.90 Complete
12/27/2021	Dividend to Cash	Vanguard Total Stock Mkt Index Adm (VTSAX)	+ *****XXXX(NP)	0.000	\$0.00	\$72.78 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	448.250	\$1.00	\$448.25 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	45.990	\$1.00	\$45.99 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-448.250	\$1.00	(\$448.25) Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$45.99 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	50.720	\$1.00	\$50.72 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	21.460	\$1.00	\$21.46 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-50.720	\$1.00	(\$50.72) Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$21.46 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	43.360	\$1.00	\$43.36 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	30.660	\$1.00	\$30.66 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-43.360	\$1.00	(\$43.36) Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$30.66 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	42.270	\$1.00	\$42.27 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	32.190	\$1.00	\$32.19 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-42.270	\$1.00	(\$42.27) Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$32.19 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	173.310	\$1.00	\$173.31 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	91.970	\$1.00	\$91.97 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-173.310	\$1.00	(\$173.31) Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$91.97 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	126.290	\$1.00	\$126.29 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	183.600	\$1.00	\$183.60 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	104.950	\$1.00	\$104.95 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-126.290	\$1.00	(\$126.29) Complete
12/27/2021	Dividend to Cash	Vanguard Mid Cap Value Index Adm (VMVAX)	+ *****XXXX(NP)	0.000	\$0.00	\$104.95 Complete
12/27/2021	Dividend to Cash	Vanguard Total Stock Mkt Index Adm (VTSAX)	+ *****XXXX(NP)	0.000	\$0.00	\$183.60 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	144.830	\$1.00	\$144.83 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	16.860	\$1.00	\$16.86 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	26.330	\$1.00	\$26.33 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-144.830	\$1.00	(\$144.83) Complete
12/27/2021	Dividend to Cash	Vanguard Extended Market Index Admiral (VEXAX)	+ *****XXXX(NP)	0.000	\$0.00	\$26.33 Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$16.86 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	325.480	\$1.00	\$325.48 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-325.480	\$1.00	(\$325.48) Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	8.450	\$1.00	\$8.45 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.070	\$1.00	\$3.07 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-8.450	\$1.00	(\$8.45) Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$3.07 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	79.770	\$1.00	\$79.77 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	9.670	\$1.00	\$9.67 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.070	\$1.00	\$3.07 Complete
12/27/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-79.770	\$1.00	(\$79.77) Complete
12/27/2021	Dividend to Cash	Vanguard Extended Market Index Admiral (VEXAX)	+ *****XXXX(NP)	0.000	\$0.00	\$9.67 Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$3.07 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	22.880	\$1.00	\$22.88 Complete
12/27/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	54.650	\$1.00	\$54.65 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	26.940	\$1.00	\$26.94 Complete
12/27/2021	Sell Exchange	US Dollars (USxxx997)	+ *****XXXX(NP)	-22.880	\$1.00	(\$22.88) Complete
12/27/2021	Dividend to Cash	Vanguard Small Cap Value Index Adm (VSIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$54.65 Complete
12/27/2021	Dividend to Cash	Vanguard Total Stock Mkt Index Adm (VTSAX)	+ *****XXXX(NP)	0.000	\$0.00	\$26.94 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	38.750	\$1.00	\$38.75 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	14.110	\$1.00	\$14.11 Complete
12/27/2021	Sell Exchange	US Dollars (USxxx997)	+ *****XXXX(NP)	-38.750	\$1.00	(\$38.75) Complete
12/27/2021	Dividend to Cash	Vanguard Total Stock Mkt Index Adm (VTSAX)	+ *****XXXX(NP)	0.000	\$0.00	\$14.11 Complete
12/27/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	129.540	\$1.00	\$129.54 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	15.330	\$1.00	\$15.33 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	17.000	\$1.00	\$17.00 Complete
12/27/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	8.270	\$1.00	\$8.27 Complete
12/27/2021	Sell Exchange	US Dollars (USxxx997)	+ *****XXXX(NP)	-129.540	\$1.00	(\$129.54) Complete
12/27/2021	Dividend to Cash	Vanguard Extended Market Index Admiral (VEXAX)	+ *****XXXX(NP)	0.000	\$0.00	\$8.27 Complete
12/27/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$15.33 Complete
12/27/2021	Dividend to Cash	Vanguard Small Cap Value Index Adm (VSIAX)	+ *****XXXX(NP)	0.000	\$0.00	\$17.00 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	39.250	\$1.00	\$39.25 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	136.110	\$1.00	\$136.11 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$136.11 Complete
12/23/2021	Dividend to Cash	Vanguard Total World (VT)	+ *****XXXX(NP)	0.000	\$0.00	\$39.25 Complete
12/23/2021	Dividend to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$88.45 Complete
12/23/2021	Client Contribution	US Dollars (USxxx997)	+ *****XXXX(NP)	3,000.000	\$1.00	\$3,000.00 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	88.450	\$1.00	\$88.45 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	12.120	\$1.00	\$12.12 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	22.510	\$1.00	\$22.51 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	71.010	\$1.00	\$71.01 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$71.01 Complete
12/23/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$12.12 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$22.51 Complete
12/23/2021	Dividend to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$94.04 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	94.040	\$1.00	\$94.04 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	11.030	\$1.00	\$11.03 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	20.490	\$1.00	\$20.49 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	71.860	\$1.00	\$71.86 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	58.880	\$1.00	\$58.88 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$71.86 Complete
12/23/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$11.03 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$20.49 Complete
12/23/2021	Dividend to Cash	Vanguard Total World (VT)	+ *****XXXX(NP)	0.000	\$0.00	\$58.88 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	18.070	\$1.00	\$18.07 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	314.490	\$1.00	\$314.49 Complete
12/23/2021	Income Reinvested	US Dollars (USxxx997)	+ *****XXXX(NP)	3.040	\$1.00	\$3.04 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$314.49 Complete
12/23/2021	Dividend to Cash	Vanguard Intmd-Term Treasury Index Fund Admiral (VSIIGX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.04 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Intmd-Term Treasury Index Fund Admiral (VSIIGX)	+ *****XXXX(NP)	0.000	\$0.00	\$18.07 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/23/2021	Dividend to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$237.75 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	210.500	\$1.00	\$210.50 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	237.750	\$1.00	\$237.75 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$210.50 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	50.720	\$1.00	\$50.72 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$50.72 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.850	\$1.00	\$7.85 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	35.510	\$1.00	\$35.51 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$35.51 Complete
12/23/2021	Dividend to Cash	Vanguard Total World (VT)	+ *****XXXX(NP)	0.000	\$0.00	\$7.85 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	42.270	\$1.00	\$42.27 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$42.27 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	173.310	\$1.00	\$173.31 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$173.31 Complete
12/23/2021	Dividend to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$120.79 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.650	\$1.00	\$0.65 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	120.790	\$1.00	\$120.79 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.300	\$1.00	\$0.30 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.550	\$1.00	\$4.55 Complete
12/23/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.55 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.65 Complete
12/23/2021	Short Term Gain to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.30 Complete
12/23/2021	Dividend to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$114.34 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.660	\$1.00	\$7.66 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	114.340	\$1.00	\$114.34 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	22.830	\$1.00	\$22.83 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$22.83 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Total Bond Market Index Adm (VBTLX)	+ *****XXXX(NP)	0.000	\$0.00	\$7.66 Complete
12/23/2021	Dividend to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$197.40 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	197.400	\$1.00	\$197.40 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	38.240	\$1.00	\$38.24 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	87.080	\$1.00	\$87.08 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.150	\$1.00	\$0.15 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.280	\$1.00	\$2.28 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.330	\$1.00	\$0.33 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$87.08 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE Emerging Markets (VVO)	+ *****XXXX(NP)	0.000	\$0.00	\$38.24 Complete
12/23/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.28 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.33 Complete
12/23/2021	Short Term Gain to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.15 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.450	\$1.00	\$8.45 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$8.45 Complete
12/23/2021	Dividend to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$73.85 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.920	\$1.00	\$5.92 Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	73.850	\$1.00	\$73.85 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$5.92 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	12.440	\$1.00	\$12.44 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.520	\$1.00	\$5.52 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.000	\$1.00	\$1.00 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.920	\$1.00	\$3.92 Complete
12/23/2021	Dividend to Cash	Vanguard Interm-Tm Corporate Bond Index Admiral (VICSX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.92 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Interm-Tm Corporate Bond Index Admiral (VICSX)	+ *****XXXX(NP)	0.000	\$0.00	\$12.44 Complete
12/23/2021	Short Term Gain to Cash	Vanguard Interm-Tm Corporate Bond Index Admiral (VICSX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.00 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Total Bond Market Index Adm (VBTLX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.52 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.100	\$1.00	\$0.10 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	36.130	\$1.00	\$36.13 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.610	\$1.00	\$0.61 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.140	\$1.00	\$1.14 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.050	\$1.00	\$0.05 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Int-Term Bond Index Adm (VBILX)	+ *****XXXX(NP)	0.000	\$0.00	\$36.13 Complete
12/23/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.61 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.14 Complete
12/23/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.72 Complete
12/23/2021	Long Term Gain to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.10 Complete
12/23/2021	Short Term Gain to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.05 Complete
12/23/2021	Dividend to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$85.58 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	43.960	\$1.00	\$43.96 Complete
12/23/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	85.580	\$1.00	\$85.58 Complete
12/23/2021	Dividend to Cash	Vanguard FTSE All-World ex-US Index ETF (VEU)	+ *****XXXX(NP)	0.000	\$0.00	\$43.96 Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	23.790	\$1.00	\$23.79 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-23.790	\$1.00	(\$23.79) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	35.680	\$1.00	\$35.68 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-35.680	\$1.00	(\$35.68) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	83.260	\$1.00	\$83.26 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-83.260	\$1.00	(\$83.26) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	24.900	\$1.00	\$24.90 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-24.900	\$1.00	(\$24.90) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	11.890	\$1.00	\$11.89 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-11.890	\$1.00	(\$11.89) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	29.740	\$1.00	\$29.74 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-29.740	\$1.00	(\$29.74) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	3.250	\$1.00	\$3.25 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-3.250	\$1.00	(\$3.25) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	500.000	\$1.00	\$500.00 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-500.000	\$1.00	(\$500.00) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	60.500	\$1.00	\$60.50 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-60.500	\$1.00	(\$60.50) Complete
12/21/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	62.220	\$1.00	\$62.22 Complete
12/21/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-62.220	\$1.00	(\$62.22) Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	74.930	\$1.00	\$74.93 Complete

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Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-74.930	\$1.00	(\$74.93) Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.830	\$1.00	\$0.83 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-0.830	\$1.00	(\$0.83) Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	25.000	\$1.00	\$25.00 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-25.000	\$1.00	(\$25.00) Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	23.790	\$1.00	\$23.79 Complete
12/20/2021	Dividend to Cash	Vanguard Total World Stk Index Adm Shr (VTWAX)	+ *****XXXX(NP)	0.000	\$0.00	\$23.79 Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	101.250	\$1.00	\$101.25 Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	35.680	\$1.00	\$35.68 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-101.250	\$1.00	(\$101.25) Complete
12/20/2021	Dividend to Cash	Vanguard Total World Stk Index Adm Shr (VTWAX)	+ *****XXXX(NP)	0.000	\$0.00	\$35.68 Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	55.610	\$1.00	\$55.61 Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	83.260	\$1.00	\$83.26 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-55.610	\$1.00	(\$55.61) Complete
12/20/2021	Dividend to Cash	Vanguard Total World Stk Index Adm Shr (VTWAX)	+ *****XXXX(NP)	0.000	\$0.00	\$83.26 Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	12.600	\$1.00	\$12.60 Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	24.900	\$1.00	\$24.90 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-12.600	\$1.00	(\$12.60) Complete
12/20/2021	Dividend to Cash	Vanguard Total World Stk Index Adm Shr (VTWAX)	+ *****XXXX(NP)	0.000	\$0.00	\$24.90 Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	5.720	\$1.00	\$5.72 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-5.720	\$1.00	(\$5.72) Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	12.890	\$1.00	\$12.89 Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	11.890	\$1.00	\$11.89 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-12.890	\$1.00	(\$12.89) Complete
12/20/2021	Dividend to Cash	Vanguard Total World Stk Index Adm Shr (VTWAX)	+ *****XXXX(NP)	0.000	\$0.00	\$11.89 Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	40.550	\$1.00	\$40.55 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-40.550	\$1.00	(\$40.55) Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	151.960	\$1.00	\$151.96 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-151.960	\$1.00	(\$151.96) Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	150.970	\$1.00	\$150.97 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-150.970	\$1.00	(\$150.97) Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	30.390	\$1.00	\$30.39 Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	29.740	\$1.00	\$29.74 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-30.390	\$1.00	(\$30.39) Complete
12/20/2021	Dividend to Cash	Vanguard Total World Stk Index Adm Shr (VTWAX)	+ *****XXXX(NP)	0.000	\$0.00	\$29.74 Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	4.170	\$1.00	\$4.17 Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.250	\$1.00	\$3.25 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-4.170	\$1.00	(\$4.17) Complete
12/20/2021	Dividend to Cash	Vanguard Total World Stk Index Adm Shr (VTWAX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.25 Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	24.680	\$1.00	\$24.68 Complete
12/20/2021	Client Contribution	US Dollars (USxxxx997)	+ *****XXXX(NP)	500.000	\$1.00	\$500.00 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-24.680	\$1.00	(\$24.68) Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	32.370	\$1.00	\$32.37 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-32.370	\$1.00	(\$32.37) Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	60.500	\$1.00	\$60.50 Complete
12/20/2021	Dividend to Cash	Vanguard FTSE All World Ex-US Index Admiral (VFWAX)	+ *****XXXX(NP)	0.000	\$0.00	\$60.50 Complete
12/20/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	5.950	\$1.00	\$5.95 Complete
12/20/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	62.220	\$1.00	\$62.22 Complete
12/20/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-5.950	\$1.00	(\$5.95) Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/20/2021	Dividend to Cash	Vanguard FTSE All World Ex-US Small Cap Index Admiral (VFSAX)	+ *****XXXX(NP)	0.000	\$0.00	\$62.22 Complete
12/17/2021	Dividend to Cash	Goldman Sachs GQG Ptnrs Intl Op Fund (GSIMX)	+ *****XXXX(NP)	0.000	\$0.00	\$74.93 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	278.670	\$1.00	\$278.67 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	74.930	\$1.00	\$74.93 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-278.670	\$1.00	(\$278.67) Complete
12/17/2021	Dividend to Cash	iShares Biotechnology ETF (IBB)	+ *****XXXX(NP)	0.000	\$0.00	\$0.83 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1,009.810	\$1.00	\$1,009.81 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.830	\$1.00	\$0.83 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1,009.810	\$1.00	(\$1,009.81) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$13.82 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$11.01 Complete
12/17/2021	Dividend to Cash	iShares Biotechnology ETF (IBB)	+ *****XXXX(NP)	0.000	\$0.00	\$0.17 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	11.010	\$1.00	\$11.01 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.820	\$1.00	\$13.82 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.170	\$1.00	\$0.17 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	434.040	\$1.00	\$434.04 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-434.040	\$1.00	(\$434.04) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$56.35 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$44.90 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1,374.660	\$1.00	\$1,374.66 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	56.350	\$1.00	\$56.35 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	44.900	\$1.00	\$44.90 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1,374.660	\$1.00	(\$1,374.66) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$22.17 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$8.16 Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$14.07 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$11.21 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	467.450	\$1.00	\$467.45 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	11.210	\$1.00	\$11.21 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	14.070	\$1.00	\$14.07 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.160	\$1.00	\$8.16 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	22.170	\$1.00	\$22.17 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-467.450	\$1.00	(\$467.45) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$9.21 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.39 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	101.800	\$1.00	\$101.80 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	9.210	\$1.00	\$9.21 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.390	\$1.00	\$3.39 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-101.800	\$1.00	(\$101.80) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.18 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.54 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	175.990	\$1.00	\$175.99 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.540	\$1.00	\$1.54 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.180	\$1.00	\$4.18 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-175.990	\$1.00	(\$175.99) Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$7.17 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.72 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	127.150	\$1.00	\$127.15 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.170	\$1.00	\$7.17 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.720	\$1.00	\$5.72 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-127.150	\$1.00	(\$127.15) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$22.57 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$17.98 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	774.910	\$1.00	\$774.91 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	17.980	\$1.00	\$17.98 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	22.570	\$1.00	\$22.57 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-774.910	\$1.00	(\$774.91) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$84.57 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$67.39 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	3,007.990	\$1.00	\$3,007.99 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	67.390	\$1.00	\$67.39 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	84.570	\$1.00	\$84.57 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-3,007.990	\$1.00	(\$3,007.99) Complete
12/17/2021	Dividend to Cash	Goldman Sachs GQG Ptnrs Intl Op Fund (GSIMX)	+ *****XXXX(NP)	0.000	\$0.00	\$90.13 Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$13.89 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.11 Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.64 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.49 Complete
12/17/2021	Dividend to Cash	iShares Edge MSCI EAFE Minimum Vol (EFAV)	+ *****XXXX(NP)	0.000	\$0.00	\$31.71 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	530.040	\$1.00	\$530.04 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	90.130	\$1.00	\$90.13 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	31.710	\$1.00	\$31.71 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.490	\$1.00	\$4.49 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.640	\$1.00	\$5.64 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.110	\$1.00	\$5.11 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.890	\$1.00	\$13.89 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-530.040	\$1.00	(\$530.04) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$16.91 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$13.48 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	672.110	\$1.00	\$672.11 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.480	\$1.00	\$13.48 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	16.910	\$1.00	\$16.91 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-672.110	\$1.00	(\$672.11) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.05 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.12 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.120	\$1.00	\$1.12 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.050	\$1.00	\$3.05 Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$8.36 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/17/2021	Short Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.08 Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$7.37 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.87 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	184.940	\$1.00	\$184.94 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.870	\$1.00	\$5.87 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.370	\$1.00	\$7.37 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.080	\$1.00	\$3.08 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.360	\$1.00	\$8.36 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-184.940	\$1.00	(\$184.94) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.54 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.04 Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$13.80 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.99 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	152.240	\$1.00	\$152.24 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.540	\$1.00	\$5.54 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.990	\$1.00	\$10.99 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.800	\$1.00	\$13.80 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.040	\$1.00	\$2.04 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-152.240	\$1.00	(\$152.24) Complete
12/17/2021	Long Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.31 Complete
12/17/2021	Short Term Gain to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.64 Complete
12/17/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	73.620	\$1.00	\$73.62 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.640	\$1.00	\$2.64 Complete
12/17/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.310	\$1.00	\$3.31 Complete
12/17/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-73.620	\$1.00	(\$73.62) Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	278.670	\$1.00	\$278.67 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$278.67 Complete
12/16/2021	Dividend to Cash	DFA United Kingdom Small Co (DFUKX)	+ *****XXXX(NP)	0.000	\$0.00	\$43.82 Complete
12/16/2021	Long Term Gain to Cash	DFA United Kingdom Small Co (DFUKX)	+ *****XXXX(NP)	0.000	\$0.00	\$37.16 Complete
12/16/2021	Dividend to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$43.14 Complete
12/16/2021	Long Term Gain to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$343.37 Complete
12/16/2021	Short Term Gain to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$35.79 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	43.820	\$1.00	\$43.82 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	37.160	\$1.00	\$37.16 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	506.530	\$1.00	\$506.53 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	35.790	\$1.00	\$35.79 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	43.140	\$1.00	\$43.14 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	343.370	\$1.00	\$343.37 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Admiral (VMNVX)	+ *****XXXX(NP)	0.000	\$0.00	\$506.53 Complete
12/16/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	37.410	\$1.00	\$37.41 Complete
12/16/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-37.410	\$1.00	(\$37.41) Complete
12/16/2021	Dividend to Cash	DFA United Kingdom Small Co (DFUKX)	+ *****XXXX(NP)	0.000	\$0.00	\$32.86 Complete
12/16/2021	Long Term Gain to Cash	DFA United Kingdom Small Co (DFUKX)	+ *****XXXX(NP)	0.000	\$0.00	\$27.87 Complete
12/16/2021	Long Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$134.80 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/16/2021	Short Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$13.93 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	27.870	\$1.00	\$27.87 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	224.580	\$1.00	\$224.58 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.930	\$1.00	\$13.93 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	134.800	\$1.00	\$134.80 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	32.860	\$1.00	\$32.86 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$224.58 Complete
12/16/2021	Dividend to Cash	DFA United Kingdom Small Co (DFUKX)	+ *****XXXX(NP)	0.000	\$0.00	\$54.77 Complete
12/16/2021	Long Term Gain to Cash	DFA United Kingdom Small Co (DFUKX)	+ *****XXXX(NP)	0.000	\$0.00	\$46.45 Complete
12/16/2021	Long Term Gain to Cash	Matthews Emerging Markets Small Companies CI INV (MSMLX)	+ *****XXXX(NP)	0.000	\$0.00	\$16.29 Complete
12/16/2021	Short Term Gain to Cash	Matthews Emerging Markets Small Companies CI INV (MSMLX)	+ *****XXXX(NP)	0.000	\$0.00	\$111.16 Complete
12/16/2021	Long Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$179.74 Complete
12/16/2021	Short Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$18.57 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	46.450	\$1.00	\$46.45 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	947.680	\$1.00	\$947.68 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	18.570	\$1.00	\$18.57 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	179.740	\$1.00	\$179.74 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	111.160	\$1.00	\$111.16 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	16.290	\$1.00	\$16.29 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	54.770	\$1.00	\$54.77 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$947.68 Complete
12/16/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	707.950	\$1.00	\$707.95 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	467.450	\$1.00	\$467.45 Complete
12/16/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-707.950	\$1.00	(\$707.95) Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$467.45 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	101.800	\$1.00	\$101.80 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$101.80 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	175.990	\$1.00	\$175.99 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$175.99 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	127.150	\$1.00	\$127.15 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$127.15 Complete
12/16/2021	Long Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$319.71 Complete
12/16/2021	Short Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$33.04 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	422.160	\$1.00	\$422.16 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	33.040	\$1.00	\$33.04 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	319.710	\$1.00	\$319.71 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$422.16 Complete
12/16/2021	Dividend to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$86.50 Complete
12/16/2021	Long Term Gain to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$688.57 Complete
12/16/2021	Short Term Gain to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$71.77 Complete
12/16/2021	Long Term Gain to Cash	Matthews Asia Growth Investor (MPACX)	+ *****XXXX(NP)	0.000	\$0.00	\$383.70 Complete
12/16/2021	Short Term Gain to Cash	Matthews Asia Growth Investor (MPACX)	+ *****XXXX(NP)	0.000	\$0.00	\$156.76 Complete

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Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/16/2021	Long Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$897.43 Complete
12/16/2021	Short Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$92.74 Complete
12/16/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	74.940	\$1.00	\$74.94 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	433.610	\$1.00	\$433.61 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	196.910	\$1.00	\$196.91 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	156.760	\$1.00	\$156.76 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	383.700	\$1.00	\$383.70 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	92.740	\$1.00	\$92.74 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	897.430	\$1.00	\$897.43 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	71.770	\$1.00	\$71.77 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	86.500	\$1.00	\$86.50 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	688.570	\$1.00	\$688.57 Complete
12/16/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-74.940	\$1.00	(\$74.94) Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Admiral (VMNVX)	+ *****XXXX(NP)	0.000	\$0.00	\$196.91 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$433.61 Complete
12/16/2021	Long Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$336.54 Complete
12/16/2021	Short Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$34.78 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	34.780	\$1.00	\$34.78 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	336.540	\$1.00	\$336.54 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	158.720	\$1.00	\$158.72 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$158.72 Complete
12/16/2021	Dividend to Cash	Matthews Asia Growth Fund Institutional (MIAPX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.27 Complete
12/16/2021	Long Term Gain to Cash	Matthews Asia Growth Fund Institutional (MIAPX)	+ *****XXXX(NP)	0.000	\$0.00	\$60.51 Complete
12/16/2021	Short Term Gain to Cash	Matthews Asia Growth Fund Institutional (MIAPX)	+ *****XXXX(NP)	0.000	\$0.00	\$24.72 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	60.510	\$1.00	\$60.51 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	211.620	\$1.00	\$211.62 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	373.990	\$1.00	\$373.99 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	24.720	\$1.00	\$24.72 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.270	\$1.00	\$1.27 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Admiral (VMNVX)	+ *****XXXX(NP)	0.000	\$0.00	\$373.99 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Inv (VMVFX)	+ *****XXXX(NP)	0.000	\$0.00	\$211.62 Complete
12/16/2021	Dividend to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$6.26 Complete
12/16/2021	Long Term Gain to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$49.81 Complete
12/16/2021	Short Term Gain to Cash	JOHCM International Select I (JOHIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.19 Complete
12/16/2021	Long Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$63.22 Complete
12/16/2021	Short Term Gain to Cash	Matthews India Instl (MIDNX)	+ *****XXXX(NP)	0.000	\$0.00	\$6.53 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.260	\$1.00	\$6.26 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	49.810	\$1.00	\$49.81 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	53.930	\$1.00	\$53.93 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.530	\$1.00	\$6.53 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	63.220	\$1.00	\$63.22 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.190	\$1.00	\$5.19 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Admiral (VMNVX)	+ *****XXXX(NP)	0.000	\$0.00	\$53.93 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	152.240	\$1.00	\$152.24 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Admiral (VMNVX)	+ *****XXXX(NP)	0.000	\$0.00	\$152.24 Complete
12/16/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	73.620	\$1.00	\$73.62 Complete
12/16/2021	Dividend to Cash	Vanguard Global Minimum Volatility Admiral (VMNVX)	+ *****XXXX(NP)	0.000	\$0.00	\$73.62 Complete
12/15/2021	Long Term Gain to Cash	GQG Emerging Mkts Eqty Instl (GQGIX)	+ *****XXXX(NP)	0.000	\$0.00	\$37.41 Complete
12/15/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	37.410	\$1.00	\$37.41 Complete
12/15/2021	Long Term Gain to Cash	Goldman Sachs Absolute Return Tracker I (GJRTX)	+ *****XXXX(NP)	0.000	\$0.00	\$105.95 Complete
12/15/2021	Short Term Gain to Cash	Goldman Sachs Absolute Return Tracker I (GJRTX)	+ *****XXXX(NP)	0.000	\$0.00	\$602.00 Complete
12/15/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.530	\$1.00	\$0.53 Complete
12/15/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	602.000	\$1.00	\$602.00 Complete
12/15/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	105.950	\$1.00	\$105.95 Complete
12/15/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-0.530	\$1.00	(\$0.53) Complete
12/15/2021	Long Term Gain to Cash	GQG Emerging Mkts Eqty Instl (GQGIX)	+ *****XXXX(NP)	0.000	\$0.00	\$74.94 Complete
12/15/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	74.940	\$1.00	\$74.94 Complete
12/15/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.070	\$1.00	\$0.07 Complete
12/15/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-0.070	\$1.00	(\$0.07) Complete
12/14/2021	Dividend to Cash	DFA One-Year Fixed Income Portfolio (I) (DFIH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.53 Complete
12/14/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.530	\$1.00	\$0.53 Complete
12/14/2021	Dividend to Cash	DFA One-Year Fixed Income Portfolio (I) (DFIH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.07 Complete
12/14/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.070	\$1.00	\$0.07 Complete
12/10/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	134.640	\$1.00	\$134.64 Complete
12/10/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-134.640	\$1.00	(\$134.64) Complete
12/10/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	29.970	\$1.00	\$29.97 Complete
12/10/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-29.970	\$1.00	(\$29.97) Complete
12/10/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	977.910	\$1.00	\$977.91 Complete
12/10/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-977.910	\$1.00	(\$977.91) Complete
12/10/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	126.300	\$1.00	\$126.30 Complete
12/10/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-126.300	\$1.00	(\$126.30) Complete
12/10/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	82.870	\$1.00	\$82.87 Complete
12/10/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-82.870	\$1.00	(\$82.87) Complete
12/10/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	37.420	\$1.00	\$37.42 Complete
12/10/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-37.420	\$1.00	(\$37.42) Complete
12/10/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	126.300	\$1.00	\$126.30 Complete
12/10/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-126.300	\$1.00	(\$126.30) Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	134.640	\$1.00	\$134.64 Complete
12/9/2021	Long Term Gain to Cash	WCM Focused International Growth Instl (WCMIX)	+ *****XXXX(NP)	0.000	\$0.00	\$134.64 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	19.590	\$1.00	\$19.59 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.380	\$1.00	\$10.38 Complete
12/9/2021	Long Term Gain to Cash	WCM Focused Emerging Markets Fund Institutional (WCME)	+ *****XXXX(NP)	0.000	\$0.00	\$10.38 Complete
12/9/2021	Short Term Gain to Cash	WCM Focused Emerging Markets Fund Institutional (WCME)	+ *****XXXX(NP)	0.000	\$0.00	\$19.59 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	174.660	\$1.00	\$174.66 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	473.610	\$1.00	\$473.61 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	329.640	\$1.00	\$329.64 Complete
12/9/2021	Long Term Gain to Cash	WCM Focused Emerging Markets Fund Institutional (WCME)	+ *****XXXX(NP)	0.000	\$0.00	\$174.66 Complete
12/9/2021	Short Term Gain to Cash	WCM Focused Emerging Markets Fund Institutional (WCME)	+ *****XXXX(NP)	0.000	\$0.00	\$329.64 Complete



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Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/9/2021	Long Term Gain to Cash	WCM Focused International Growth Instl (WCMIX)	+ *****XXXX(NP)	0.000	\$0.00	\$473.61 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	126.300	\$1.00	\$126.30 Complete
12/9/2021	Long Term Gain to Cash	WCM Focused International Growth Instl (WCMIX)	+ *****XXXX(NP)	0.000	\$0.00	\$126.30 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	54.170	\$1.00	\$54.17 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	28.700	\$1.00	\$28.70 Complete
12/9/2021	Long Term Gain to Cash	WCM Focused Emerging Markets Fund Institutional (WCMEX)	+ *****XXXX(NP)	0.000	\$0.00	\$28.70 Complete
12/9/2021	Short Term Gain to Cash	WCM Focused Emerging Markets Fund Institutional (WCMEX)	+ *****XXXX(NP)	0.000	\$0.00	\$54.17 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	12.960	\$1.00	\$12.96 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	24.460	\$1.00	\$24.46 Complete
12/9/2021	Long Term Gain to Cash	WCM Focused Emerging Markets Fund Institutional (WCMEX)	+ *****XXXX(NP)	0.000	\$0.00	\$12.96 Complete
12/9/2021	Short Term Gain to Cash	WCM Focused Emerging Markets Fund Institutional (WCMEX)	+ *****XXXX(NP)	0.000	\$0.00	\$24.46 Complete
12/9/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	126.300	\$1.00	\$126.30 Complete
12/9/2021	Long Term Gain to Cash	WCM Focused International Growth Instl (WCMIX)	+ *****XXXX(NP)	0.000	\$0.00	\$126.30 Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.400	\$1.00	\$13.40 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.400	\$1.00	(\$13.40) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.030	\$1.00	\$6.03 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.030	\$1.00	(\$6.03) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.210	\$1.00	\$2.21 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.210	\$1.00	(\$2.21) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.970	\$1.00	\$6.97 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.970	\$1.00	(\$6.97) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	37.900	\$1.00	\$37.90 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-37.900	\$1.00	(\$37.90) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	18.830	\$1.00	\$18.83 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-18.830	\$1.00	(\$18.83) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.820	\$1.00	\$6.82 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.820	\$1.00	(\$6.82) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	4.400	\$1.00	\$4.40 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-4.400	\$1.00	(\$4.40) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	5.140	\$1.00	\$5.14 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-5.140	\$1.00	(\$5.14) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	11.130	\$1.00	\$11.13 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-11.130	\$1.00	(\$11.13) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.210	\$1.00	\$7.21 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.210	\$1.00	(\$7.21) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	3.870	\$1.00	\$3.87 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-3.870	\$1.00	(\$3.87) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	12.400	\$1.00	\$12.40 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-12.400	\$1.00	(\$12.40) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-0.720	\$1.00	(\$0.72) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1.180	\$1.00	\$1.18 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1.180	\$1.00	(\$1.18) Complete
12/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.230	\$1.00	\$2.23 Complete
12/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.230	\$1.00	(\$2.23) Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.830	\$1.00	\$2.83 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.060	\$1.00	\$6.06 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.270	\$1.00	\$2.27 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.240	\$1.00	\$2.24 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$6.06 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$2.27 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.83 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.24 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.520	\$1.00	\$1.52 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.090	\$1.00	\$1.09 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.150	\$1.00	\$1.15 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.480	\$1.00	\$1.48 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.790	\$1.00	\$0.79 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.52 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.09 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.79 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.48 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.15 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.360	\$1.00	\$0.36 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.690	\$1.00	\$0.69 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.490	\$1.00	\$0.49 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.670	\$1.00	\$0.67 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.69 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.49 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.36 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.67 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.280	\$1.00	\$1.28 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.360	\$1.00	\$1.36 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.750	\$1.00	\$1.75 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.930	\$1.00	\$0.93 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.650	\$1.00	\$1.65 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.65 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.28 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.93 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.75 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.36 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.020	\$1.00	\$2.02 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	12.950	\$1.00	\$12.95 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	21.340	\$1.00	\$21.34 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.590	\$1.00	\$1.59 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$12.95 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$21.34 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.02 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.59 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.270	\$1.00	\$8.27 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.420	\$1.00	\$6.42 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.140	\$1.00	\$4.14 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$8.27 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$6.42 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.14 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.150	\$1.00	\$1.15 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.070	\$1.00	\$2.07 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.580	\$1.00	\$1.58 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.020	\$1.00	\$2.02 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.07 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.58 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.15 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.02 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.520	\$1.00	\$1.52 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.790	\$1.00	\$0.79 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.440	\$1.00	\$0.44 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.080	\$1.00	\$1.08 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.570	\$1.00	\$0.57 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.52 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.79 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.57 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.08 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.44 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.240	\$1.00	\$1.24 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.990	\$1.00	\$0.99 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.980	\$1.00	\$0.98 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.210	\$1.00	\$1.21 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.24 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.99 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.72 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.21 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.98 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.050	\$1.00	\$4.05 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.140	\$1.00	\$4.14 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.940	\$1.00	\$2.94 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$4.05 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.94 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.14 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.210	\$1.00	\$7.21 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$7.21 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.500	\$1.00	\$0.50 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.960	\$1.00	\$0.96 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.690	\$1.00	\$0.69 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.780	\$1.00	\$0.78 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.940	\$1.00	\$0.94 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.96 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.69 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.50 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.94 Complete
12/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.78 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.420	\$1.00	\$10.42 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.980	\$1.00	\$1.98 Complete
12/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.98 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$10.42 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.720	\$1.00	\$0.72 Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.72 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.500	\$1.00	\$0.50 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.280	\$1.00	\$0.28 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.400	\$1.00	\$0.40 Complete
12/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.28 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.50 Complete
12/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.40 Complete
12/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.230	\$1.00	\$2.23 Complete
12/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.23 Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	3.980	\$1.00	\$3.98 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-3.980	\$1.00	(\$3.98) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	14.470	\$1.00	\$14.47 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-14.470	\$1.00	(\$14.47) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	5.660	\$1.00	\$5.66 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-5.660	\$1.00	(\$5.66) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	12.090	\$1.00	\$12.09 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-12.090	\$1.00	(\$12.09) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	36.700	\$1.00	\$36.70 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-36.700	\$1.00	(\$36.70) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	16.060	\$1.00	\$16.06 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-16.060	\$1.00	(\$16.06) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	4.290	\$1.00	\$4.29 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-4.290	\$1.00	(\$4.29) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1.980	\$1.00	\$1.98 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1.980	\$1.00	(\$1.98) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.940	\$1.00	\$2.94 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.940	\$1.00	(\$2.94) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.710	\$1.00	\$13.71 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.710	\$1.00	(\$13.71) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	47.710	\$1.00	\$47.71 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-47.710	\$1.00	(\$47.71) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	19.130	\$1.00	\$19.13 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-19.130	\$1.00	(\$19.13) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	9.090	\$1.00	\$9.09 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-9.090	\$1.00	(\$9.09) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1.430	\$1.00	\$1.43 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1.430	\$1.00	(\$1.43) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.190	\$1.00	\$7.19 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.190	\$1.00	(\$7.19) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	18.140	\$1.00	\$18.14 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-18.140	\$1.00	(\$18.14) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.250	\$1.00	\$6.25 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.250	\$1.00	(\$6.25) Complete
12/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.820	\$1.00	\$2.82 Complete
12/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.820	\$1.00	(\$2.82) Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.98 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.980	\$1.00	\$3.98 Complete
12/1/2021	Dividend to Cash	Guggenheim Ultra Short Duration A (GIYAX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.53 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	11.940	\$1.00	\$11.94 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.530	\$1.00	\$2.53 Complete
12/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$11.94 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.66 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.660	\$1.00	\$5.66 Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.23 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.860	\$1.00	\$10.86 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.230	\$1.00	\$1.23 Complete
12/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.86 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$23.07 Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.69 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	23.070	\$1.00	\$23.07 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.690	\$1.00	\$10.69 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.940	\$1.00	\$2.94 Complete
12/1/2021	Dividend to Cash	Vanguard Intmd-Term Treasury Index Fund Admiral (VSIGX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.94 Complete
12/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.31 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.75 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.750	\$1.00	\$5.75 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.310	\$1.00	\$10.31 Complete
12/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.29 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.290	\$1.00	\$4.29 Complete
12/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.98 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.980	\$1.00	\$1.98 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.94 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.940	\$1.00	\$2.94 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$9.26 Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.45 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	9.260	\$1.00	\$9.26 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.450	\$1.00	\$4.45 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$34.66 Complete
12/1/2021	Dividend to Cash	Guggenheim Ultra Short Duration A (GIYAX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.32 Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.43 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	34.660	\$1.00	\$34.66 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.300	\$1.00	\$4.30 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.320	\$1.00	\$3.32 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.430	\$1.00	\$5.43 Complete
12/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.30 Complete
12/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$6.45 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.30 Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.50 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.300	\$1.00	\$2.30 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.450	\$1.00	\$6.45 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.880	\$1.00	\$7.88 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.500	\$1.00	\$2.50 Complete
12/1/2021	Dividend to Cash	Vanguard Total Bond Market Index Adm (VBTLX)	+ *****XXXX(NP)	0.000	\$0.00	\$7.88 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$6.94 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.940	\$1.00	\$6.94 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.150	\$1.00	\$2.15 Complete
12/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.15 Complete
12/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.43 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.430	\$1.00	\$1.43 Complete
12/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.89 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.01 Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.29 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.890	\$1.00	\$3.89 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.290	\$1.00	\$0.29 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.010	\$1.00	\$3.01 Complete
12/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.57 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.65 Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.53 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.650	\$1.00	\$5.65 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.570	\$1.00	\$2.57 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.720	\$1.00	\$3.72 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.670	\$1.00	\$5.67 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.530	\$1.00	\$0.53 Complete
12/1/2021	Dividend to Cash	Vanguard Interm-Tm Corporate Bond Index Admiral (VICSX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.72 Complete
12/1/2021	Dividend to Cash	Vanguard Total Bond Market Index Adm (VBTLX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.67 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.970	\$1.00	\$4.97 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.600	\$1.00	\$0.60 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.680	\$1.00	\$0.68 Complete
12/1/2021	Dividend to Cash	Vanguard Int-Term Bond Index Adm (VBILX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.97 Complete
12/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.60 Complete
12/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.68 Complete
12/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.34 Complete
12/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.48 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.340	\$1.00	\$1.34 Complete
12/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.480	\$1.00	\$1.48 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.050	\$1.00	\$0.05 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.040	\$1.00	\$0.04 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.030	\$1.00	\$0.03 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.030	\$1.00	\$0.03 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.050	\$1.00	\$0.05 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.040	\$1.00	\$0.04 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.090	\$1.00	\$0.09 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.090	\$1.00	\$0.09 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.040	\$1.00	\$0.04 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.030	\$1.00	\$0.03 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
11/30/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.030	\$1.00	\$0.03 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
11/22/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	657.230	\$1.00	\$657.23 Complete
11/22/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-657.230	\$1.00	(\$657.23) Complete
11/22/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	698.770	\$1.00	\$698.77 Complete
11/22/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-698.770	\$1.00	(\$698.77) Complete
11/22/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1,766.610	\$1.00	\$1,766.61 Complete
11/22/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1,766.610	\$1.00	(\$1,766.61) Complete
11/22/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	897.530	\$1.00	\$897.53 Complete
11/22/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-897.530	\$1.00	(\$897.53) Complete
11/22/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	849.630	\$1.00	\$849.63 Complete
11/22/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-849.630	\$1.00	(\$849.63) Complete
11/22/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1,466.820	\$1.00	\$1,466.82 Complete
11/22/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1,466.820	\$1.00	(\$1,466.82) Complete
11/22/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	548.740	\$1.00	\$548.74 Complete
11/22/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-548.740	\$1.00	(\$548.74) Complete
11/22/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	635.880	\$1.00	\$635.88 Complete
11/22/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-635.880	\$1.00	(\$635.88) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-71.290	\$1.00	(\$71.29) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	71.290	\$1.00	\$71.29 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-71.290	\$1.00	(\$71.29) Complete
11/19/2021	Long Term Gain to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$657.23 Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-69.160	\$1.00	(\$69.16) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	69.160	\$1.00	\$69.16 Complete
11/19/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	657.230	\$1.00	\$657.23 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-69.160	\$1.00	(\$69.16) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-25.400	\$1.00	(\$25.40) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	25.400	\$1.00	\$25.40 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-25.400	\$1.00	(\$25.40) Complete
11/19/2021	Long Term Gain to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$698.77 Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-62.850	\$1.00	(\$62.85) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	62.850	\$1.00	\$62.85 Complete
11/19/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	698.770	\$1.00	\$698.77 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-62.850	\$1.00	(\$62.85) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-236.210	\$1.00	(\$236.21) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	236.210	\$1.00	\$236.21 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-236.210	\$1.00	(\$236.21) Complete
11/19/2021	Long Term Gain to Cash	Parnassus Endeavor Instl (PFPWX)	+ *****XXXX(NP)	0.000	\$0.00	\$1,766.61 Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-164.840	\$1.00	(\$164.84) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	164.840	\$1.00	\$164.84 Complete
11/19/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,766.610	\$1.00	\$1,766.61 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-164.840	\$1.00	(\$164.84) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-34.730	\$1.00	(\$34.73) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	34.730	\$1.00	\$34.73 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-34.730	\$1.00	(\$34.73) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-22.400	\$1.00	(\$22.40) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	22.400	\$1.00	\$22.40 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-22.400	\$1.00	(\$22.40) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-24.740	\$1.00	(\$24.74) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	24.740	\$1.00	\$24.74 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-24.740	\$1.00	(\$24.74) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-95.500	\$1.00	(\$95.50) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	95.500	\$1.00	\$95.50 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-95.500	\$1.00	(\$95.50) Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
11/19/2021	Long Term Gain to Cash	Parnassus Endeavor Instl (FPFWX)	+ *****XXXX(NP)	0.000	\$0.00	\$897.53 Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-181.690	\$1.00	(\$181.69) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	181.690	\$1.00	\$181.69 Complete
11/19/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	897.530	\$1.00	\$897.53 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-181.690	\$1.00	(\$181.69) Complete
11/19/2021	Long Term Gain to Cash	Parnassus Endeavor Instl (FPFWX)	+ *****XXXX(NP)	0.000	\$0.00	\$849.63 Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-67.760	\$1.00	(\$67.76) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	67.760	\$1.00	\$67.76 Complete
11/19/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	849.630	\$1.00	\$849.63 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-67.760	\$1.00	(\$67.76) Complete
11/19/2021	Long Term Gain to Cash	Parnassus Endeavor Instl (FPFWX)	+ *****XXXX(NP)	0.000	\$0.00	\$1,466.82 Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-83.430	\$1.00	(\$83.43) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	83.430	\$1.00	\$83.43 Complete
11/19/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,466.820	\$1.00	\$1,466.82 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-83.430	\$1.00	(\$83.43) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-5.630	\$1.00	(\$5.63) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.630	\$1.00	\$5.63 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-5.630	\$1.00	(\$5.63) Complete
11/19/2021	Long Term Gain to Cash	Parnassus Endeavor Instl (FPFWX)	+ *****XXXX(NP)	0.000	\$0.00	\$548.74 Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-20.270	\$1.00	(\$20.27) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	20.270	\$1.00	\$20.27 Complete
11/19/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	548.740	\$1.00	\$548.74 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-20.270	\$1.00	(\$20.27) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-31.350	\$1.00	(\$31.35) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	31.350	\$1.00	\$31.35 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-31.350	\$1.00	(\$31.35) Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-16.720	\$1.00	(\$16.72) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	16.720	\$1.00	\$16.72 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-16.720	\$1.00	(\$16.72) Complete
11/19/2021	Long Term Gain to Cash	Parnassus Endeavor Instl (FPFWX)	+ *****XXXX(NP)	0.000	\$0.00	\$635.88 Complete
11/19/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-34.290	\$1.00	(\$34.29) Complete
11/19/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	34.290	\$1.00	\$34.29 Complete
11/19/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	635.880	\$1.00	\$635.88 Complete
11/19/2021	Management Fee	US Dollars (USxxxx997)	+ *****XXXX(NP)	-34.290	\$1.00	(\$34.29) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.920	\$1.00	\$13.92 Complete
11/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.920	\$1.00	(\$13.92) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.260	\$1.00	\$6.26 Complete
11/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.260	\$1.00	(\$6.26) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.250	\$1.00	\$2.25 Complete
11/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.250	\$1.00	(\$2.25) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.260	\$1.00	\$7.26 Complete
11/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.260	\$1.00	(\$7.26) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	38.910	\$1.00	\$38.91 Complete
11/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-38.910	\$1.00	(\$38.91) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	19.800	\$1.00	\$19.80 Complete
11/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-19.800	\$1.00	(\$19.80) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.910	\$1.00	\$6.91 Complete
11/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.910	\$1.00	(\$6.91) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	4.530	\$1.00	\$4.53 Complete
11/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-4.530	\$1.00	(\$4.53) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	5.350	\$1.00	\$5.35 Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
11/5/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-5.350	\$1.00	(\$5.35) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	11.900	\$1.00	\$11.90 Complete
11/5/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-11.900	\$1.00	(\$11.90) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	7.370	\$1.00	\$7.37 Complete
11/5/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-7.370	\$1.00	(\$7.37) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	4.050	\$1.00	\$4.05 Complete
11/5/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-4.050	\$1.00	(\$4.05) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	12.630	\$1.00	\$12.63 Complete
11/5/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-12.630	\$1.00	(\$12.63) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	0.730	\$1.00	\$0.73 Complete
11/5/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-0.730	\$1.00	(\$0.73) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	1.190	\$1.00	\$1.19 Complete
11/5/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-1.190	\$1.00	(\$1.19) Complete
11/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ ****X(NP)	2.270	\$1.00	\$2.27 Complete
11/5/2021	Sell Exchange	US Dollars (USxxx997)	+ ****X(NP)	-2.270	\$1.00	(\$2.27) Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	2.810	\$1.00	\$2.81 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	6.220	\$1.00	\$6.22 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	2.320	\$1.00	\$2.32 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	2.570	\$1.00	\$2.57 Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$6.22 Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$2.32 Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$2.81 Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****X(NP)	0.000	\$0.00	\$2.57 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.550	\$1.00	\$1.55 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.110	\$1.00	\$1.11 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.330	\$1.00	\$1.33 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.470	\$1.00	\$1.47 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.800	\$1.00	\$0.80 Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$1.55 Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$1.11 Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ ****X(NP)	0.000	\$0.00	\$0.80 Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$1.47 Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****X(NP)	0.000	\$0.00	\$1.33 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.370	\$1.00	\$0.37 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.710	\$1.00	\$0.71 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.500	\$1.00	\$0.50 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.670	\$1.00	\$0.67 Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$0.71 Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$0.50 Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ ****X(NP)	0.000	\$0.00	\$0.37 Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$0.67 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.700	\$1.00	\$1.70 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.310	\$1.00	\$1.31 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.560	\$1.00	\$1.56 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.740	\$1.00	\$1.74 Complete
11/4/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.950	\$1.00	\$0.95 Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$1.70 Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$1.31 Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ ****X(NP)	0.000	\$0.00	\$0.95 Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$1.74 Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****X(NP)	0.000	\$0.00	\$1.56 Complete

**Transactions By Date**

Date	Transaction Type	Security	Account Number	Units	Price	Total	Status
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.010	\$1.00	\$2.01	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.280	\$1.00	\$13.28	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	21.790	\$1.00	\$21.79	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.830	\$1.00	\$1.83	Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$13.28	Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$21.79	Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.01	Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.83	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	8.480	\$1.00	\$8.48	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.560	\$1.00	\$6.56	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.760	\$1.00	\$4.76	Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$8.48	Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$6.56	Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.76	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.170	\$1.00	\$1.17	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.120	\$1.00	\$2.12	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.610	\$1.00	\$1.61	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.010	\$1.00	\$2.01	Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.12	Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.61	Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.17	Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.01	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.550	\$1.00	\$1.55	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.810	\$1.00	\$0.81	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.510	\$1.00	\$0.51	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.070	\$1.00	\$1.07	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.590	\$1.00	\$0.59	Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.55	Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.81	Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.59	Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.07	Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.51	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.730	\$1.00	\$0.73	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.270	\$1.00	\$1.27	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.010	\$1.00	\$1.01	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.130	\$1.00	\$1.13	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.210	\$1.00	\$1.21	Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.27	Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.01	Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.73	Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.21	Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.13	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.140	\$1.00	\$4.14	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.760	\$1.00	\$4.76	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.000	\$1.00	\$3.00	Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$4.14	Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$3.00	Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.76	Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.370	\$1.00	\$7.37	Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$7.37 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.510	\$1.00	\$0.51 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.990	\$1.00	\$0.99 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.710	\$1.00	\$0.71 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.900	\$1.00	\$0.90 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.940	\$1.00	\$0.94 Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.99 Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.71 Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.51 Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.94 Complete
11/4/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.90 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.610	\$1.00	\$10.61 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.020	\$1.00	\$2.02 Complete
11/4/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$2.02 Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$10.61 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.730	\$1.00	\$0.73 Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.73 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.510	\$1.00	\$0.51 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.280	\$1.00	\$0.28 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.400	\$1.00	\$0.40 Complete
11/4/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.28 Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.51 Complete
11/4/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.40 Complete
11/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.270	\$1.00	\$2.27 Complete
11/4/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.27 Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	3.940	\$1.00	\$3.94 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-3.940	\$1.00	(\$3.94) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	14.690	\$1.00	\$14.69 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-14.690	\$1.00	(\$14.69) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.160	\$1.00	\$6.16 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.160	\$1.00	(\$6.16) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	12.400	\$1.00	\$12.40 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-12.400	\$1.00	(\$12.40) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	38.780	\$1.00	\$38.78 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-38.780	\$1.00	(\$38.78) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	17.110	\$1.00	\$17.11 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-17.110	\$1.00	(\$17.11) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	4.500	\$1.00	\$4.50 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-4.500	\$1.00	(\$4.50) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.030	\$1.00	\$2.03 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.030	\$1.00	(\$2.03) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	3.210	\$1.00	\$3.21 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-3.210	\$1.00	(\$3.21) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	14.530	\$1.00	\$14.53 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-14.530	\$1.00	(\$14.53) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	50.190	\$1.00	\$50.19 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-50.190	\$1.00	(\$50.19) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	19.590	\$1.00	\$19.59 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-19.590	\$1.00	(\$19.59) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	9.480	\$1.00	\$9.48 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-9.480	\$1.00	(\$9.48) Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1.480	\$1.00	\$1.48 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1.480	\$1.00	(\$1.48) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.690	\$1.00	\$7.69 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.690	\$1.00	(\$7.69) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	18.950	\$1.00	\$18.95 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-18.950	\$1.00	(\$18.95) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.390	\$1.00	\$6.39 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.390	\$1.00	(\$6.39) Complete
11/2/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.970	\$1.00	\$2.97 Complete
11/2/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.970	\$1.00	(\$2.97) Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.94 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.940	\$1.00	\$3.94 Complete
11/1/2021	Dividend to Cash	Guggenheim Ultra Short Duration A (GIYAX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.44 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	12.250	\$1.00	\$12.25 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.440	\$1.00	\$2.44 Complete
11/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$12.25 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$6.16 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.160	\$1.00	\$6.16 Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.25 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	11.150	\$1.00	\$11.15 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.250	\$1.00	\$1.25 Complete
11/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$11.15 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$25.16 Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.67 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	25.160	\$1.00	\$25.16 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.950	\$1.00	\$2.95 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.670	\$1.00	\$10.67 Complete
11/1/2021	Dividend to Cash	Vanguard Intmd-Term Treasury Index Fund Admiral (VSI GX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.95 Complete
11/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.82 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$6.29 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.290	\$1.00	\$6.29 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.820	\$1.00	\$10.82 Complete
11/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.50 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.500	\$1.00	\$4.50 Complete
11/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.03 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.030	\$1.00	\$2.03 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.21 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.210	\$1.00	\$3.21 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.08 Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.45 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.080	\$1.00	\$10.08 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.450	\$1.00	\$4.45 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$37.76 Complete
11/1/2021	Dividend to Cash	Guggenheim Ultra Short Duration A (GIYAX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.17 Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.41 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	37.760	\$1.00	\$37.76 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	3.850	\$1.00	\$3.85 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	5.410	\$1.00	\$5.41 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	3.170	\$1.00	\$3.17 Complete
11/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ ****X(NP)	0.000	\$0.00	\$3.85 Complete
11/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ ****X(NP)	0.000	\$0.00	\$6.79 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ ****X(NP)	0.000	\$0.00	\$2.50 Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ ****X(NP)	0.000	\$0.00	\$2.47 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	2.500	\$1.00	\$2.50 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	6.790	\$1.00	\$6.79 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	7.830	\$1.00	\$7.83 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	2.470	\$1.00	\$2.47 Complete
11/1/2021	Dividend to Cash	Vanguard Total Bond Market Index Adm (VBTLX)	+ ****X(NP)	0.000	\$0.00	\$7.83 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ ****X(NP)	0.000	\$0.00	\$7.55 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	7.550	\$1.00	\$7.55 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.930	\$1.00	\$1.93 Complete
11/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ ****X(NP)	0.000	\$0.00	\$1.93 Complete
11/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ ****X(NP)	0.000	\$0.00	\$1.48 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.480	\$1.00	\$1.48 Complete
11/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ ****X(NP)	0.000	\$0.00	\$4.12 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ ****X(NP)	0.000	\$0.00	\$3.31 Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ ****X(NP)	0.000	\$0.00	\$0.26 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	4.120	\$1.00	\$4.12 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.260	\$1.00	\$0.26 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	3.310	\$1.00	\$3.31 Complete
11/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ ****X(NP)	0.000	\$0.00	\$2.72 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ ****X(NP)	0.000	\$0.00	\$6.16 Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ ****X(NP)	0.000	\$0.00	\$0.56 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	6.160	\$1.00	\$6.16 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	2.720	\$1.00	\$2.72 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	3.870	\$1.00	\$3.87 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	5.640	\$1.00	\$5.64 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.560	\$1.00	\$0.56 Complete
11/1/2021	Dividend to Cash	Vanguard Interm-Tm Corporate Bond Index Admiral (VICSX)	+ ****X(NP)	0.000	\$0.00	\$3.87 Complete
11/1/2021	Dividend to Cash	Vanguard Total Bond Market Index Adm (VBTLX)	+ ****X(NP)	0.000	\$0.00	\$5.64 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	5.160	\$1.00	\$5.16 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.620	\$1.00	\$0.62 Complete
11/1/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.610	\$1.00	\$0.61 Complete
11/1/2021	Dividend to Cash	Vanguard Int-Term Bond Index Adm (VBILX)	+ ****X(NP)	0.000	\$0.00	\$5.16 Complete
11/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ ****X(NP)	0.000	\$0.00	\$0.62 Complete
11/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ ****X(NP)	0.000	\$0.00	\$0.61 Complete
11/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ ****X(NP)	0.000	\$0.00	\$1.47 Complete
11/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ ****X(NP)	0.000	\$0.00	\$1.50 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.470	\$1.00	\$1.47 Complete
11/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.500	\$1.00	\$1.50 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.050	\$1.00	\$0.05 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.040	\$1.00	\$0.04 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.030	\$1.00	\$0.03 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.030	\$1.00	\$0.03 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.060	\$1.00	\$0.06 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.050	\$1.00	\$0.05 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.100	\$1.00	\$0.10 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.080	\$1.00	\$0.08 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.030	\$1.00	\$0.03 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.030	\$1.00	\$0.03 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.010	\$1.00	\$0.01 Complete
10/29/2021	Interest Reinvested	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.040	\$1.00	\$0.04 Complete
10/14/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-915.000	\$1.00	(\$915.00) Complete
10/14/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	915.000	\$1.00	\$915.00 Complete
10/14/2021	Client Distribution	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2,500.000	\$1.00	(\$2,500.00) Complete
10/14/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-825.000	\$1.00	(\$825.00) Complete
10/14/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	825.000	\$1.00	\$825.00 Complete
10/14/2021	Client Distribution	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2,000.000	\$1.00	(\$2,000.00) Complete
10/14/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-1,119.690	\$1.00	(\$1,119.69) Complete
10/14/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,119.690	\$1.00	\$1,119.69 Complete
10/14/2021	Client Distribution	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6,000.000	\$1.00	(\$6,000.00) Complete
10/14/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-1,000.000	\$1.00	(\$1,000.00) Complete
10/14/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,000.000	\$1.00	\$1,000.00 Complete
10/14/2021	Client Distribution	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1,000.000	\$1.00	(\$1,000.00) Complete
10/14/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-1,025.000	\$1.00	(\$1,025.00) Complete
10/14/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,025.000	\$1.00	\$1,025.00 Complete
10/14/2021	Client Distribution	US Dollars (USxxxx997)	+ *****XXXX(NP)	-3,000.000	\$1.00	(\$3,000.00) Complete
10/14/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-65.000	\$1.00	(\$65.00) Complete
10/14/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	65.000	\$1.00	\$65.00 Complete
10/14/2021	Client Distribution	US Dollars (USxxxx997)	+ *****XXXX(NP)	-225.000	\$1.00	(\$225.00) Complete
10/14/2021	Sell Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	-1,000.000	\$1.00	(\$1,000.00) Complete
10/14/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,000.000	\$1.00	\$1,000.00 Complete
10/14/2021	Client Distribution	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1,000.000	\$1.00	(\$1,000.00) Complete
10/13/2021	Sell Exchange	Goldman Sachs GQG Ptnrs Intl Op Fund (GSIMX)	+ *****XXXX(NP)	-79.013	\$20.06	(\$1,585.00) Complete
10/13/2021	Trading Expense	Goldman Sachs GQG Ptnrs Intl Op Fund (GSIMX)	+ *****XXXX(NP)	-0.748	\$20.06	(\$15.00) Complete
10/13/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,585.000	\$1.00	\$1,585.00 Complete
10/13/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,175.000	\$1.00	\$1,175.00 Complete
10/13/2021	Sell Exchange	Vanguard Extended Market Index Admiral (VEXAX)	+ *****XXXX(NP)	-8.286	\$141.81	(\$1,175.00) Complete
10/13/2021	Trading Expense	Vanguard Extended Market Index Admiral (VEXAX)	+ *****XXXX(NP)	-0.176	\$141.81	(\$25.00) Complete
10/13/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	4,880.310	\$1.00	\$4,880.31 Complete
10/13/2021	Sell Exchange	Vanguard Mid Cap Value Index Adm (VMVAX)	+ *****XXXX(NP)	-65.950	\$74.00	(\$4,880.31) Complete
10/13/2021	Trading Expense	Vanguard Mid Cap Value Index Adm (VMVAX)	+ *****XXXX(NP)	-0.338	\$74.00	(\$25.00) Complete
10/13/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	1,975.000	\$1.00	\$1,975.00 Complete
10/13/2021	Sell Exchange	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	-41.370	\$47.74	(\$1,975.00) Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
10/13/2021	Trading Expense	Vanguard Balanced Index Adm (VBIAX)	+ *****XXXX(NP)	-0.524	\$47.74	(\$25.00) Complete
10/13/2021	Sell Exchange	GQG Partners Global Quality Equity Instl (GQRIX)	+ *****XXXX(NP)	-10.847	\$14.75	(\$160.00) Complete
10/13/2021	Trading Expense	GQG Partners Global Quality Equity Instl (GQRIX)	+ *****XXXX(NP)	-1.017	\$14.75	(\$15.00) Complete
10/13/2021	Buy Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	160.000	\$1.00	\$160.00 Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.810	\$1.00	\$13.81 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.810	\$1.00	(\$13.81) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.240	\$1.00	\$6.24 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.240	\$1.00	(\$6.24) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.240	\$1.00	\$2.24 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.240	\$1.00	(\$2.24) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.230	\$1.00	\$7.23 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.230	\$1.00	(\$7.23) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	40.010	\$1.00	\$40.01 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-40.010	\$1.00	(\$40.01) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	19.960	\$1.00	\$19.96 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-19.960	\$1.00	(\$19.96) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.900	\$1.00	\$6.90 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.900	\$1.00	(\$6.90) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	4.500	\$1.00	\$4.50 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-4.500	\$1.00	(\$4.50) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	5.330	\$1.00	\$5.33 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-5.330	\$1.00	(\$5.33) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	12.060	\$1.00	\$12.06 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-12.060	\$1.00	(\$12.06) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.870	\$1.00	\$7.87 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.870	\$1.00	(\$7.87) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	4.020	\$1.00	\$4.02 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-4.020	\$1.00	(\$4.02) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	12.720	\$1.00	\$12.72 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-12.720	\$1.00	(\$12.72) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.730	\$1.00	\$0.73 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-0.730	\$1.00	(\$0.73) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1.180	\$1.00	\$1.18 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1.180	\$1.00	(\$1.18) Complete
10/7/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.260	\$1.00	\$2.26 Complete
10/7/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.260	\$1.00	(\$2.26) Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.730	\$1.00	\$2.73 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.080	\$1.00	\$6.08 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.480	\$1.00	\$2.48 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.520	\$1.00	\$2.52 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$6.08 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$2.48 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$2.73 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.52 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.520	\$1.00	\$1.52 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.190	\$1.00	\$1.19 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.300	\$1.00	\$1.30 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.430	\$1.00	\$1.43 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.800	\$1.00	\$0.80 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.52 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.19 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.80 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$1.43 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****X(NP)	0.000	\$0.00	\$1.30 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.360	\$1.00	\$0.36 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.690	\$1.00	\$0.69 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.540	\$1.00	\$0.54 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.650	\$1.00	\$0.65 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$0.69 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$0.54 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ ****X(NP)	0.000	\$0.00	\$0.36 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$0.65 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.660	\$1.00	\$1.66 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.400	\$1.00	\$1.40 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.530	\$1.00	\$1.53 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.690	\$1.00	\$1.69 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.950	\$1.00	\$0.95 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$1.66 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$1.40 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ ****X(NP)	0.000	\$0.00	\$0.95 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$1.69 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****X(NP)	0.000	\$0.00	\$1.53 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.950	\$1.00	\$1.95 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	12.980	\$1.00	\$12.98 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	23.280	\$1.00	\$23.28 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.800	\$1.00	\$1.80 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$12.98 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$23.28 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$1.95 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****X(NP)	0.000	\$0.00	\$1.80 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	8.290	\$1.00	\$8.29 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	7.010	\$1.00	\$7.01 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	4.660	\$1.00	\$4.66 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$8.29 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$7.01 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ ****X(NP)	0.000	\$0.00	\$4.66 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.160	\$1.00	\$1.16 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	2.070	\$1.00	\$2.07 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.720	\$1.00	\$1.72 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.950	\$1.00	\$1.95 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$2.07 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$1.72 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ ****X(NP)	0.000	\$0.00	\$1.16 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ ****X(NP)	0.000	\$0.00	\$1.95 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.520	\$1.00	\$1.52 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.860	\$1.00	\$0.86 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.500	\$1.00	\$0.50 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	1.040	\$1.00	\$1.04 Complete
10/6/2021	Income Reinvested	US Dollars (USxxx997)	+ ****X(NP)	0.580	\$1.00	\$0.58 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ ****X(NP)	0.000	\$0.00	\$1.52 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ ****X(NP)	0.000	\$0.00	\$0.86 Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.58 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.04 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.50 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.730	\$1.00	\$0.73 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.240	\$1.00	\$1.24 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.080	\$1.00	\$1.08 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.110	\$1.00	\$1.11 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.170	\$1.00	\$1.17 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$1.24 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$1.08 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.73 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$1.17 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.11 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.420	\$1.00	\$4.42 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.660	\$1.00	\$4.66 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.980	\$1.00	\$2.98 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$4.42 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.98 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.66 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.870	\$1.00	\$7.87 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$7.87 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.510	\$1.00	\$0.51 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.970	\$1.00	\$0.97 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.750	\$1.00	\$0.75 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.880	\$1.00	\$0.88 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.910	\$1.00	\$0.91 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.97 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$0.75 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.51 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.91 Complete
10/6/2021	Dividend to Cash	Vanguard Total International Bond Index ETF (BNDX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.88 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.560	\$1.00	\$10.56 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.160	\$1.00	\$2.16 Complete
10/6/2021	Dividend to Cash	Vanguard Short Term Corp Bond (VCSH)	+ *****XXXX(NP)	0.000	\$0.00	\$2.16 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$10.56 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.730	\$1.00	\$0.73 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.73 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.510	\$1.00	\$0.51 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.280	\$1.00	\$0.28 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.390	\$1.00	\$0.39 Complete
10/6/2021	Dividend to Cash	Vanguard Intermediate Term Bond (BIV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.28 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$0.51 Complete
10/6/2021	Dividend to Cash	Vanguard Total Bond Market (BND)	+ *****XXXX(NP)	0.000	\$0.00	\$0.39 Complete
10/6/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.260	\$1.00	\$2.26 Complete
10/6/2021	Dividend to Cash	Vanguard Short-Term Bond Index ETF (BSV)	+ *****XXXX(NP)	0.000	\$0.00	\$2.26 Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	74.580	\$1.00	\$74.58 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-74.580	\$1.00	(\$74.58) Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	32.710	\$1.00	\$32.71 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-32.710	\$1.00	(\$32.71) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	14.390	\$1.00	\$14.39 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-14.390	\$1.00	(\$14.39) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	36.640	\$1.00	\$36.64 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-36.640	\$1.00	(\$36.64) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	115.140	\$1.00	\$115.14 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-115.140	\$1.00	(\$115.14) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	39.250	\$1.00	\$39.25 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-39.250	\$1.00	(\$39.25) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	18.320	\$1.00	\$18.32 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-18.320	\$1.00	(\$18.32) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	26.170	\$1.00	\$26.17 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-26.170	\$1.00	(\$26.17) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	27.480	\$1.00	\$27.48 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-27.480	\$1.00	(\$27.48) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	78.500	\$1.00	\$78.50 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-78.500	\$1.00	(\$78.50) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	14.390	\$1.00	\$14.39 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-14.390	\$1.00	(\$14.39) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.620	\$1.00	\$2.62 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.620	\$1.00	(\$2.62) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.620	\$1.00	\$2.62 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.620	\$1.00	(\$2.62) Complete
10/5/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.080	\$1.00	\$13.08 Complete
10/5/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.080	\$1.00	(\$13.08) Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	3.840	\$1.00	\$3.84 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	74.580	\$1.00	\$74.58 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-3.840	\$1.00	(\$3.84) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$74.58 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	15.370	\$1.00	\$15.37 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	32.710	\$1.00	\$32.71 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-15.370	\$1.00	(\$15.37) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$32.71 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	5.750	\$1.00	\$5.75 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	14.390	\$1.00	\$14.39 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-5.750	\$1.00	(\$5.75) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$14.39 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.020	\$1.00	\$13.02 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	36.640	\$1.00	\$36.64 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.020	\$1.00	(\$13.02) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$36.64 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	36.720	\$1.00	\$36.72 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	115.140	\$1.00	\$115.14 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-36.720	\$1.00	(\$36.72) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$115.14 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	16.740	\$1.00	\$16.74 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	39.250	\$1.00	\$39.25 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-16.740	\$1.00	(\$16.74) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$39.25 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	4.510	\$1.00	\$4.51 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	18.320	\$1.00	\$18.32 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-4.510	\$1.00	(\$4.51) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$18.32 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.030	\$1.00	\$2.03 Complete



Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	26.170	\$1.00	\$26.17 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.030	\$1.00	(\$2.03) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$26.17 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.970	\$1.00	\$2.97 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	27.480	\$1.00	\$27.48 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.970	\$1.00	(\$2.97) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$27.48 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.710	\$1.00	\$13.71 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	78.500	\$1.00	\$78.50 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.710	\$1.00	(\$13.71) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$78.50 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	47.570	\$1.00	\$47.57 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-47.570	\$1.00	(\$47.57) Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	19.190	\$1.00	\$19.19 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	14.390	\$1.00	\$14.39 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-19.190	\$1.00	(\$19.19) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$14.39 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	9.100	\$1.00	\$9.10 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-9.100	\$1.00	(\$9.10) Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	1.510	\$1.00	\$1.51 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.620	\$1.00	\$2.62 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-1.510	\$1.00	(\$1.51) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$2.62 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.390	\$1.00	\$7.39 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.620	\$1.00	\$2.62 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.390	\$1.00	(\$7.39) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$2.62 Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	18.360	\$1.00	\$18.36 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-18.360	\$1.00	(\$18.36) Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	6.330	\$1.00	\$6.33 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-6.330	\$1.00	(\$6.33) Complete
10/4/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	2.850	\$1.00	\$2.85 Complete
10/4/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	13.080	\$1.00	\$13.08 Complete
10/4/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-2.850	\$1.00	(\$2.85) Complete
10/4/2021	Dividend to Cash	Vanguard S&P 500 (VOO)	+ *****XXXX(NP)	0.000	\$0.00	\$13.08 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.84 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.840	\$1.00	\$3.84 Complete
10/1/2021	Dividend to Cash	Guggenheim Ultra Short Duration A (GIYAX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.39 Complete
10/1/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.020	\$1.00	\$13.02 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.390	\$1.00	\$2.39 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	12.980	\$1.00	\$12.98 Complete
10/1/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.020	\$1.00	(\$13.02) Complete
10/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$12.98 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.75 Complete
10/1/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	0.500	\$1.00	\$0.50 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.750	\$1.00	\$5.75 Complete
10/1/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-0.500	\$1.00	(\$0.50) Complete
10/1/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	7.900	\$1.00	\$7.90 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.21 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.210	\$1.00	\$1.21 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	11.810	\$1.00	\$11.81 Complete
10/1/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-7.900	\$1.00	(\$7.90) Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
10/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$11.81 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$23.40 Complete
10/1/2021	Buy Exchange	Pershing Money Market (PERSHCASH)	+ *****XXXX(NP)	13.170	\$1.00	\$13.17 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.41 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.910	\$1.00	\$2.91 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.410	\$1.00	\$10.41 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	23.400	\$1.00	\$23.40 Complete
10/1/2021	Sell Exchange	US Dollars (USxxxx997)	+ *****XXXX(NP)	-13.170	\$1.00	(\$13.17) Complete
10/1/2021	Dividend to Cash	Vanguard Intmd-Term Treasury Index Fund Admiral (VSIGX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.91 Complete
10/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$10.89 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.85 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.850	\$1.00	\$5.85 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	10.890	\$1.00	\$10.89 Complete
10/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.51 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.510	\$1.00	\$4.51 Complete
10/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.03 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.030	\$1.00	\$2.03 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.97 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.970	\$1.00	\$2.97 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$9.38 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.33 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.330	\$1.00	\$4.33 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	9.380	\$1.00	\$9.38 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$35.09 Complete
10/1/2021	Dividend to Cash	Guggenheim Ultra Short Duration A (GIYAX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.08 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.25 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	35.090	\$1.00	\$35.09 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.150	\$1.00	\$4.15 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.250	\$1.00	\$5.25 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.080	\$1.00	\$3.08 Complete
10/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.15 Complete
10/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$6.83 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.36 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.39 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.360	\$1.00	\$2.36 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	6.830	\$1.00	\$6.83 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.610	\$1.00	\$7.61 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.390	\$1.00	\$2.39 Complete
10/1/2021	Dividend to Cash	Vanguard Total Bond Market Index Adm (VBTIX)	+ *****XXXX(NP)	0.000	\$0.00	\$7.61 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$7.02 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	7.020	\$1.00	\$7.02 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.080	\$1.00	\$2.08 Complete
10/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.08 Complete

Transactions By Date						
Date	Transaction Type	Security	Account Number	Units	Price	Total Status
10/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.51 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.510	\$1.00	\$1.51 Complete
10/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$4.11 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.02 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.26 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.020	\$1.00	\$3.02 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	4.110	\$1.00	\$4.11 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.260	\$1.00	\$0.26 Complete
10/1/2021	Dividend to Cash	Guggenheim Limited Duration Instl (GILHX)	+ *****XXXX(NP)	0.000	\$0.00	\$2.75 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.75 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.54 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.750	\$1.00	\$5.75 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	2.750	\$1.00	\$2.75 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	3.840	\$1.00	\$3.84 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.480	\$1.00	\$5.48 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.540	\$1.00	\$0.54 Complete
10/1/2021	Dividend to Cash	Vanguard Interm-Tm Corporate Bond Index Admiral (VICSX)	+ *****XXXX(NP)	0.000	\$0.00	\$3.84 Complete
10/1/2021	Dividend to Cash	Vanguard Total Bond Market Index Adm (VBTLX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.48 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	5.010	\$1.00	\$5.01 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.660	\$1.00	\$0.66 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	0.660	\$1.00	\$0.66 Complete
10/1/2021	Dividend to Cash	Vanguard Int-Term Bond Index Adm (VBILX)	+ *****XXXX(NP)	0.000	\$0.00	\$5.01 Complete
10/1/2021	Dividend to Cash	Vanguard Short-Term Corporate Bond Index Adm (VSCSX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.66 Complete
10/1/2021	Dividend to Cash	Vanguard Ultra Short Term Bond Admiral (VUSFX)	+ *****XXXX(NP)	0.000	\$0.00	\$0.66 Complete
10/1/2021	Dividend to Cash	Guggenheim Total Return Bond Fund I (GIBIX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.39 Complete
10/1/2021	Dividend to Cash	PIMCO Short-Term Instl (PTSHX)	+ *****XXXX(NP)	0.000	\$0.00	\$1.46 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.390	\$1.00	\$1.39 Complete
10/1/2021	Income Reinvested	US Dollars (USxxxx997)	+ *****XXXX(NP)	1.460	\$1.00	\$1.46 Complete
					<b>Total:</b>	<b>\$42,941.37</b>

Gain Loss								
Type	Description	Date Acquired	Date Sold	Price	Units	Proceeds	Cost Basis	Gain/Loss
<b>Goldman Sachs GQG Ptnrs Intl Op Fund (GSIMX) Non-Profit + *****XXXX Average Cost</b>								
Long Term Average Cost	Sale & Exchange	OS	6/23/2017	10/13/2021	19.87	79.76	\$1,585.00	\$947.41 \$637.59
<b>Long Term Total:</b>					<b>79.76</b>	<b>\$1,585.00</b>	<b>\$947.41</b>	<b>\$637.59</b>
<b>Goldman Sachs GQG Ptnrs Intl Op Fund Total:</b>					<b>79.76</b>	<b>\$1,585.00</b>	<b>\$947.41</b>	<b>\$637.59</b>
<b>GQG Partners Global Quality Equity Instl (GQRIX) Non-Profit + *****XXXX Average Cost</b>								
Long Term Average Cost	Sale & Exchange	OS	9/3/2020	10/13/2021	13.49	11.86	\$160.00	\$157.93 \$2.07
<b>Long Term Total:</b>					<b>11.86</b>	<b>\$160.00</b>	<b>\$157.93</b>	<b>\$2.07</b>
<b>GQG Partners Global Quality Equity Instl Total:</b>					<b>11.86</b>	<b>\$160.00</b>	<b>\$157.93</b>	<b>\$2.07</b>

Type	Description	Date Acquired	Date Sold	Price	Units	Proceeds	Cost Basis	Gain/Loss
<b>Vanguard Balanced Index Adm (VBIAX) Non-Profit + *****XXXX Average Cost</b>								
Long Term Average Cost	Sale & Exchange	OS 9/6/2017	10/13/2021	47.14	41.89	\$1,975.00	\$1,398.56	\$576.44
<b>Long Term Total:</b>					<b>41.89</b>	<b>\$1,975.00</b>	<b>\$1,398.56</b>	<b>\$576.44</b>
<b>Vanguard Balanced Index Adm Total:</b>					<b>41.89</b>	<b>\$1,975.00</b>	<b>\$1,398.56</b>	<b>\$576.44</b>
<b>Vanguard Extended Market Index Admiral (VEXAX) Non-Profit + *****XXXX Average Cost</b>								
Long Term Average Cost	Sale & Exchange	OS 12/24/2019	10/13/2021	138.86	8.46	\$1,175.00	\$820.03	\$354.97
<b>Long Term Total:</b>					<b>8.46</b>	<b>\$1,175.00</b>	<b>\$820.03</b>	<b>\$354.97</b>
<b>Vanguard Extended Market Index Admiral Total:</b>					<b>8.46</b>	<b>\$1,175.00</b>	<b>\$820.03</b>	<b>\$354.97</b>
<b>Vanguard Mid Cap Value Index Adm (VMVAX) Non-Profit + *****XXXX Average Cost</b>								
Long Term Average Cost	Sale & Exchange	OS 9/10/2020	10/13/2021	73.62	66.29	\$4,880.31	\$3,525.00	\$1,355.31
<b>Long Term Total:</b>					<b>66.29</b>	<b>\$4,880.31</b>	<b>\$3,525.00</b>	<b>\$1,355.31</b>
<b>Vanguard Mid Cap Value Index Adm Total:</b>					<b>66.29</b>	<b>\$4,880.31</b>	<b>\$3,525.00</b>	<b>\$1,355.31</b>
<b>Short Term Total:</b>						<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Long Term Total:</b>						<b>\$9,775.31</b>	<b>\$6,848.93</b>	<b>\$2,926.38</b>
<b>Long &amp; Short Term Total:</b>						<b>\$9,775.31</b>	<b>\$6,848.93</b>	<b>\$2,926.38</b>

<b>Individual Account Summary</b>										
Portfolio Name	Account Number	Beginning Market Value	Net Cash Flows	Ending Market Value	Gain/Loss	Period	1-Year	3-Year	5-Year	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$70,708.60	(\$2,500.00)	\$71,717.45	\$3,508.85	4.96 %	12.45 %	13.55 %	10.17 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$68,592.27	\$1,000.00	\$72,052.96	\$2,460.69	3.56 %	10.43 %	12.74 %	8.49 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$25,188.46	\$0.00	\$26,428.18	\$1,239.72	4.86 %	13.53 %	15.87 %	10.45 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$62,334.63	\$0.00	\$64,982.43	\$2,647.80	4.17 %	11.77 %	12.68 %	8.66 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$230,798.80	\$0.00	\$240,113.06	\$9,314.26	3.98 %	12.05 %	12.57 %	8.92 %	
					XX Level 1 & 2	5.20 %	13.28 %	16.38 %	11.98 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$163,499.38	(\$6,000.00)	\$162,493.47	\$4,994.09	3.08 %	9.99 %	12.17 %	8.57 %	
					XX Level 1 & 2	5.20 %	13.28 %	16.38 %	11.98 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$34,449.70	(\$1,000.00)	\$34,798.17	\$1,348.47	3.92 %	10.87 %	12.99 %	9.72 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$22,217.51	\$0.00	\$23,487.09	\$1,269.58	5.59 %	13.26 %	13.56 %	9.97 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$24,535.68	\$0.00	\$25,815.13	\$1,279.45	5.10 %	12.13 %	13.12 %	9.61 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$94,718.22	\$0.00	\$98,541.81	\$3,823.59	3.95 %	11.40 %	12.57 %	9.28 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$82,748.57	(\$3,000.00)	\$82,142.17	\$2,393.60	2.94 %	7.79 %	10.83 %	8.04 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
SAMPLE CLIENT Non-Profit - XX - XXXX	+ *****XXXX	\$5,587.18	(\$225.00)	\$5,538.39	\$176.21	3.17 %	9.97 %	10.14 %	6.82 %	
					XX Level 3	4.79 %	12.45 %	15.78 %	11.54 %	
Sample Client Benchmark						5.06 %	12.68 %	15.67 %	11.49 %	

**Individual Account Summary**

Portfolio Name	Account Number	Beginning Market Value	Net Cash Flows	Ending Market Value	Gain/Loss	Period	1-Year	3-Year	5-Year
SAMPLE CLIENT Non-Profit - XX - XXXX	+ ****X	\$20,435.90	\$500.00	\$21,492.97	\$557.07	2.65 %	10.82 %	12.11 %	8.44 %
				Sample Client Benchmark		5.06 %	12.68 %	15.67 %	11.49 %
SAMPLE CLIENT + ****X Non-Profit - XX - XXXX		\$31,098.26	\$0.00	\$32,446.87	\$1,348.61	4.33 %	12.98 %	12.05 %	6.89 %
				Sample Client Benchmark		5.06 %	12.68 %	15.67 %	11.49 %
SAMPLE CLIENT + ****X Non-Profit - Managed Account		\$16,580.72	\$0.00	\$17,124.09	\$543.37	3.27 %	9.15 %	12.83 %	7.81 %
				S&P 500 (TR)		11.03 %	28.71 %	26.06 %	18.48 %
				Sample Client Benchmark		5.34 %	13.36 %	15.72 %	11.31 %
SAMPLE CLIENT + ****X Non-Profit - XX - XXXX		\$34,009.01	(\$1,000.00)	\$34,402.52	\$1,393.51	4.12 %	13.40 %	13.87 %	9.34 %
				Sample Client Benchmark		5.06 %	12.68 %	15.67 %	11.49 %
SAMPLE CLIENT SAMPLE + ****X CLIENT Non-Profit - XX - XXXX		\$180,212.10	\$0.00	\$186,207.70	\$5,995.60	3.33 %	10.88 %	13.93 %	9.89 %
				XX Level 1 & 2		5.20 %	13.28 %	16.38 %	11.98 %
SAMPLE CLIENT SAMPLE + ****X CLIENT Non-Profit - XX - XXXX		\$67,202.70	\$0.00	\$69,457.38	\$2,254.68	3.33 %	11.96 %	13.37 %	10.01 %
				XX Level 1 & 2		5.20 %	13.28 %	16.38 %	11.98 %
<b>Total:</b>		<b>\$1,234,917.69</b>	<b>(\$12,225.00)</b>	<b>\$1,269,241.84</b>	<b>\$46,549.15</b>	<b>3.75 %</b>	<b>11.18 %</b>	<b>12.82 %</b>	<b>9.08 %</b>

**Report Data Disclaimer**

Performance returns are calculated using time-weighted rate of return (TWR), net of fees. \*\* The first 12 month returns are not annualized

**Disclaimer**

Past performance does not guarantee future results.

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**Harpowell's Market Outlook**

The market surpassed expectations in yet another year ending 2021 up 19% (ACWI global stock index). The United States, once again, led global equity markets and generated an impressive 28.7%. Lower risk assets, like bonds and low volatility stocks were at the bottom of the performance lineup for 2021. Conversely, riskier assets were for the most part the best performers in 2021 (e.g., energy & pipelines) with the one exception being China which was down 21%.

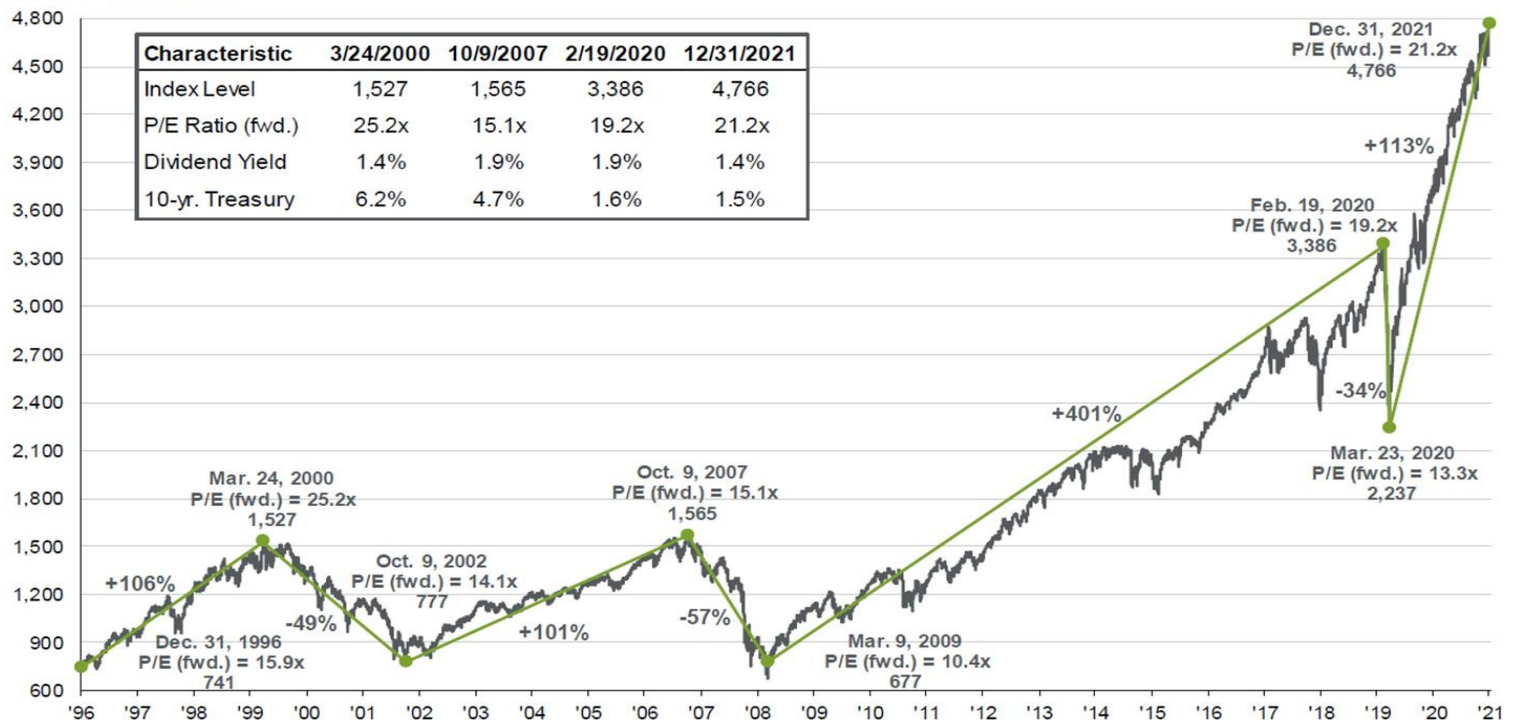
China's markets were spooked by the country's new Common Prosperity policies aimed at promoting economic well-being to small companies and the people, at the expense of larger successful firms. They saw, much like we do in the US, a very narrow group of large companies enjoying the fruits of scale and market domination, while many smaller firms and families missed out.

Since the market lows of March 2020, the S&P 500 has outperformed almost every other equity market, and generated an astounding 113%! The S&P 500 ended 2021 priced at 21.2 times next year's earnings (P/E) producing one of the richest valuations since the dot-com boom of 2000 (P/E = 25.2X).

While historical data points are hardly a crystal ball into future performance, over the last 50 years, when the market's price-to-earnings ratio exceeds 21X, the subsequent 5 years rarely generated positive returns. This hypothesis seems a bit intuitive; the higher you buy an asset for, the harder it is to generate attractive returns.

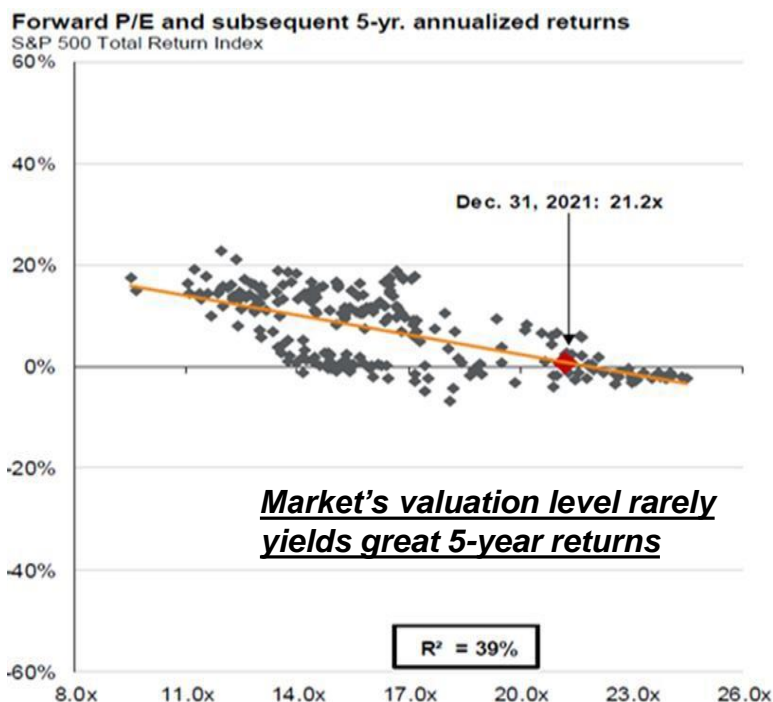
*(continue to page 2)*

S&P 500 Price Index





## Market Outlook *(continued from page 2)*



Looked at from a different perspective, if you buy that same asset for a fraction of the price, you are clearly more likely to generate a better return off your investment.

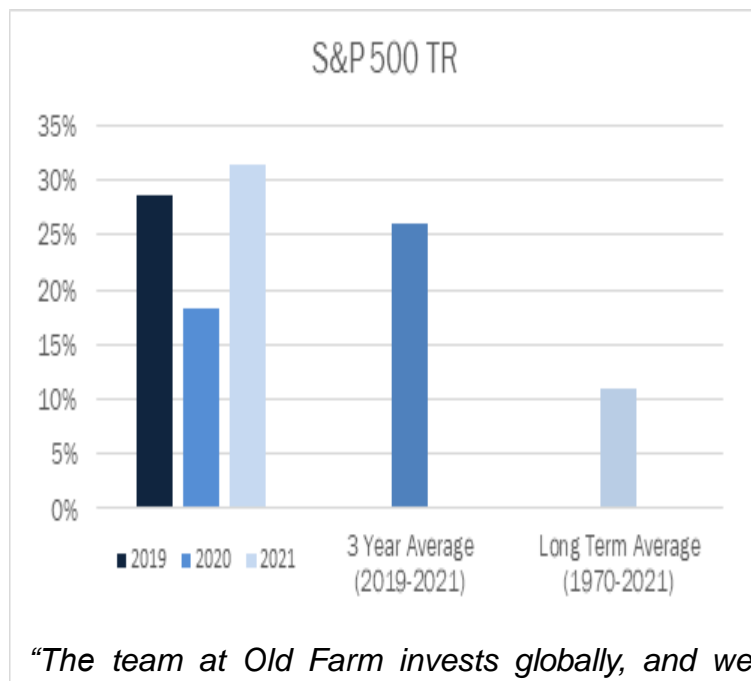
### Insights from One of Harpswell's Managers – Old Farm Partners

Old Farm Partners invests in hedge fund strategies. They focus on the small and mid-sized hedge fund space + investing in co-investments alongside specialists. Harpswell has been a long-time investor in Old Farm and has known the Old Farm team for twenty years. They are based in New York City and manage \$550M.

*“We believe the time for diversifying from equities is upon us. In the short term, investors are keenly aware of the market sell-off in January, and likely aware of the sell-off in growth equities that began in November 2020. With Fixed Income looking unattractive to allocate capital, the team at Old Farm believes a well-managed portfolio of hedge fund strategies could be timely.*

*The last three years in US equities have in fact been anomalous producing returns of 28.7% in 2021, 18.4% in 2020 and 31.5% in 2019, or an average of 26.1% per year. Clear from the below chart, this is a significant deviation from the long-term returns of the S&P 500 total return (11.1%). This has been the strongest three-year period for the S&P 500 since 1997-1999, after which investors saw a swift mean reversion in 2000-2002 when the S&P 500 averaged -14.4% per year.*

*“Old Farm is not predicting a 2000-2002 environment, but we think it is safe to posit that we are highly unlikely to see the same returns of the last three years. The recent and ongoing unwind in growth equities reminds us to be ever vigilant in allocating our portfolio with a forward-looking view as opposed to leaning into what just worked.*



*“The team at Old Farm invests globally, and we think another factor that has come into the fore over the last year is how, anecdotally, investors in Europe and North America have paused on allocating incremental capital to China. As geopolitical tensions have heightened, investors woke up to the fact that China is not abiding by Western markets traditions and laws.*

*(continue to page 3)*

*“China is a \$14 trillion + economy and had been a large destination for global investment flows, but its perception changed significantly in 2021, which was exacerbated by capital flight out of Hong Kong. We think many allocators may have redirected more capital to US and Europe. We also think it did not go to emerging markets as countries like South Africa and Turkey also deteriorated, and that may have added to the flight of perceived quality havens.*

*“Thus, the US and Europe had bumper years, and the Chinese markets did not, with the MSCI China Index down 22.8% and Hong Kong (HSCEI) down 21.2% for 2021. It was the best relative performance of the US vs. the world since 1997. Including dividends, the MSCI USA index posted a return of 27%. That is 19 percentage points more than the total return on an MSCI index that tracks stocks in 49 developed and emerging markets, excluding the U.S., when measured in dollar terms. As it relates to the Fund, we are heavily weighted towards the US and to a lesser extent Europe, which we are maintaining while keeping an eye on changing conditions globally.*

*“The Old Farm team is seeing a number of idiosyncratic co-investment opportunities; in commodities, energy and energy infrastructure. We believe the world is struggling with supply shortages and bottlenecks in the resource space driven by a lack of traditional capital formation. With energy demand of all kinds increasing globally, years of lack of investment in energy-related assets are creating significant price volatility and opportunities for our managers that look at these areas; it is also clear to us many managers do not touch these sectors because of investment restrictions. We have found several co-investments on a bottom-up basis that relate to this theme. In addition, our event driven managers are finding numerous companies that either benefit from the uplift in commodity prices, or where these rising costs may be a headwind.*

*“True as always though, we are balancing our risks, tilting our hedge fund and co-investment portfolios where we see opportunity, rather than wholesale changing our exposures as the market shifts.*

*“Overall, we think the opportunity set looks great. True long/short strategies should be able to find good ideas in light of the incredible dispersion in valuations and business trajectories. Theoretically, a global supply chain with bottlenecks, and a shifting global macro landscape, should provide fodder for firms that do deep research and look for single, concentrated investments. We think our long-short equity strategies, and the opportunities that will be enhanced in our co-investment book, will be the drivers of fund return. We also think Event Driven, a strategy that won out in 2021 for OFP, is likely to continue to be strong in 2022. And with our co-investment program focused on long/short and event driven, we will work hard to make sure their best ideas are sized accordingly in this book, with the bonus of the great fee structures.”*

- Old Farm Partners, January 2022 \*

### **Market Concentration Risk**

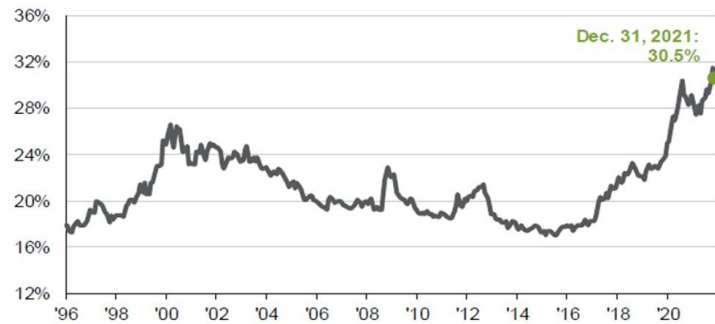
Harpwell recognizes that concentrated exposures in the S&P500 are inherent in an index that weighs each company's allocation based on their size. However, market concentrations are hitting highs while risks for the top constituents are growing.

Furthermore, the top companies are all reasonably homogeneous (all new economy companies: e.g., Facebook, Amazon, Google, Tesla....) with serious anti-trust and regulatory risks. They also have a bull's eye on their back as we enter the election year and legislators are likely to pursue their variation of China's common prosperity policies.

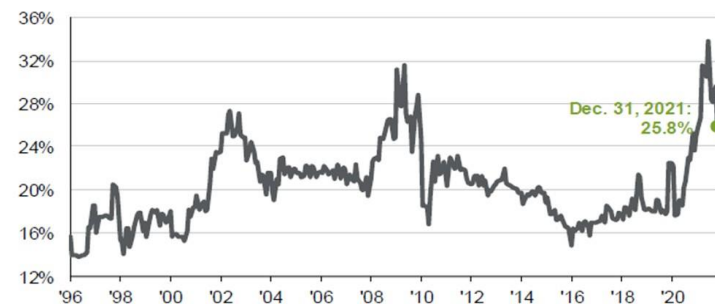
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## Market Concentration Risk *(continued from page 3)*

**Weight of the top 10 stocks in the S&P 500**  
% of market capitalization of the S&P 500

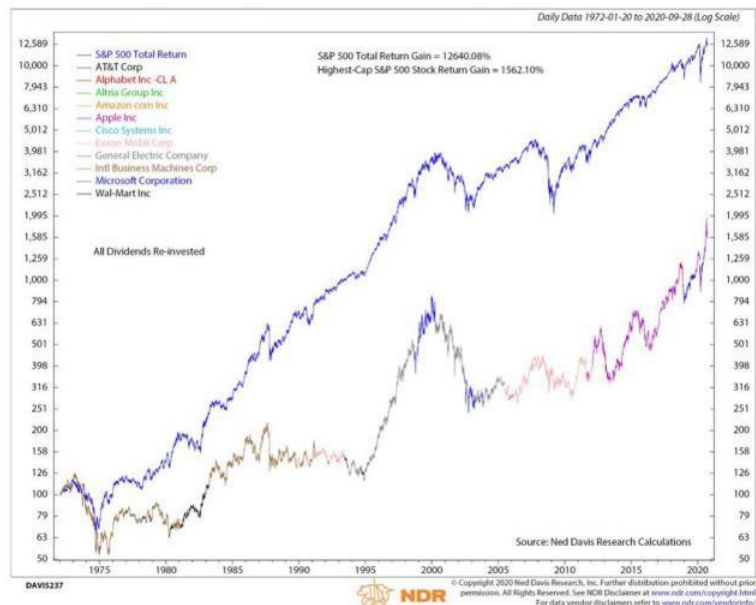


**Earnings contribution of the top 10 in the S&P 500**  
Based on last 12 months' earnings



Harpowell has aimed to reduce risks associated with the index concentration through a few tactical adjustments. First, we utilize an index that does not subscribe to a market weight methodology. The index we allocate to generally caps the maximum size of each company to around 1% (versus the S&P500 that has 5%+ positions). Second, we are utilizing a higher proportion of active managers who rely on their very thorough fundamental research to find sound investments and control risks.

In addition to curtailing risks associated with exposure to the FANGS (nickname for the largest companies in the index: Facebook, Apple, Amazon, Netflix, Google), adjusting exposure away from the largest companies should help long-term returns. As the graph above highlights, if you were to invest in only the largest company of the index, your returns would meaningfully trail those for the index! They made their money getting there, but after they reach the top, their growth generally stalls.



The FANGS have continued to have heightened volatility along with good performance, for the most part. We saw how the market concentration can work against you when one of your largest companies (Facebook) falls over 25% in one day (February 3, 2022). Ironically, it was one of the other FANGS (Apple) that was the culprit as their new security technology stifled Facebook's ability to harvest data from other apps on your phone. \*

### The Buffett Ratio

*"The tour we've taken through the last century proves that market irrationality of an extreme kind periodically erupts--and compellingly suggests that investors wanting to do well had better learn how to deal with the next outbreak. What's needed is an antidote, and in my opinion that's quantification. If you quantify, you won't necessarily rise to brilliance, but neither will you sink into craziness.*

*"On a macro basis, quantification doesn't have to be complicated at all. Below is a chart, starting almost 80 years ago and really quite fundamental in what it says. The chart shows the market value of all publicly traded securities as a percentage of the country's business--that is, as a percentage of GNP.*

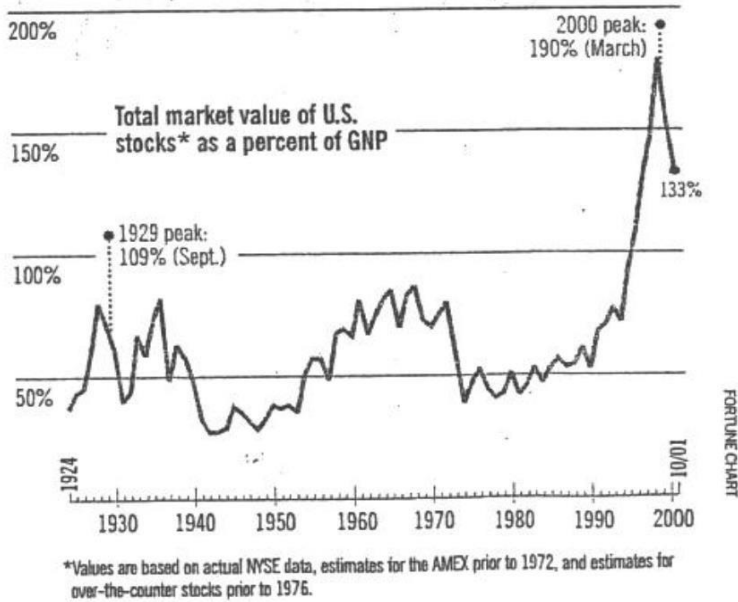
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**The Buffett Ratio** (continued from page 4)

**The market has been a wild thing**

The value of U.S. stocks vs. GNP has avalanched since 2000. But October's ratio of 133% still tops the 1929 peak.



*"The ratio has certain limitations in telling you what you need to know. Still, it is probably the best single measure of where valuations stand at any given moment. And as you can see, nearly two years ago the ratio rose to an unprecedented level. That should have been a very strong warning signal."*

*"For investors to gain wealth at a rate that exceeds the growth of U.S. business, the percentage relationship line on the chart must keep going up and up. If GNP is going to grow 5% a year and you want market values to go up 10%, then you need to have the line go straight off the top of the chart. That won't happen."*

*"For me, the message of that chart is this: If the percentage relationship falls to the 70% or 80% area, buying stocks is likely to work very well for you. If the ratio approaches 200%--as it did in 1999 and a part of 2000--you are playing with fire. As you can see, the ratio was recently 133%."*

-Warren Buffett, Fortune Magazine, 2001

The essay referenced above introduced what became known as the Buffet Indicator, or the Financial-Assets-to-GDP-Ratio. The longer theme of Buffett's essay was that investors (including the professionals) tend to be backward looking in their return assumptions, where the last several years' growth rate in the real economy gets extrapolated forward so that equity prices get run up after the fact.

(continue to page 6)

**Buffett Indicator: Composite Market Value to GDP**

www.currentmarketvaluation.com



## The Buffett Ratio *(continued from page 5)*

Those run-ups in equities, such as happened in the 1920s and the 1990s, were on the tail of real technological revolutions that boosted productivity (autos, chemicals, electrification in the 20's, computers and internet in the 1990s).

Today's reading of 205% on the Buffet Indicator is even higher than the 190% level reached in 2001. What makes it even crazier is that investors are not late to a party in real economic growth, as they were in the 20's and the 90's, which had brought real productivity gains. They are late to a party in fiscal stimulus that boosted consumption, and that party was financed with debt.

With the rollout of a major technological improvement, our potential economic output can go up to a new level and stay there. The mistake investors can make is interpreting a levelling-up as a new rate of change going forward. But with debt-financed fiscal stimulus, extrapolating the levelling-up in consumption as a new rate of economic growth is an even bigger mistake, because the consumption is being borrowed from the future GDP. Unfortunately, valuation tools only indicate a probable path for the market, and they tell us very little about the timing. \*



## The Millerite Ledges

A visitor to Maine's Camden Hills State Park could be forgiven for thinking that the Millerite Ledges, overlooking Megunticook Lake, must be named after some type of rock. While there is such a mineral in existence - a metallic crystal that forms in hollow cavities, similar to a geode, you're not likely to find any in Camden. Instead, the ledges are named after the ill-fated followers of a New England preacher named William Miller.

*Millerite, 26 mm, Antwerp, U.S.A.*



Miller had begun writing and preaching in the 1830s about the impending Second Coming. Miller's decoding of passages in scripture had even allowed him to narrow it down to a specific day - October 22, 1844. Miller gained a sizable following in the decade leading up to 1844, with many thousands of New Englanders and Upstate New Yorkers preparing themselves for the rapture.

Many Millerites didn't bother planting their fields that spring, and leading up to the big day they cut off their hair and gave away their possessions. Then they climbed up on rooftops and hilltops across the northeast, faithfully awaiting their salvation. What followed, from the Camden Hills to the Adirondack Mountains, went down in history as the "Great Disappointment".

*(continue to page 7)*



## The Millerite Ledges *(continued from page 6)*

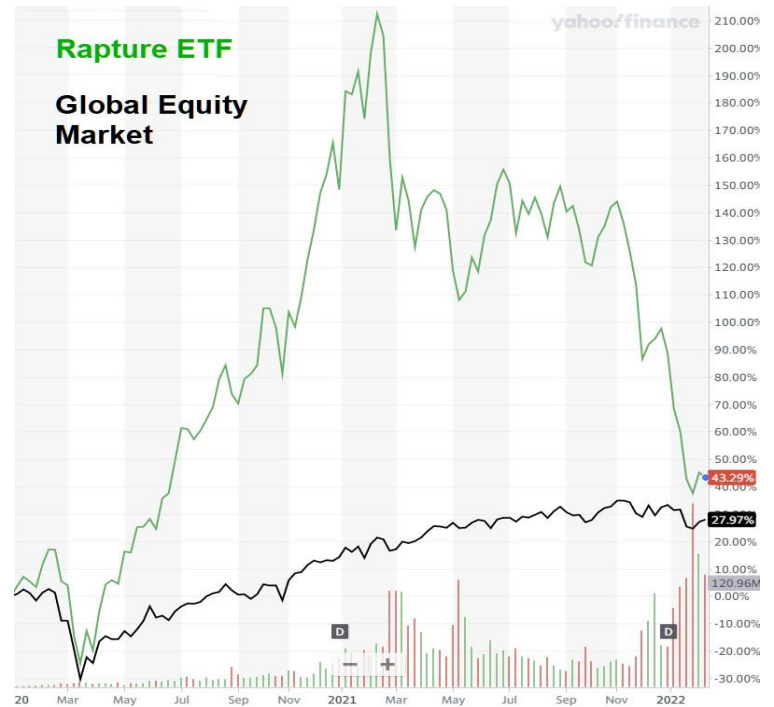
The Millerite incident was not a unique episode in American history, either. Two-hundred years before the Millerites, the Pilgrims who sailed to Plymouth Rock also believed in an impending rapture. Their colony wasn't even meant to last; it was meant as a place to await the Second Coming, far away from the corrupted society back in England.

The end-of-days mentality finds its way into more worldly concerns all the time. For some reason, you don't have to try hard to convince people that the world they live in is about to come crashing down - Y2K, for example. Wall Street exploits that predisposition all the time, with every mini-impending disaster pointing to the next trade - the promise of saving yourself while everyone else gets wiped out. But the big impending disaster, according to the stock-pushers, is always inflation, because they want you completely out of cash and bonds, and all-in on their products.

The Federal Reserve lends a very big hand to Wall Street in that respect, by working to create a two-part narrative. Our policies will be inflationary, (the threat) but they support the financial market and the economy (salvation, better not miss out!). The Federal Reserve's motivation, in tandem with Wall Street's, is to make sure that any available cash is being put to work in what they hope will be job-creating enterprises.

The important thing to remember is that neither salespeople, government spokespersons, or journalists are providing financial advice that anyone should act on. Each investor's risk tolerance, and their actual need for return generation or liquidity, should guide their allocation between stocks, bonds, cash or alternatives. Just because some scenario is being foretold in news headlines and sales pitches doesn't mean it's any more likely than the prophecies of William Miller.

The story of false raptures comes full circle when we look at a certain ETF that is named after a biblical catastrophe. The theory being, if the world as we know it - traditional companies, traditional currencies - are all about to be wiped out in a disaster, what would be the small number of companies you should hold for the new beginning? That ETF (lets call it the Rapture ETF for compliance purposes) had quite a run up in the first year of the pandemic.

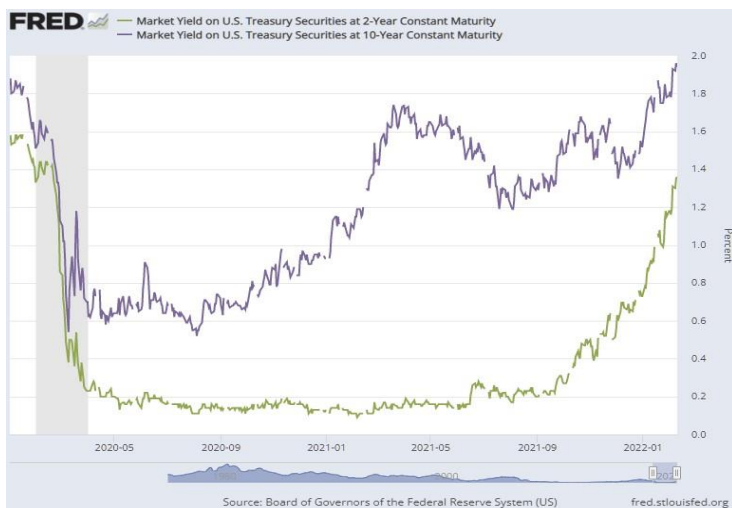


Something started to change in the first quarter of 2021, however. The vaccines came first, putting a return to normal life within sight. Then came the final stimulus checks from the Treasury, putting the end of emergency spending in sight as well. The shift in expectations that began in the first quarter of 2021 is unmistakable now.

After these developments, the fact of higher consumer prices (7% increase for the year in 2021) wasn't able to scare the market out of cash and bonds and into risk assets to the extent that Wall Street and the federal government would hope. Most of the rise in the 10-year came in the first quarter of 2021.

*(continue to page 8)*

## The Millerite Ledges *(continued from page 8)*



The rise in long-term bond yields slowed after the first quarter, even as consumer prices rose. The rise in the short-term rates (the 2-year) reflects a trade out of bonds that would be hurt by the Federal Reserve's policy rates in 2022, but it was not accompanied by a proportionate trade of long-term bonds, which would happen if the bond market believed inflation would stick around for years to come.



The comparison of the short-term policy expectation and the long-term inflation expectation is captured by the difference, or spread, between the 10-year Treasury yield and the 2-year Treasury yield. Since the first quarter of 2021, the spread has narrowed from 1.5% down to less than 0.5%. So, while there may not be much to gain in long-term bonds, relative to their risk, the shape of the curve doesn't support the narrative in the financial headlines. \*

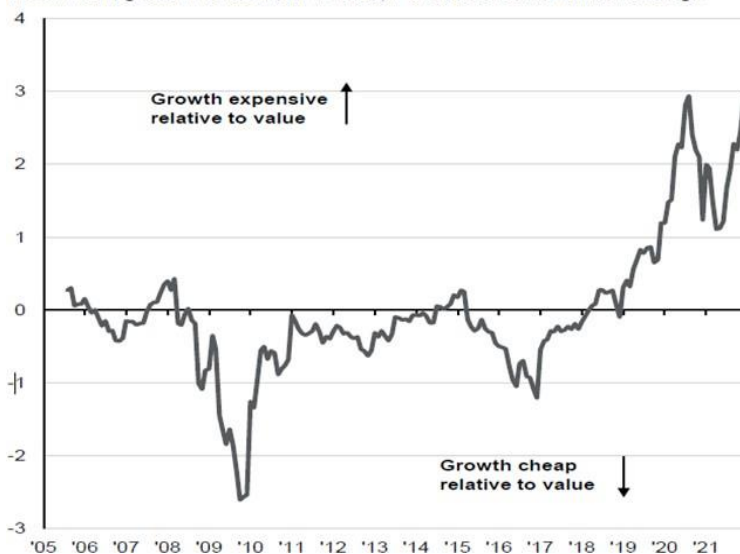
## A Three Sigma Occurrence

The chances of experiencing a three sigma (standard deviation) event are approximately 1 in 105. The standard deviation is the barometer for the distribution of a number or observations assuming a normal distribution. Typically, 2 standard deviations is a noteworthy threshold that marks considerable deliberation and attention by market prognosticators. Three standard deviations is an extraordinary event and we are seeing two major bifurcations in the markets with vast dispersions approaching three sigmas.

Growth stocks, as aforementioned, have handily outperformed their core and value counterparts. As the FANG stocks carry the market and produce a disproportionate portion of the market's positive performance, their valuations grow increasingly stretched relative to the rest of the market. This dispersion is at an historic level of nearly **three standard deviations**.

### Growth vs. value valuations\*

MSCI World growth / value fwd. P/E ratio, # of std. dev. over / under average



However, we will note that here are two means for the gap to close: either prices of growth stocks fall, or earnings go up faster than that for value stocks. While earnings, by nature, should grow faster than those for value stocks, we do feel relative price movement will likely be part of the equation.

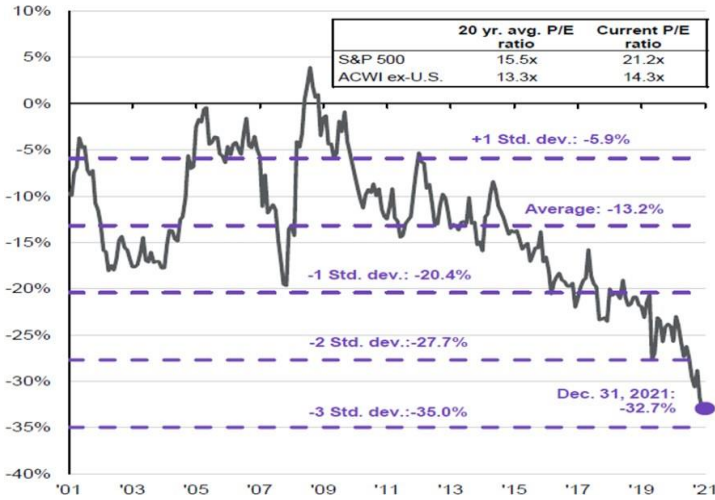
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## A Three Sigma Occurrence *(continued from page 8)*

We noted growth is expensive relative to value and, as the graph below highlights, domestic stocks across the board are expensive relative to their international peers.

**International: Price-to-earnings discount vs. U.S.**  
MSCI AC World ex-U.S. vs. S&P 500 Indices, next 12 months



This variation is equally concerning as it too is approaching the uncharted waters of **three standard deviations**. Again, mean reversion for domestic stocks can come in the form of either faster growing earnings for US stocks or outperformance for international stocks. We would envision the end result will be a bit of both!

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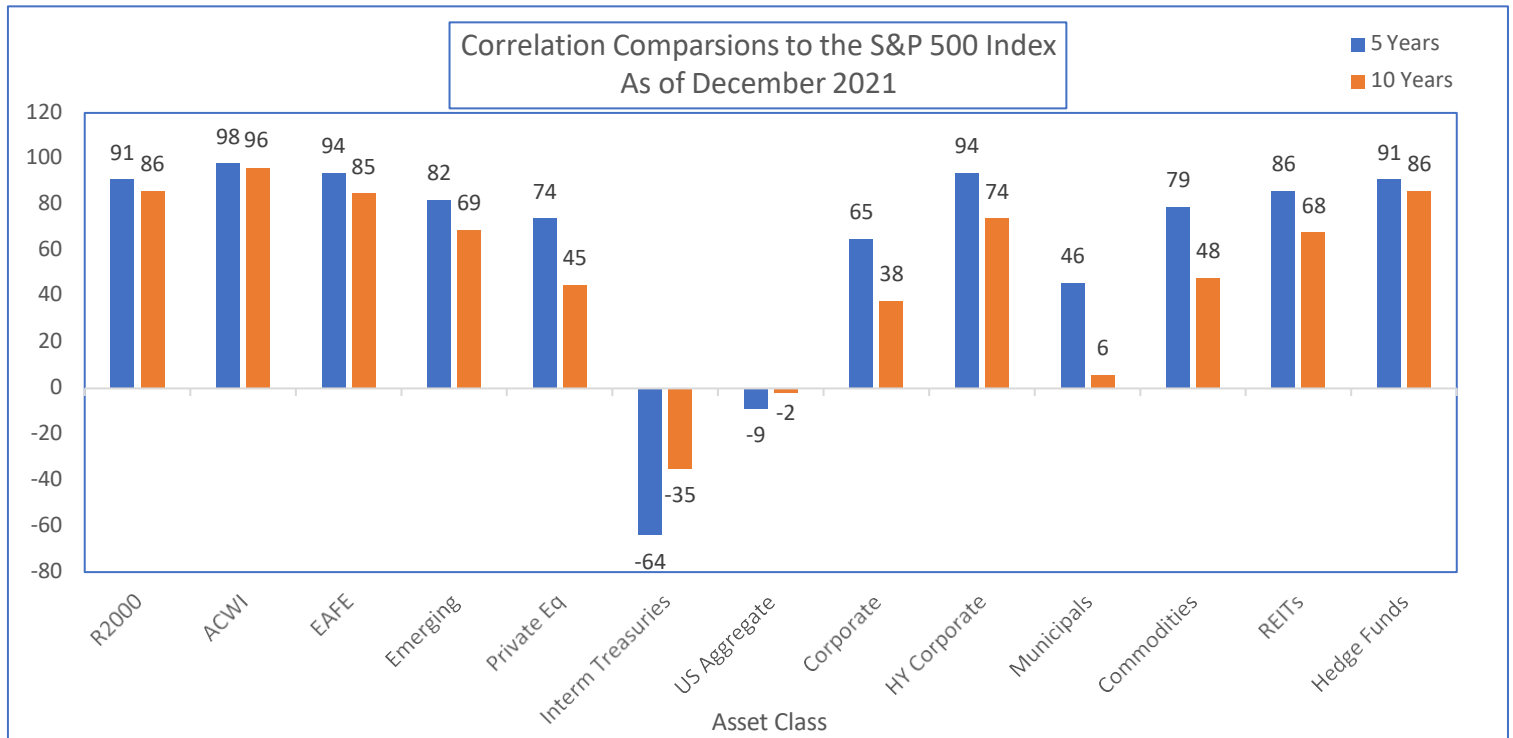
## Asset Class Correlations

Asset class correlations stand for the likelihood that these categories will respond in a similar manner given market movements. Asset classes that move in the same way are said to be highly correlated. Collectively, asset classes with low correlations increase diversification and tend to lower overall volatility.

Correlations are an important consideration for Harpswell when building client portfolios that reflect each one's risk tolerance. Coupled with our Risk and Return assumptions, we identify portfolios designed to satisfy each client's stated goals, and mitigate risk as best as possible.

We monitor Expected Returns, Risk and Correlations on an ongoing basis and make adjustments as market conditions warrant, generally semi-annually. In our latest review, we noticed that Correlations have been increasing between asset classes except for Treasuries. To illustrate this point, the Chart below presents correlations between various asset classes compared to the S&P 500 Index over 5 and 10 year periods.

*(continue to page 10)*



## Asset Class Correlations *(continued from page 9)*

The past few years have been punctuated with periods of extreme volatility where investors move to Treasuries and Cash as safe harbors when risk assets sell off. However, the low interest rate environment has also made Treasuries less attractive from a return standpoint, so capital flows into risk assets as market conditions become favorable resulting in a downward trend in correlations in the near term.

Recognizing this trend, we are adjusting our 10-year planning assumptions to incorporate the higher correlations as well as select investments designed to lower correlations and volatility. \*

### *Sirko – A Ukrainian Folk Tale*



There was once a man who had a dog named Sirko. While the dog was young he was made much of, but when he grew old he was driven out of doors. So he went and lay outside the fence, and a wolf came up to him and said, “Sirko, why so down in the mouth?”—“While I was young,” said the dog, “they made much of me; but now that I am old they beat me.” The wolf said, “I see thy master in the field; go after him, and perchance he’ll give thee something.”—“Nay,” said the dog, “they won’t even let me walk about the fields now, they only beat me.”—“Look now,” said the wolf, “I’m sorry, and will make things better for thee. Thy mistress, I see, has put her child down beneath that wagon. I’ll seize it, and make off with it. Run thou after me and bark, and though thou hast no teeth left, touzle me as much as thou canst, so that thy mistress may see it.”

So the wolf seized the child, and ran away with it, and Sirko ran after him, and began to touzle him. His mistress saw it, and made after them with a harrow, crying at the same time, “Husband, husband! the wolf has got the child! Gabriel, Gabriel! don’t you see? The wolf has got the child!” Then the man chased the wolf, and got back the child. “Brave old dog!” said he; “you are old and toothless, and yet you can give help in time of need, and will not let your master’s child be stolen.” And henceforth the woman and her husband gave the old dog a large lump of bread every day. \*

# December 2021 Flash Report

**Overview:** Domestic Equity markets were impressive in 2021 where the S&P 500 gained 28.7% in the year despite the Omicron outbreak, supply and labor shortages and the resurgence of inflation. Overseas markets were more muted with EAFE rising only 11.8% while the Emerging markets fell by 2.2%.

**Federal Reserve:** The Federal Reserve began to shift its focus by tapering its pandemic-era stimulus more aggressively as prices increased significantly buoyed by strong consumer demand and supply constraints. They also signaled a policy shift that could result in higher interest rates for 2022.

**Employment:** Initial claims for unemployment benefits ended 2021 near pre-pandemic levels after an improving labor market resulted in claims falling roughly fourfold over the course of the year. Job openings also ended the year near record highs as the demand for workers remains high.

**Equities: Domestic** – Equities closed out an impressive year with the S&P 500 gaining 4.5% in December. The Russell 1000 Value index rose by 6.3% led by Real Estate, Consumer Staples, Healthcare and Utilities. For the year, the Russell Growth index earned 27.6% while Value increased by 25.2%.

The **R2000** earned 2.2% in the month and 14.8% for the year, trailing larger companies by a considerable margin. The Small Growth index rose by 0.4% while Value gained 4.1% in the month. YTD, the Russell Value gained 28.3%.

**International – EAFE** markets earned 5.1% in December. The Dollar weakened in the month, adding 0.8% to the results. However, EAFE's 11.8% gain for the year reflected a loss of 7.5% due to a stronger Dollar. France(+20%) and Canada(+27%) led the international markets while Japan(+2.0%) and Hong Kong (-3.9%) detracted from results for the year.

**Emerging Mkts – Emerging** markets gained 1.9% in December ending a difficult year, down 2.2%. Currency accounted for 2% of the loss. China declined 3.2% in the month resulting in a 21.6% loss YTD. In contrast, India had an excellent year, gaining 27%. Higher oil prices bolstered producing countries such as Russia (+20%) and the Middle eastern markets. Latin America, excluding Argentina (+21%) experienced significant losses, falling 7.7% for the year.

**Fixed Income:** Rates moved higher in December as the Fed signaled it will address inflation by tapering its stimulus program & maybe raise rates in 2022.

**90 Day T-bill** yield fell slightly to 0.03%. The **10 Year Treasury** yield rose by 7bps to 1.51% while the **30 Year Treasury** yield closed at 1.90%, 11bps higher. The 10 Year rate has risen 60bps in 2021 while the 30 Year increased by 26bps.

**Municipal** yields were relatively unchanged in December. The **1 Year Municipal** yield remained at 0.19%. The **30 Year Municipal** yield fell by 1bp to 1.54%; 36bps or 19% discount versus the **30 Year Treasury**, presenting an attractive after-tax yield for taxable investors.

**International: German** rates were less negative by 10bps to a (0.7%) yield for the **2 Year Bund** and 20bps to a negative (0.2%) yield for the **10 Year**. The **UK 10 Year Gilt** yield rose by 20bps to 1.0%. The **Japanese 10 Year Gov't** bond yield was higher by 1bp to 0.06% in December. The **2 Year** Yield became less negative by 3bps to close at (0.10%).

**High Yield** bonds gained 1.9% in December, closing with an average yield of 4.9%. The Aggregate Bond Index fell by 0.3% in the month as longer rates moved higher, reflecting an approximate yield of 1.8%.

**Commodities: WTI Crude Oil** gained **\$8.9/barrel to \$75.4/barrel** in December. Oil prices rose to the highest level since late November on hopes that the Omicron coronavirus variant will have a limited impact on global demand in 2022 even as surging cases caused flight cancellations.

**Gold** prices gained **\$57/oz** to close at **\$1830/oz** but still registered the steepest annual drop since 2015 as investor appetite for the traditional safe-haven asset sagged. Gold generally trades inversely to the dollar and interest rates and has been hampered by the strength of the currency in 2021.

	1 Month	3 Months	YTD	1 Year	3 Years	5 Years
<b>Domestic Equities</b>						
Dow Jones	5.5%	7.9%	21.0%	21.0%	18.5%	15.5%
S&P 500	4.5%	11.0%	28.7%	28.7%	26.1%	18.5%
Russell LG Value	6.3%	7.8%	25.2%	25.2%	17.6%	11.2%
Russell LG Growth	2.1%	11.6%	27.6%	27.6%	34.1%	25.3%
Russell 2000	2.2%	2.1%	14.8%	14.8%	20.0%	12.0%
NASDAQ	0.9%	8.7%	22.4%	22.4%	34.4%	25.0%
MLP Index	3.6%	0.6%	40.2%	40.2%	2.1%	-2.7%
REIT Index	9.6%	16.2%	41.3%	41.3%	19.9%	12.5%
<b>International Equities</b>						
EAFE	5.1%	2.7%	11.8%	11.8%	14.1%	10.1%
EAFE Small Companies	4.4%	0.1%	10.5%	10.5%	16.1%	11.5%
Emerging Markets	1.9%	-1.2%	-2.2%	-2.2%	11.3%	10.3%
China	-3.2%	-6.1%	-21.6%	-21.6%	7.9%	9.5%
<b>Fixed Income</b>						
US Agg	-0.3%	0.0%	-1.5%	-1.5%	4.8%	3.6%
US High Yield	1.9%	0.7%	5.3%	5.3%	8.8%	6.3%
Municipal Bonds	0.2%	0.7%	1.5%	1.5%	4.7%	4.2%
<b>Currencies</b>						
EURO	0.8%	-1.7%	-7.0%	-7.0%	-0.2%	1.5%
British Pound	2.2%	0.5%	-0.9%	-0.9%	2.0%	1.9%
Japanese Yen	-1.7%	-3.1%	-10.4%	-10.4%	-1.6%	0.3%
<b>Commodities</b>						
Bloomberg Commodity	3.5%	-1.6%	27.1%	27.1%	9.9%	3.7%
S&P GSCI Crude Oil	14.0%	28%	62.3%	62.3%	-4.8%	-6.5%
Gold	2.9%	4.0%	-4.3%	-4.3%	11.0%	8.4%

## DISCLOSURE

### General

The information contained herein regarding Harpswell Capital Advisors is confidential and proprietary and intended only for use by the recipient. The information contained herein is not complete, and does not contain certain material information about alternative investments, including important disclosures and risk factors associated with an investment in these types of vehicles, and is subject to change without notice. This document is not intended to be, nor should it be construed or used as an offer to sell, or a solicitation of any offer to buy shares or limited partnership interests in any funds managed by Harpswell Capital Advisors. Neither the Securities and Exchange Commission nor any state securities administrator has approved or disapproved, passed on, or endorsed, the merits of these securities.

### Performance

The performance information herein has been prepared by or on behalf of Harpswell Capital Advisors, and has not been independently audited or verified except for certain year-end data. Investment returns may vary from the stated objectives so that investors may have a gain or a loss when they redeem their investment. As with any investment vehicle, risk of losses are possible and past performance cannot assure any level of future results. Investors should always refer to fund prospectuses or consult an investment manager prior to investing in funds. Proposed model performance has limitations inherent in model results in that it does not represent actual trading and may not reflect the impact that material economic and market factors might have on the adviser's decision-making if the adviser were actually managing accounts. The adviser's clients may have had investment results materially different from the results portrayed in the model. Actual results portrayed may related to a select group of adviser's clients, unless otherwise specified. Actual proportions to funds and asset classes will vary on a client by client basis to correspond with their Investment Policy Statement and may not match the proposed model allocations.

### Risks

Harpswell invests in stocks, bonds, mutual funds and sometimes alternative investments. Each asset class, along with each individual investment, carries varied degrees of risk of loss. Harpswell analyses investments from a long-term fundamental perspective and aims to engineer portfolios that have an attractive risk and reward balance. Despite a strong bias for diversification, all Harpswell portfolios do carry risks of losses, particularly in times of escalated market volatility. Harpswell does focus on capital preservation yet extraordinary markets can potentially generate material losses.

Our investment decisions and recommendations are based upon our professional judgment. We do not guarantee the results of any of our investment decisions or recommendations, the future performance of your Assets or Accounts, any specific level of performance, the success of any Independent Manager, investment decision, strategy or recommendation made by an Independent Manager, or the overall success of the Account. Past performance is not indicative of future results. Investments in your Account may go up or down in value depending on market conditions.

Alternative investments are designed only for sophisticated investors who are able to bear the economic risk of losing all of their investment. Alternative investments: (1) often engage in leveraging and other speculative investment practices that may increase the risk of investment loss; (2) can be highly illiquid; (3) are not required to provide periodic pricing or valuation information to investors; (4) may involve complex tax structures and delays in distributing important tax information; (5) are not subject to the same regulatory requirements as mutual funds; and (6) often charge high fees.

### Current Information

Opinions expressed are current opinions as of the date appearing in this material only. While the data contained herein has been prepared from information that Harpswell Capital Advisors believes to be reliable, Harpswell Capital Advisors does not warrant the accuracy or completeness of such information.

### Use of Indices

Market index information shown herein, such as that of the S&P 500 Stock Index, is included to show relative market performance for the periods indicated and not as standards of comparison, since these are unmanaged, broadly based indices which differ in numerous respects from the portfolio composition of the Fund. Market index information was compiled from sources that Harpswell Capital Advisors believes to be reliable. No representation or guarantee is made hereby with respect to the accuracy or completeness of such data.

### Index Definitions

The S&P 500 Stock Index is an unmanaged market capitalization index of 500 US equities generally considered to be representative of US stock market activity. The Morgan Stanley Capital International World Index is a market capitalization-weighted equity index of over 1,500 stocks traded in 22 world markets. The NASDAQ Composite Index measures all NASDAQ domestic and non-U.S. based common stocks listed on the NASDAQ Stock Market. The Index is market value-weighted. The SB World Bond Index is a market capitalization weighted index of 18 Government bond markets composed of sovereign debt denominated in the domestic currency. The Lehman Aggregate Index is a benchmark index made up of the Lehman Brothers. The Hennessee Hedge Fund Indices® are calculated from performance data obtained from publicly available information, internally developed data and other third party sources believed to be reliable. MSCI EAFE is a stock market index that is commonly used as a benchmark for the performance of major international equity markets. The MSCI Emerging Market Index is an index created by Morgan Stanley Capital International (MSCI) that is designed to measure equity market performance in global emerging markets. The Russell 1000 seeks to track the investment results of an index composed of large- and mid-capitalization U.S. equities. The Russell 2000 seeks to track the investment results of an index composed of small-capitalization U.S. equities. The Russell 2500™ Index measures the performance of the small to mid-cap segment of the U.S. equity universe, commonly referred to as "smid" cap. The Barclays Capital Global Aggregate Bond Index is designed to be a broad based measure of the global investment-grade, fixed rate, fixed income corporate markets outside the United States. The Barclays Capital US Gov/Credit bond Index measures the performance of U.S. Dollar denominated U.S. Treasuries, government-related and investment grade U.S. corporate securities that have a remaining maturity of greater than one year.



## Addendum C





Harpowell Custom Quarterly Presentation  
December 31, 2022





# Executive Summary

- ❖ Harpswell is proud to be partnered with your institution. Thank you for placing your trust in Harpswell's team!
- ❖ For 2022, your endowment outperformed its benchmark by 1.73% (-14.30% versus -16.03%). Furthermore, the portfolio realized significantly lower volatility than the benchmark (14.4 standard deviations versus 16.1 for the benchmark). The lower volatility was the combined product of Harpswell's tactical fixed income management, which preserved value compared to the aggregate bond index (-10.15% versus -13.01%) and Harpswell's use of low-volatility and value-focused equity funds, which preserved value compared to the global equity benchmark (-16.32% versus -18.36%).
- ❖ Inflation closed out the year at a 6.5% year-over-year rate in December. The Federal Reserve has been raising interest rates to fight inflation, and that was a headwind for stocks and bonds alike. Harpswell took advantage of higher Treasury rates, embracing a very high credit quality all while combining the attractiveness of short-term Treasury bills with longer duration bond funds. Thus, we reduced credit risk and manager fees and took advantage of yields approaching 5%. Laddered bonds now make up 14% of the portfolio.
- ❖ The portfolio is now paying 0.39% in mutual fund fees on 86% of assets, which translates to 0.34% overall. That's much less than Morningstar's estimated cost for a similarly diversified portfolio, of 0.88% in fund fees.
- ❖ Thank you very much for your confidence in Harpswell. We are honored to be your trusted advisor

## Market Update

# Market Overview –

As of December 31, 2022

**Overview:** The Fed policy committee ended the year with another rate hike of 50bps, raising the policy range to 4.25%-4.50%. It's the 7th rate hike of the year albeit smaller than the previous four 75bps increases with signs of cooling inflation. The Fed still views inflation as unacceptably high and made it clear that additional rate hikes are still on the table for 2023. Complicating the outlook for future rate increases was the latest 3<sup>rd</sup> quarter GDP revision from the Commerce Department, which raised the annualized growth rate from 2.9% to 3.2%. Personal consumption was revised significantly higher, advancing 2.3% in the latest report compared to 1.7% in an earlier estimate despite rising interest rates and high inflation. A strong labor market and wage growth has underpinned household spending but it's unclear if the spending momentum will be maintained in 2023. Geopolitical tensions and high inflation weighed heavily on the economy and undermined the markets as the year progressed.

**Equities: Domestic** – Equity markets ended the year on a sour note, posting widespread losses as investors focus on the prospects for a Global Recession. The S&P 500 fell 5.8% in December, ending the year with an 18.1% loss. The Energy sector gained 66% in 2022 while Communications and Consumer Discretionary lost 40% and 37%, respectively. In 2022, Large Value only lost 7.5% while the Tech heavy Large Growth index plunged 29.1%. The **R2000** fell by 6.5% in the month resulting in a 20.4% loss for the year. For 2022, Sm. Growth lost 26.4% versus Sm. Value, which posted a 14.5% loss. **International** – **EAFE** gained 0.1% in December significantly outperforming the US market. A weaker Dollar accounted for 3% of the results. YTD, EAFE has lost 6.5% at the local level while dropping 14% in Dollar terms, a 7.5% currency loss. Hong Kong led the markets with an 8.1% return in the month. **Emerging Mkts** lost 1.4% in December. China rebounded in the last quarter but finished the year with a 21.8% loss whereas Latin America ended with a 9.5% gain. Emerging Europe was down 71% as the Ukraine war progressed in 2022.

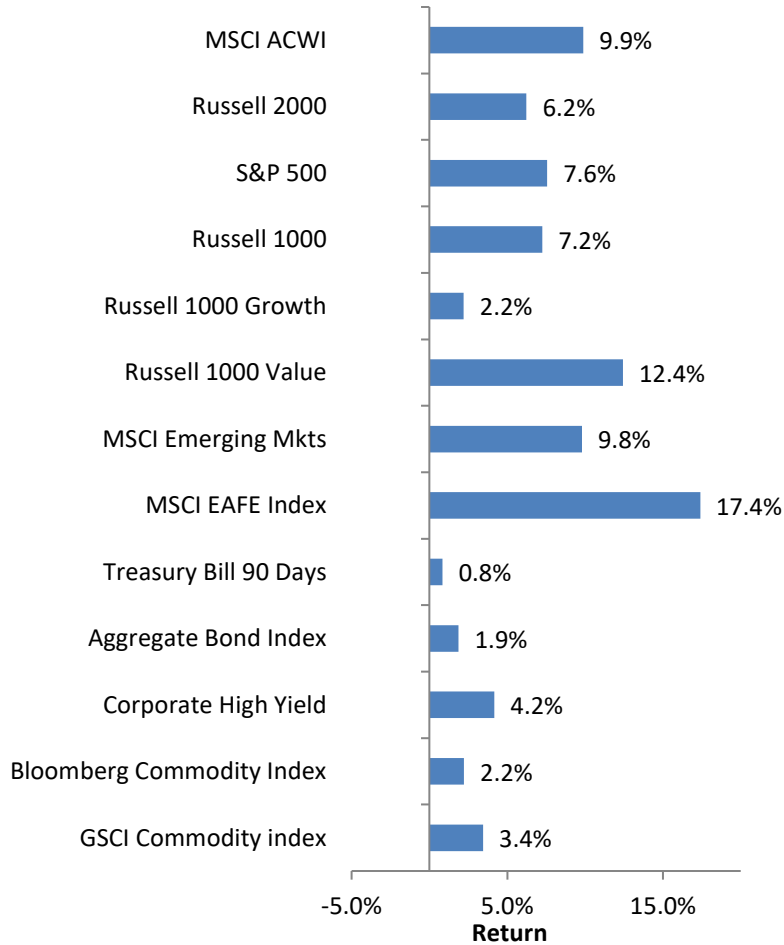
**Fixed Income:** At the Fed's last meeting in 2022, it continued to raise rates bolstering concerns of a 2023 recession. Chairman Powell suggested that the amounts of future increases may be lower but that rate increases will likely continue into the new year. The **90 Day T-bill** rate increased by 9bps to 4.4%. The **10 Year Treasury** yield rose by 18bps to 3.9% and the **30 Year Treasury** yield closed at 4%, 20bps higher. The Curve remained inverted, peaking at 4.8% for the **6 Month Treasury** while the **10 Year Treasury** had the lowest yield at 3.9%. **Municipal 1 Year** yield rose in the month by 26bps to 2.8% while longer yields fell modestly with the exception of the **30 Year Municipal** yield which closed at 3.6%, up 6bps. At current levels, Short Treasury yields remain attractive to taxable investors on an after-tax basis. **International: German** rates rose in the month, adding 62bps to yield 2.7% for the **2 Year Bund** and 64bps to a 2.56% yield for the **10 Year**. The **UK 2 Year Gilt** yield rose by 28bps to 3.5% while the **10 Year Gilt** rose 50bps to yield 3.7%. The **Japanese 10Yr Bond** rose by 16bps to 0.4% and **2Yr Bond** yields increased modestly by 5bps to a positive 0.02%. **High Yield** bonds lost 0.6% in December, closing with an average yield of 6.5%. The Aggregate Bond Index fell by 0.5% reflecting an approximate yield of 4.6%. Duration is 6.2 years. The Index lost 13% in 2022.

**Commodities: WTI Crude Oil** fell by \$0.2/barrel to \$80.3/barrel in December. Oil prices remained unchanged in the last month of 2022. Continued lockdowns in China and a global economic slowdown were concerning to investors as fears of a Recession persist. Gold prices rose this month by \$66/oz closing at \$1826/oz. Gold tends to trade in an inverse direction to the US dollar as it becomes more expensive for buyers with other currencies. In December, the Dollar weakened as the Fed suggested that rate hikes may slow in the coming months and overseas central banks began raising interest rates to lessen inflationary pressures.

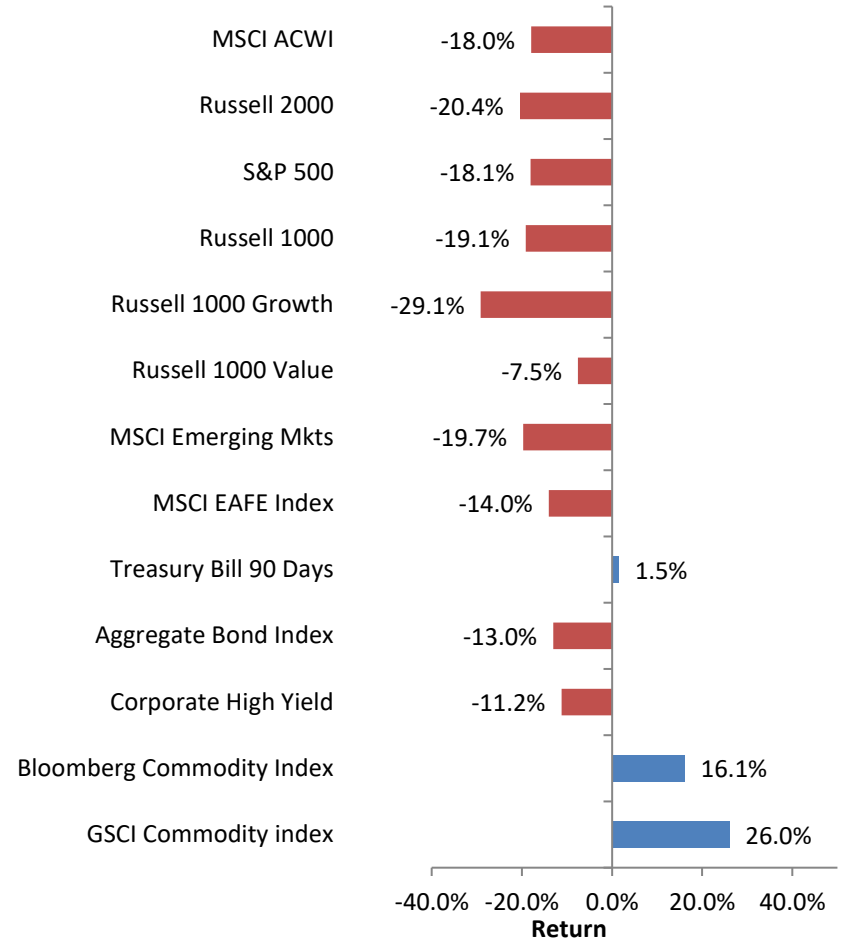
# Index Returns

As of December 31, 2022

4<sup>th</sup> Quarter 2022

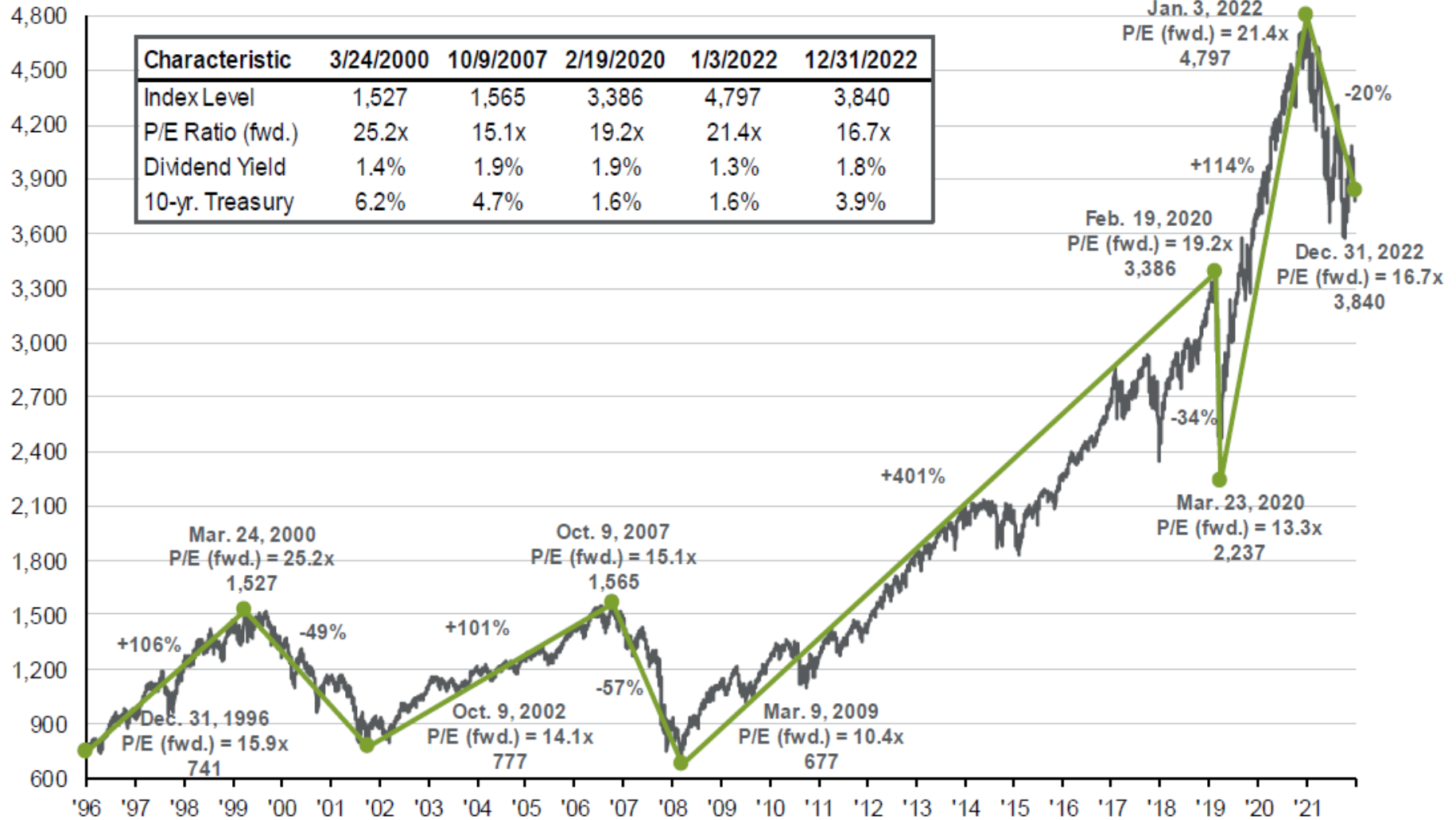


Year to Date



# S&P 500 – inflection points

## S&P 500 Price Index



# US Equity – returns & valuations by style

## 10-year annualized

	Value	Blend	Growth
Large	10.3%	12.6%	14.1%
Mid	10.1%	11.0%	11.4%
Small	8.5%	9.0%	9.2%

## 2022

	Value	Blend	Growth
Large	-7.5%	-18.1%	-29.1%
Mid	-12.0%	-17.3%	-26.7%
Small	-14.5%	-20.4%	-26.4%

## Since market peak (February 2020)

	Value	Blend	Growth
Large	17.5%	18.8%	14.6%
Mid	16.5%	14.3%	4.7%
Small	17.2%	7.9%	-3.0%

## Since market low (March 2020)

	Value	Blend	Growth
Large	90.0%	79.4%	67.2%
Mid	105.9%	91.4%	62.9%
Small	106.1%	81.8%	57.6%

## Current P/E vs. 20-year avg. P/E

	Value	Blend	Growth
Large	13.9 / 13.7	16.7 / 15.5	21.1 / 18.6
Mid	13.4 / 14.4	15.3 / 16.3	21.0 / 20.3
Small	15.3 / 16.8	19.1 / 21.3	25.3 / 35.6

## Current P/E as % of 20-year avg. PE

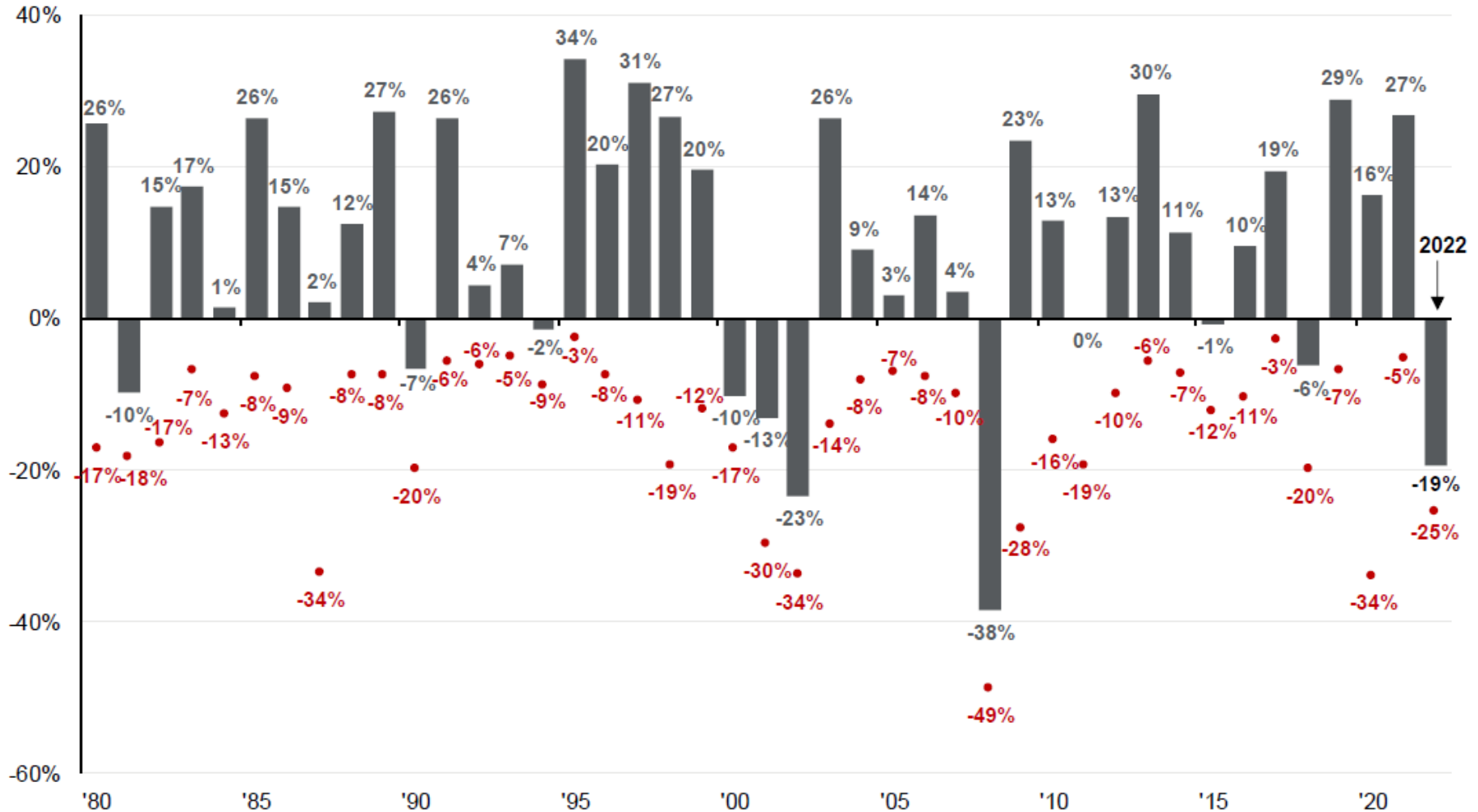
	Value	Blend	Growth
Large	102.0%	107.6%	113.7%
Mid	92.6%	93.8%	103.3%
Small	90.7%	89.4%	71.2%



# S&P 500 – annual returns vs intra-year drawdowns

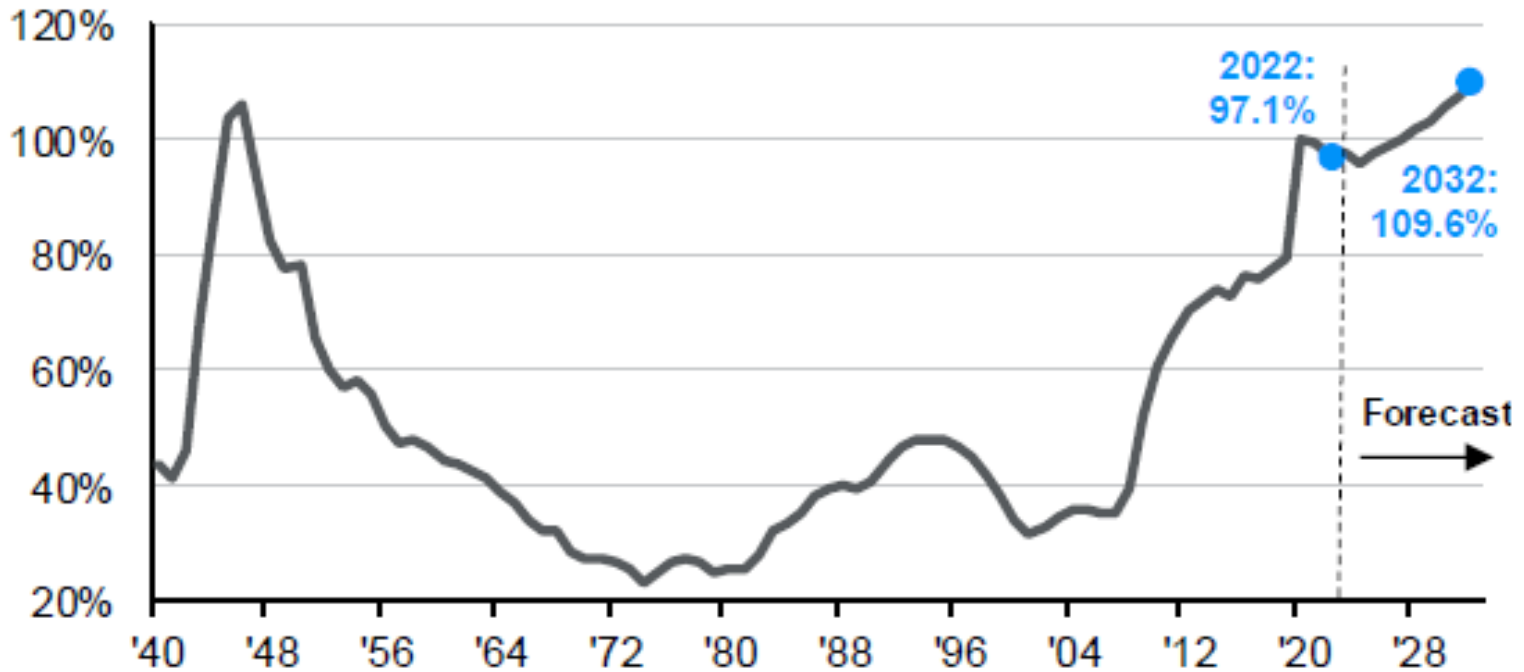
## S&P intra-year declines vs. calendar year returns

Despite average intra-year drops of 14.0%, annual returns were positive in 32 of 42 years



## Federal net debt (accumulated deficits)

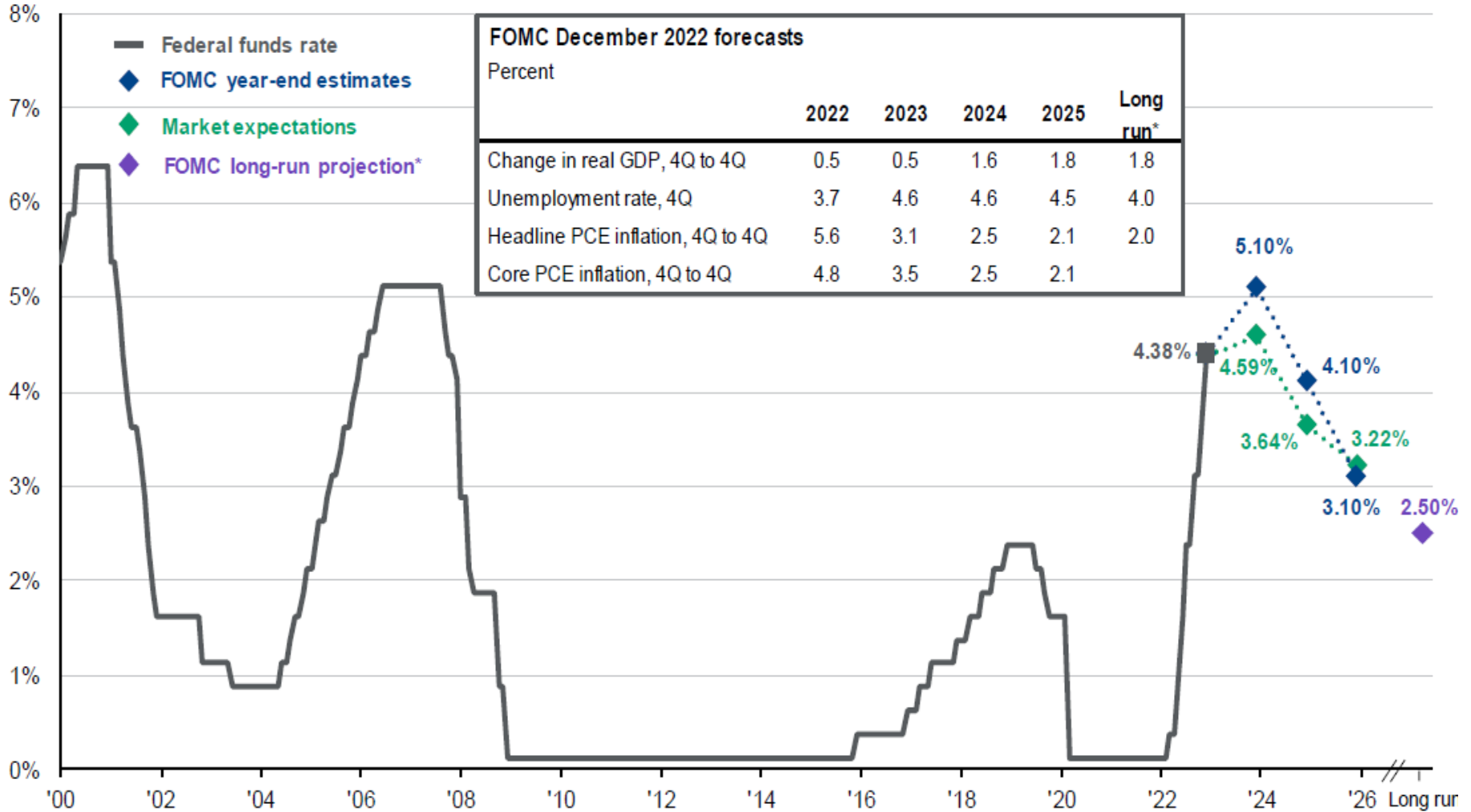
% of GDP, 1940 – 2032, CBO Baseline Forecast, end of fiscal year



# The Fed and interest rates

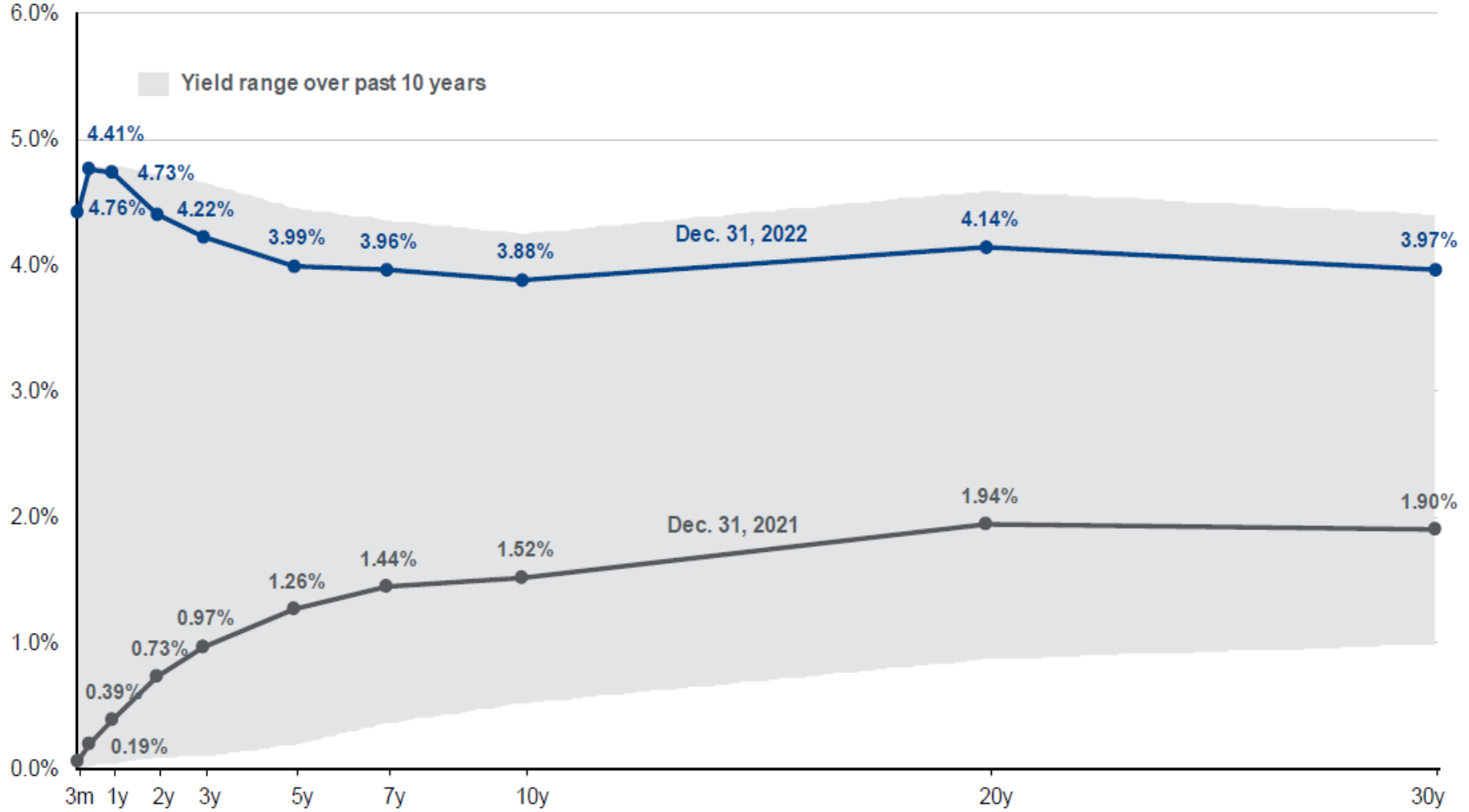
## Federal funds rate expectations

FOMC and market expectations for the federal funds rate



# US Fixed Income – yield curve

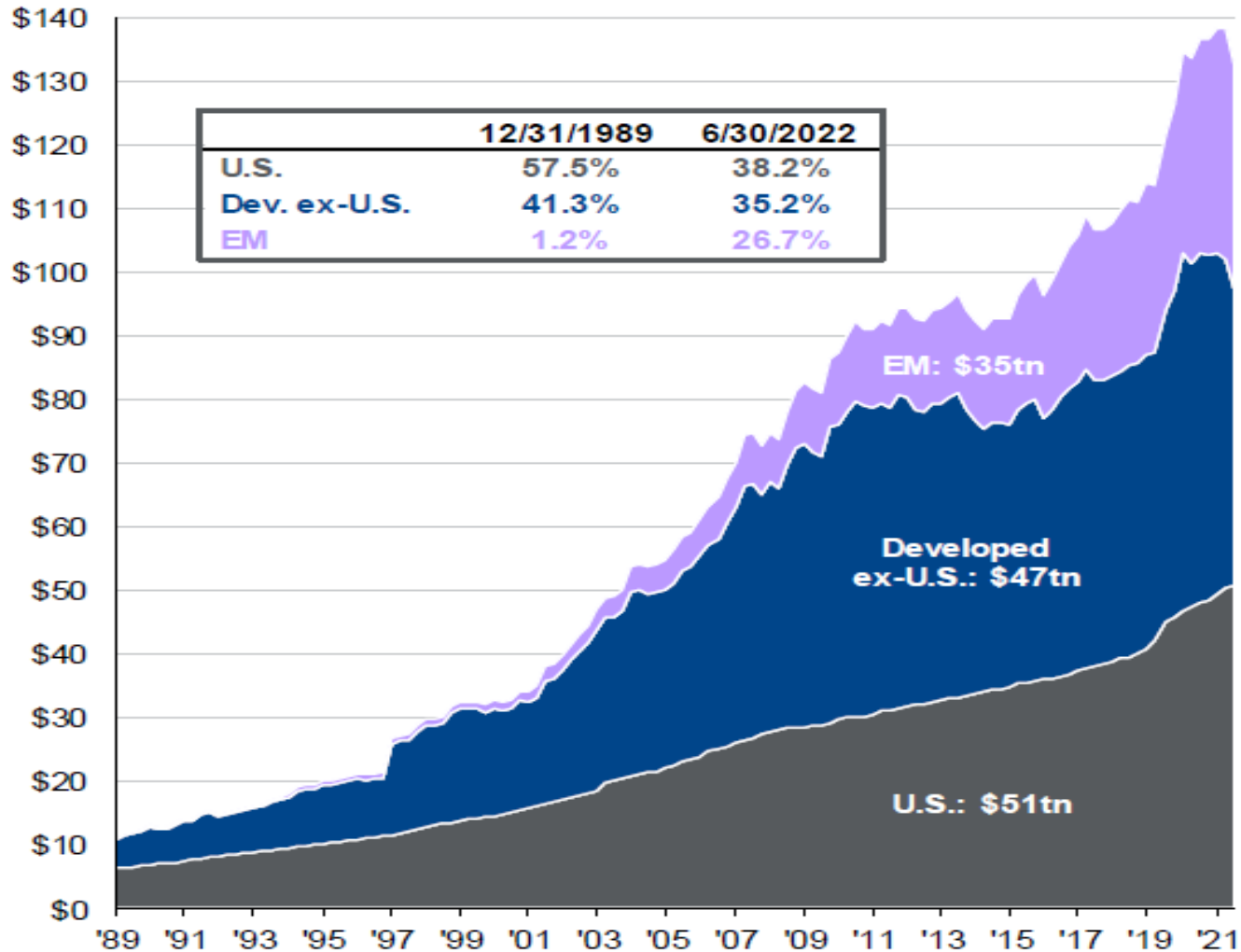
## U.S. Treasury yield curve



# Global fixed income

## Global bond market

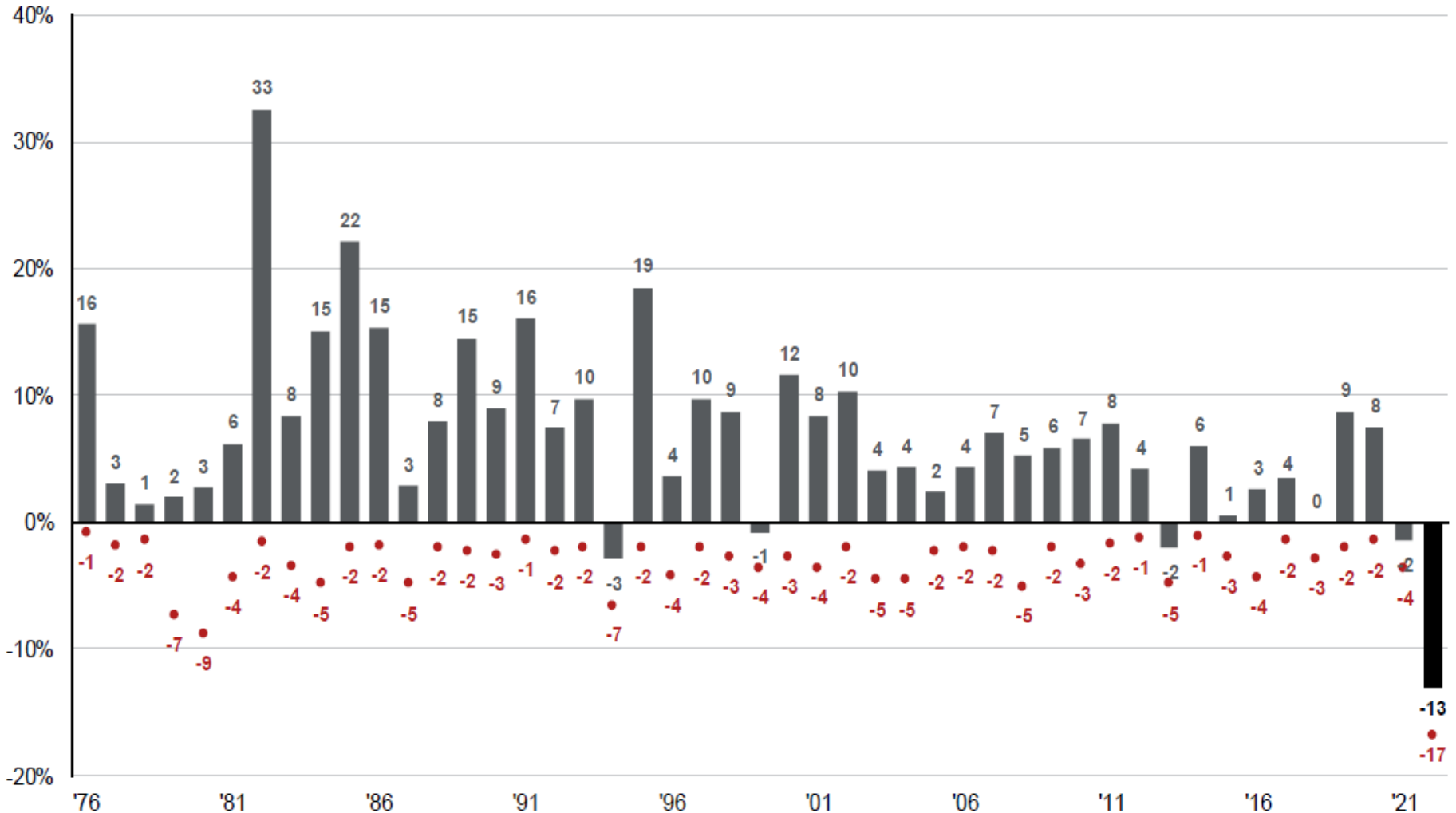
USD trillions



# Bloomberg US Aggregate annual returns & intra-year declines

## Bloomberg U.S. Aggregate intra-year declines vs. calendar year returns

Despite average intra-year drops of 3.3%, annual returns positive in 42 of 47 years

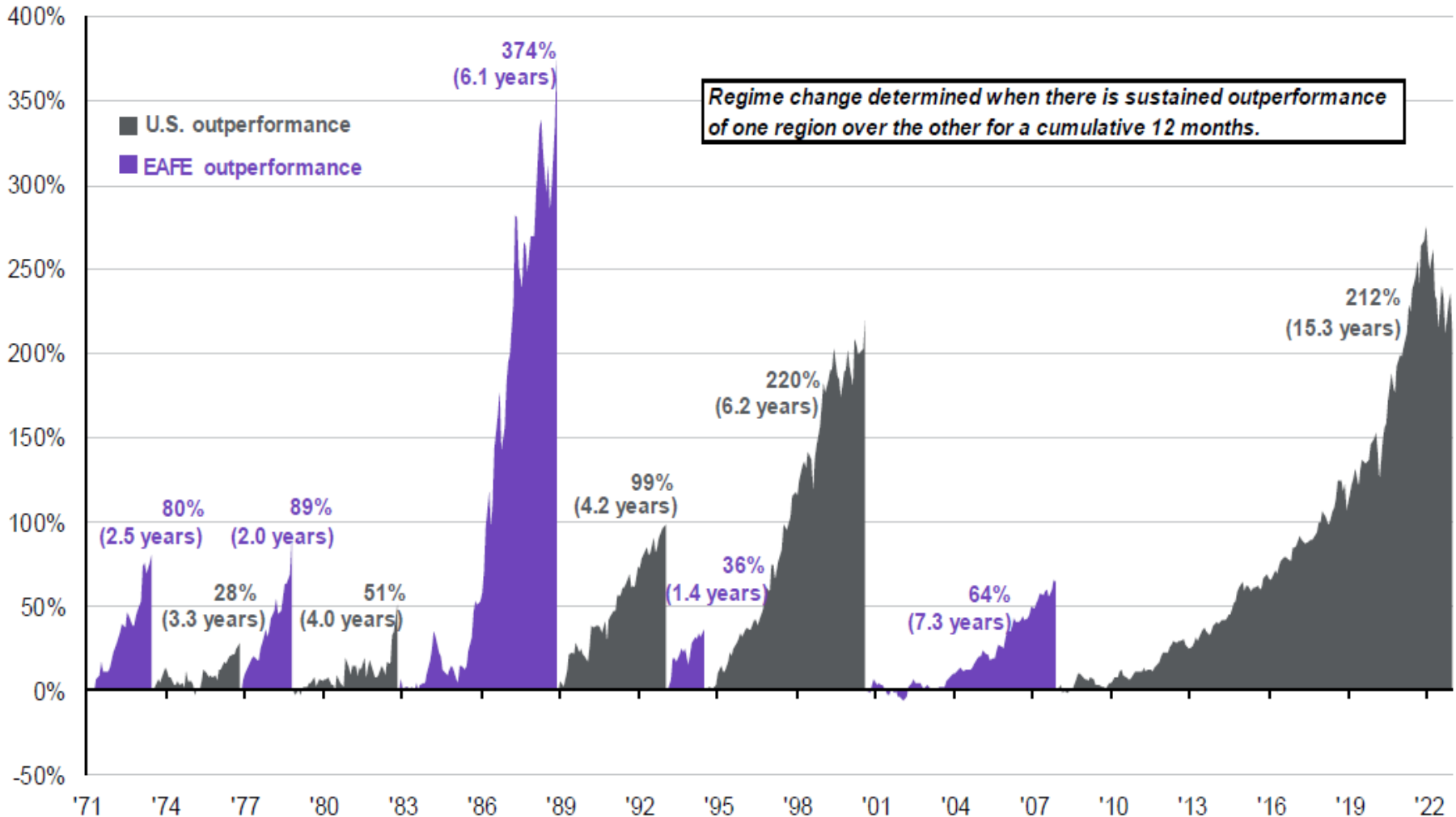




# Global Equity – cycles of US equity outperformance

## MSCI EAFE and MSCI USA relative performance

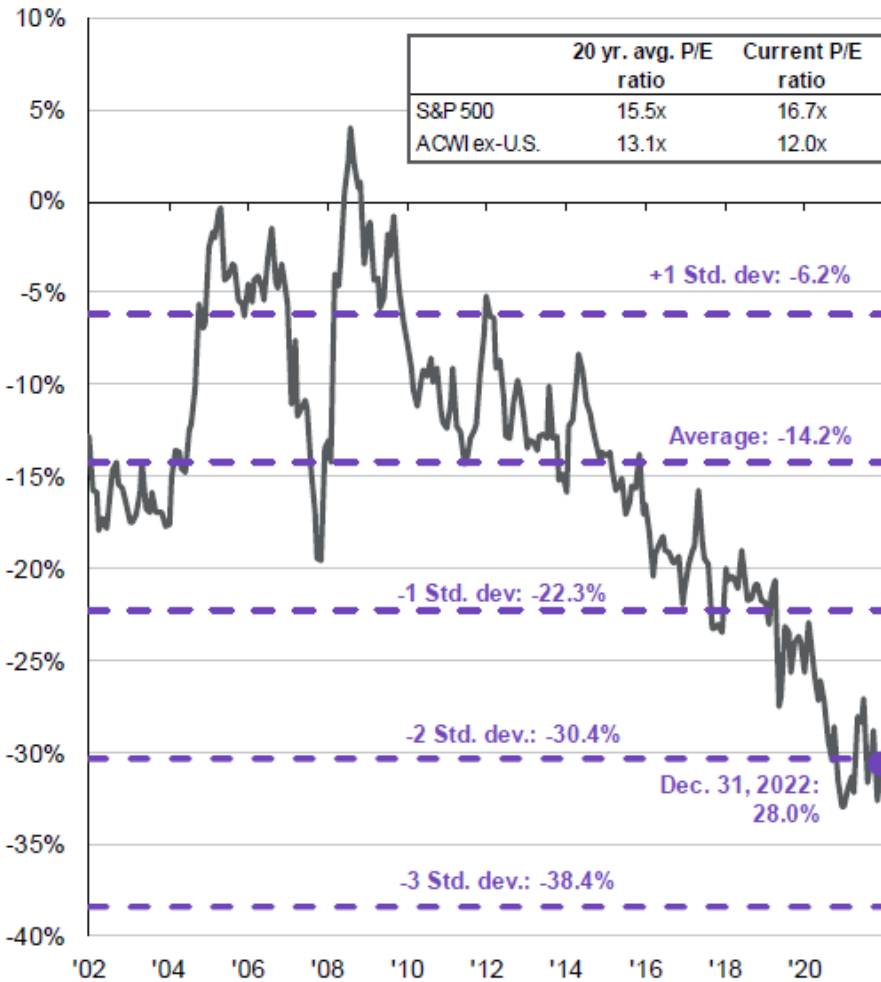
U.S. dollar, total return, cumulative outperformance\*



# International valuations & earnings

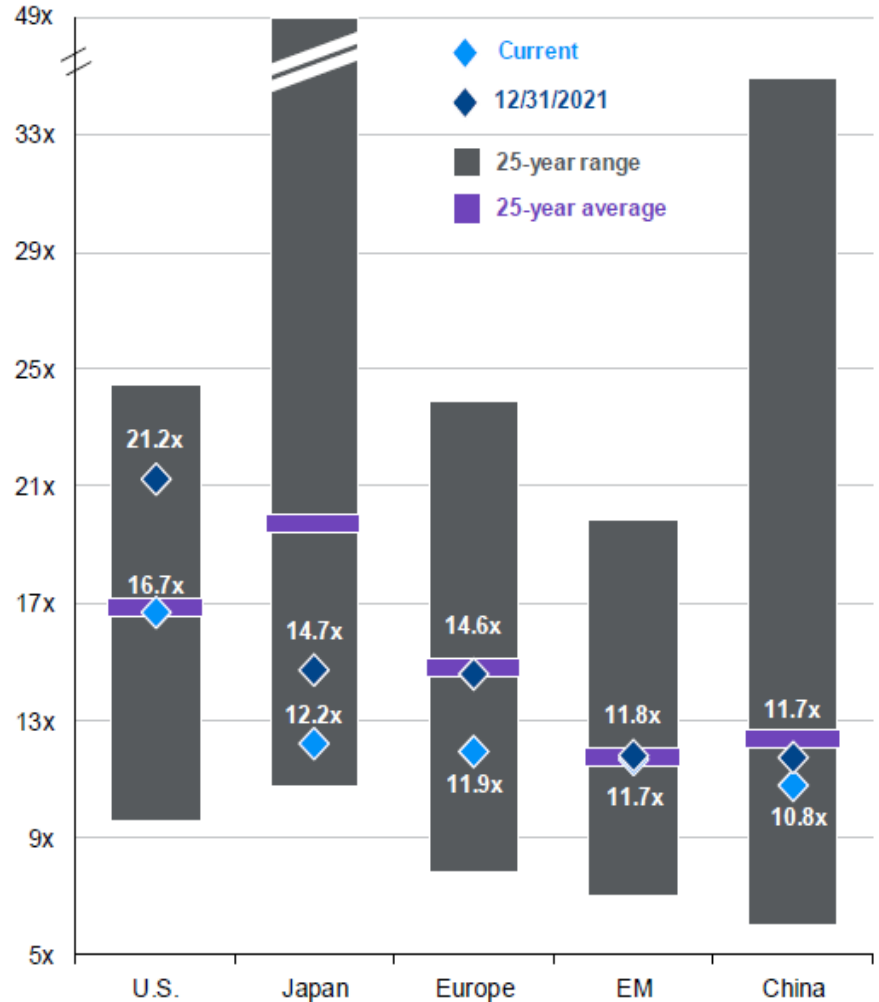
## International: Price-to-earnings discount vs. U.S.

MSCI AC World ex-U.S. vs. S&P 500 Indices, next 12 months



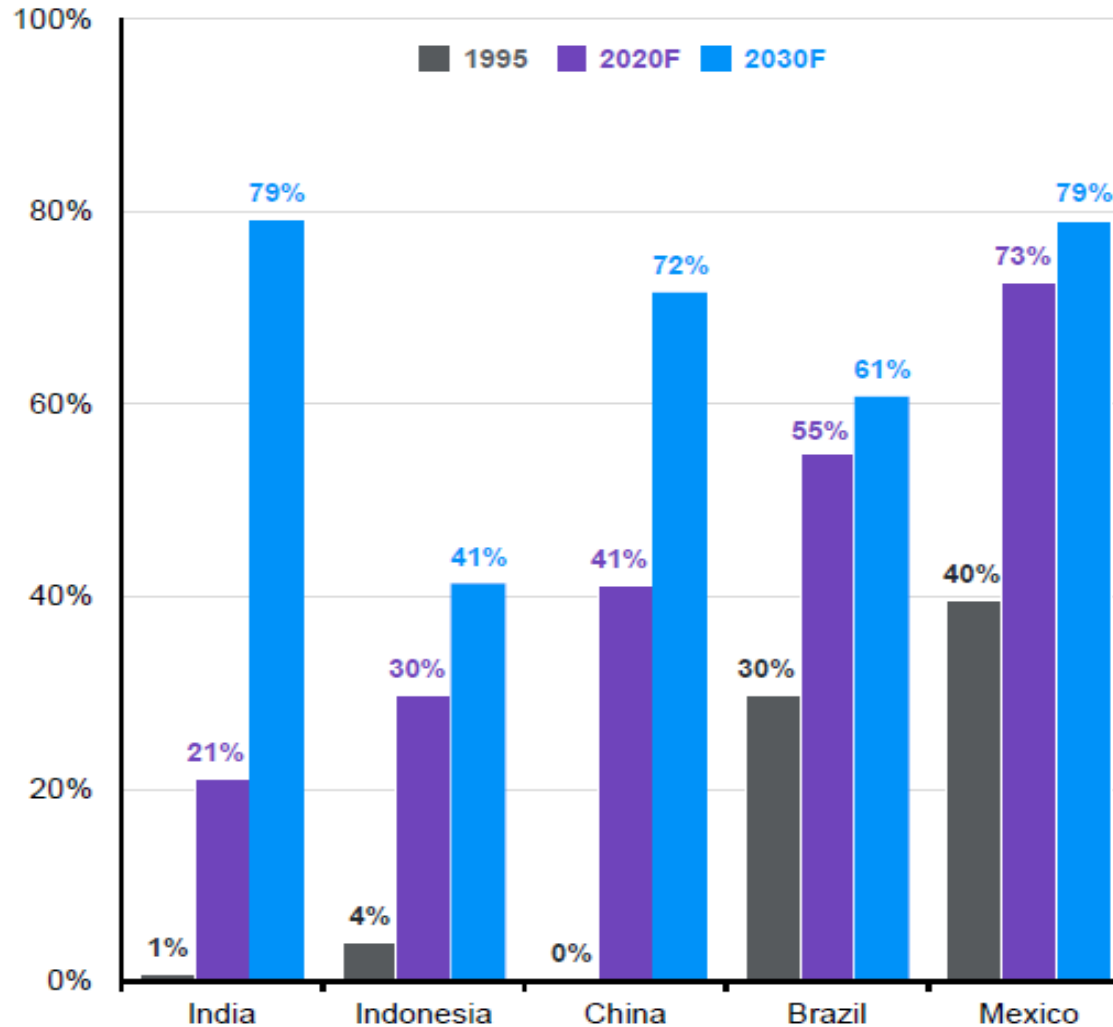
## Global valuations

Current and 25-year next 12 months price-to-earnings ratio



# Emergence of the EM middle class

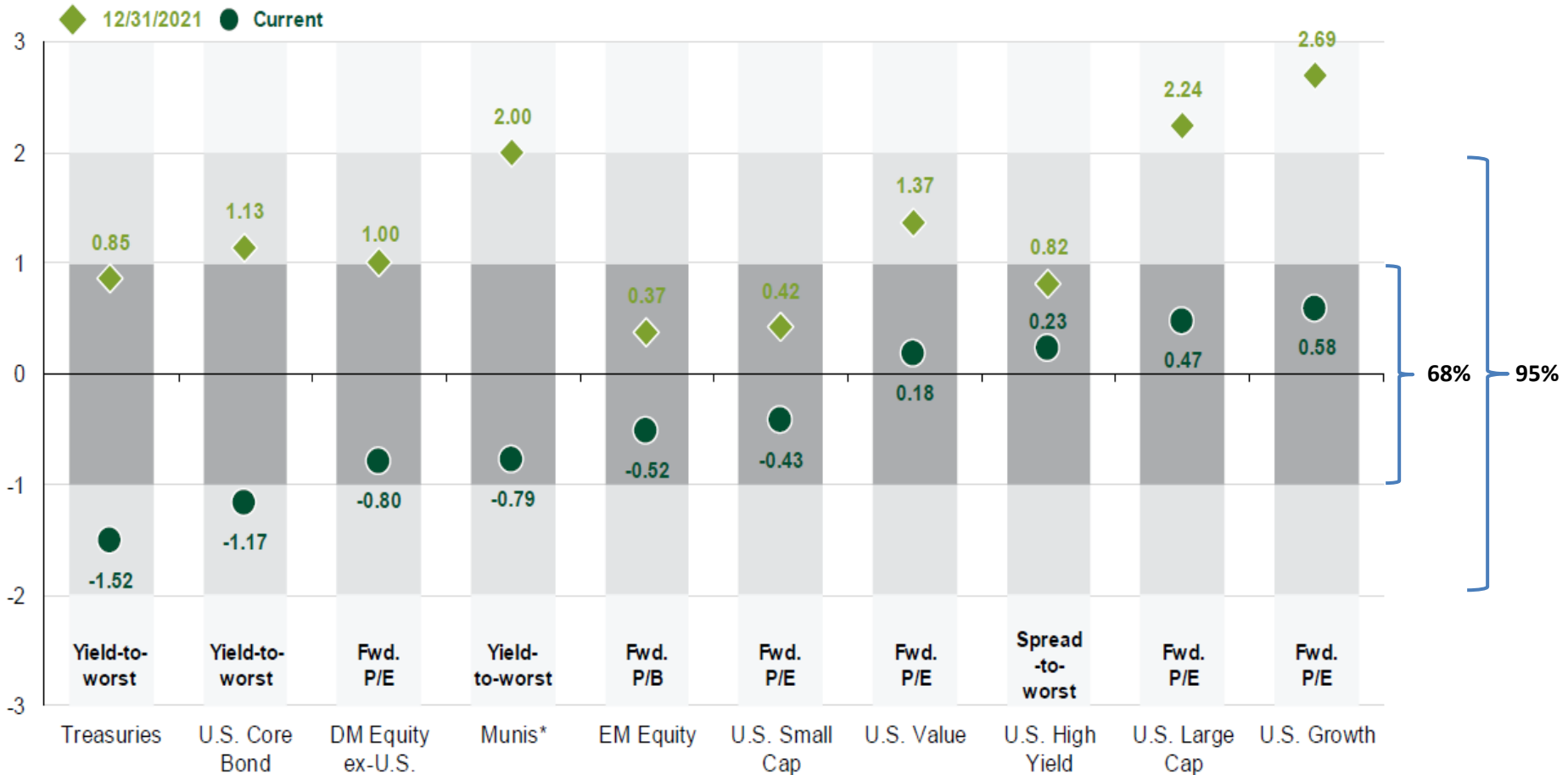
**Growth of the middle class**  
Percent of total population



# Asset class valuations – current vs 1 year ago

## Asset class valuations

Z-scores based on 20-year average valuation measures \*

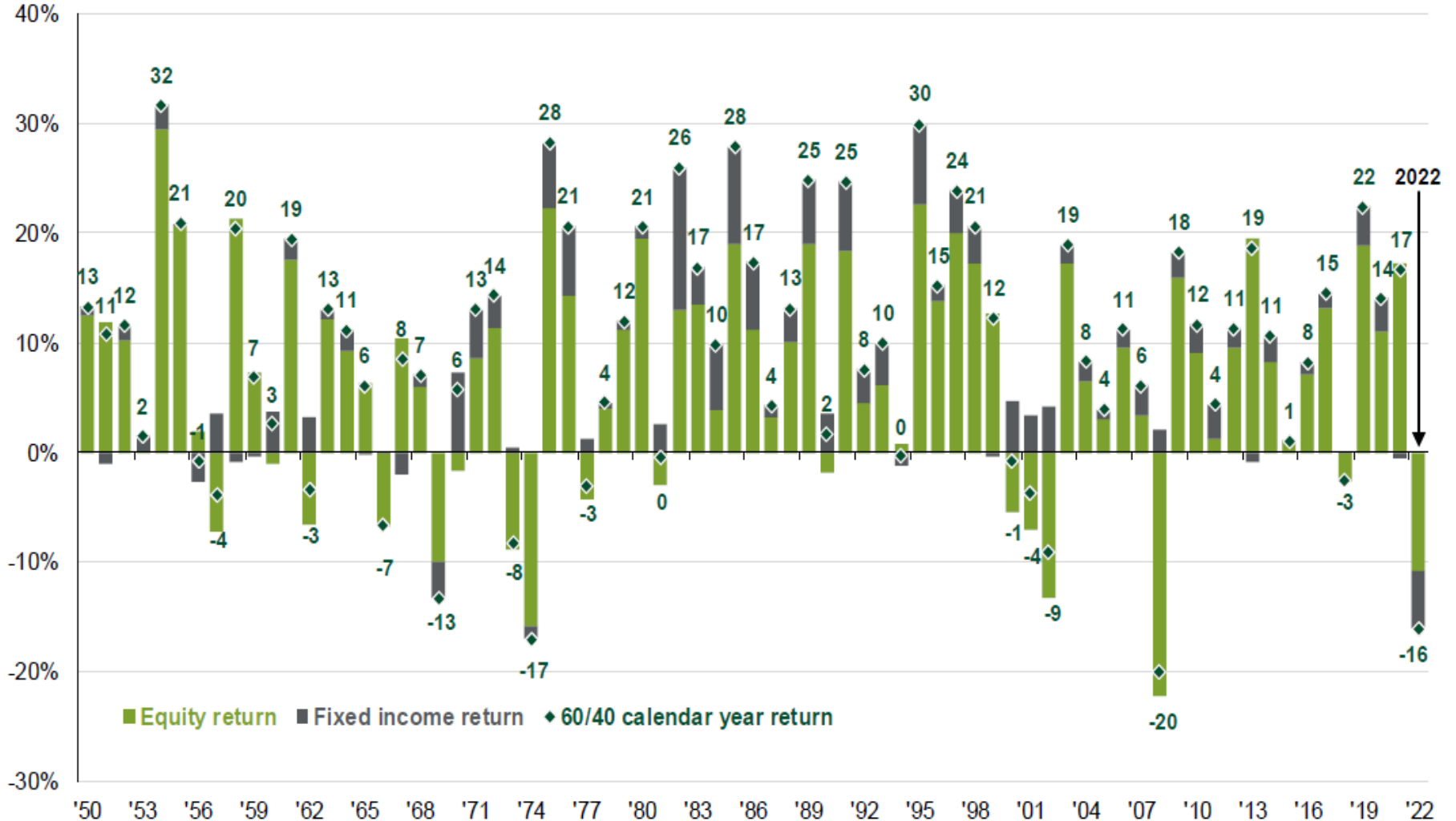


\* Z-score – distance above/below average valuation, measured in standard deviations. In a normal distribution, 95% of observations fall within +/- 2 standard deviations of the average.

# Balanced portfolio returns

## 60/40 annual return decomposition

Total returns, 1950 – present



# Portfolio Update



# Harpowell Sample Client Portfolio

## Performance vs IPS Targets

### Harpowell Sample Client Performance versus IPS Targets December 31, 2022

<u>Asset Category</u>	<u>Allocations</u>				<u>Quarter to Date</u>			<u>Year to Date</u>		
	<u>Assets</u>	<u>Allocation</u>	<u>IPS Targets</u>	<u>vs Targets</u>	<u>Client Performance</u>	<u>Index Returns</u>	<u>vs Indices</u>	<u>Client Performance</u>	<u>Index Returns</u>	<u>vs Indices</u>
<b>Global Equity</b>	\$ 22,250,898	48.9%	50.0%	-1.1%	11.08%	9.76%	1.32%	-16.32%	-18.36%	2.04%
<b>Fixed Income</b>	\$ 19,365,983	42.6%	40.0%	2.6%	0.85%	1.87%	-1.02%	-10.15%	-13.01%	2.86%
<b>Cash</b>	\$ 3,845,853	8.5%	10.0%	-1.5%	0.77%	0.84%	-0.07%	1.14%	1.45%	-0.31%
<b>Total</b>	<b>\$ 45,462,735</b>	<b>100.0%</b>	<b>100.0%</b>	<b>0.0%</b>	<b>7.17%</b>	<b>7.11%</b>	<b>0.06%</b>	<b>-14.30%</b>	<b>-16.03%</b>	<b>1.73%</b>

#### INDICES

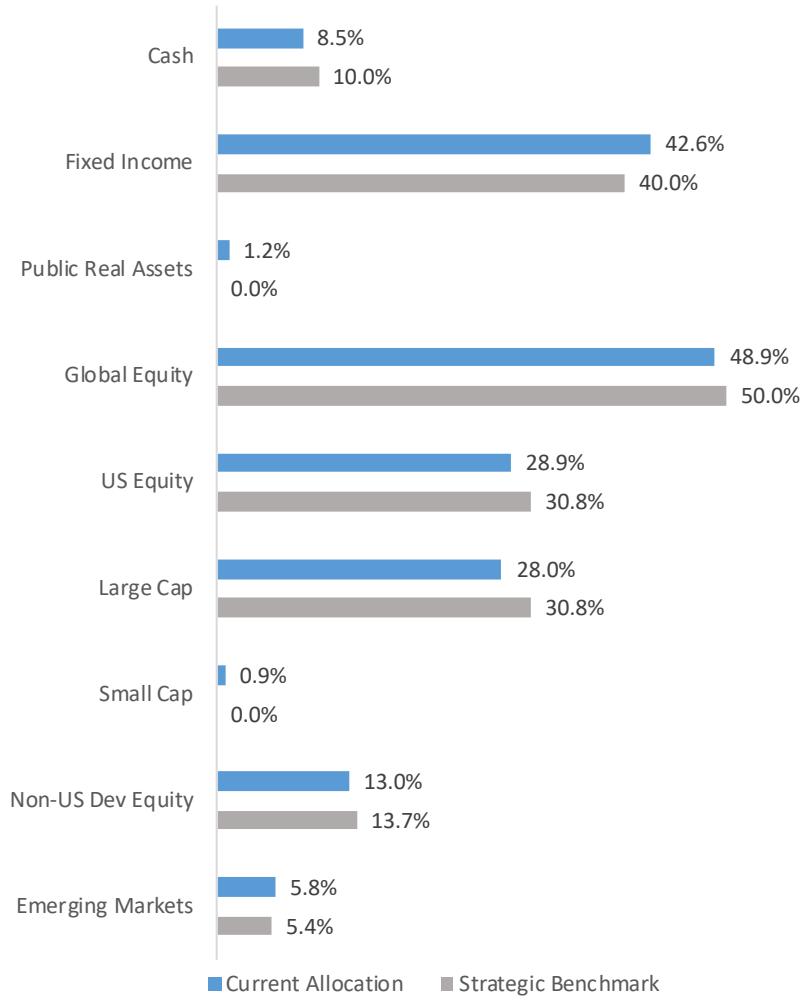
Global Equity  
Fixed Income  
Real Assets  
Cash  
Client Benchmark

MSCI ACWI Index  
Barclays US Aggregate Index  
Bloomberg Commodity Index  
1-3 Month US Treasury Bill  
50% MSCI ACWI Index, 40% Barclays US Aggregate Index, 10% Barclays 1-3 Month Treasury

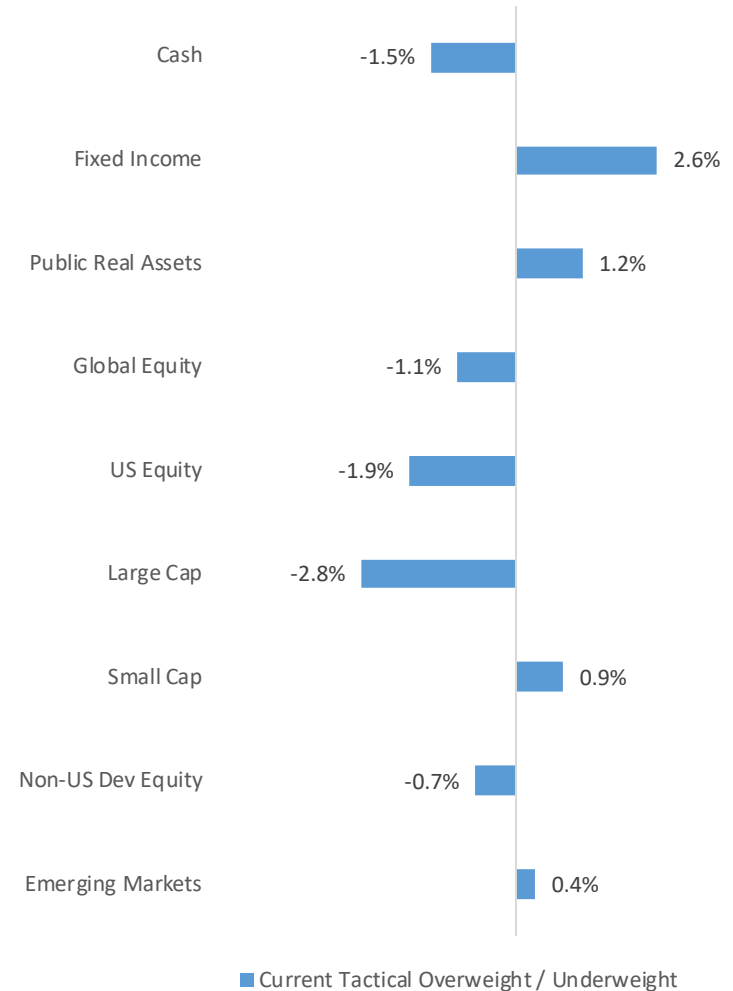
# Harpowell Sample Client Allocation Analysis

As of December 31, 2022

## Portfolio Level Allocation



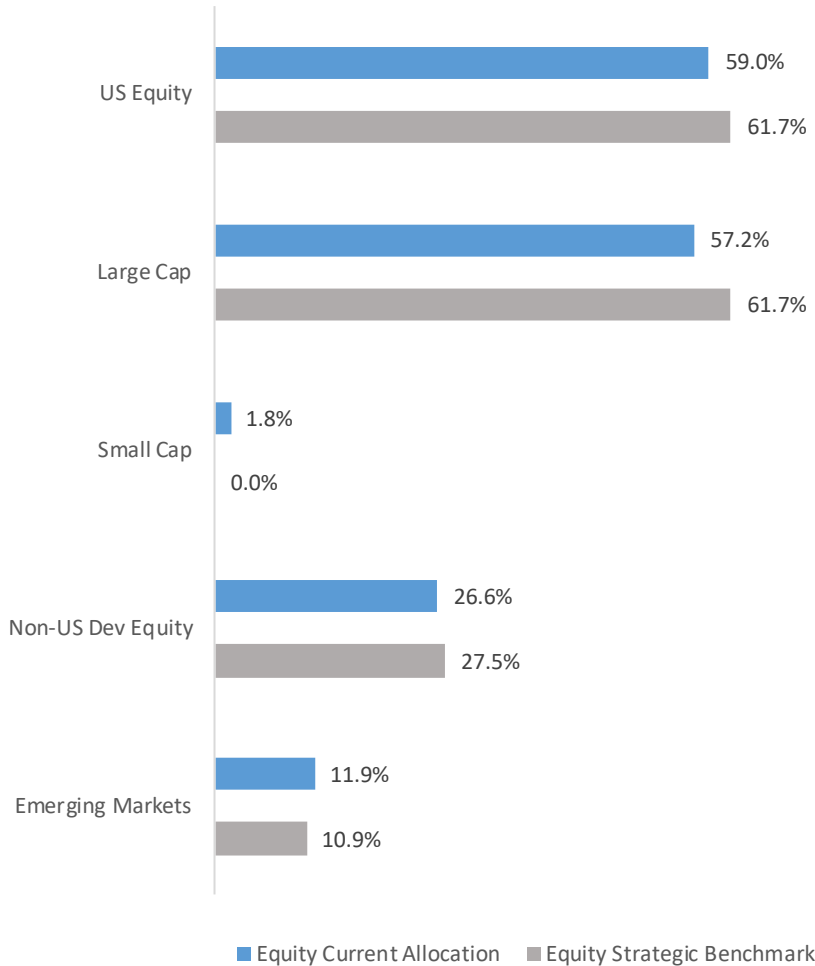
## Portfolio Level Tactical Positions



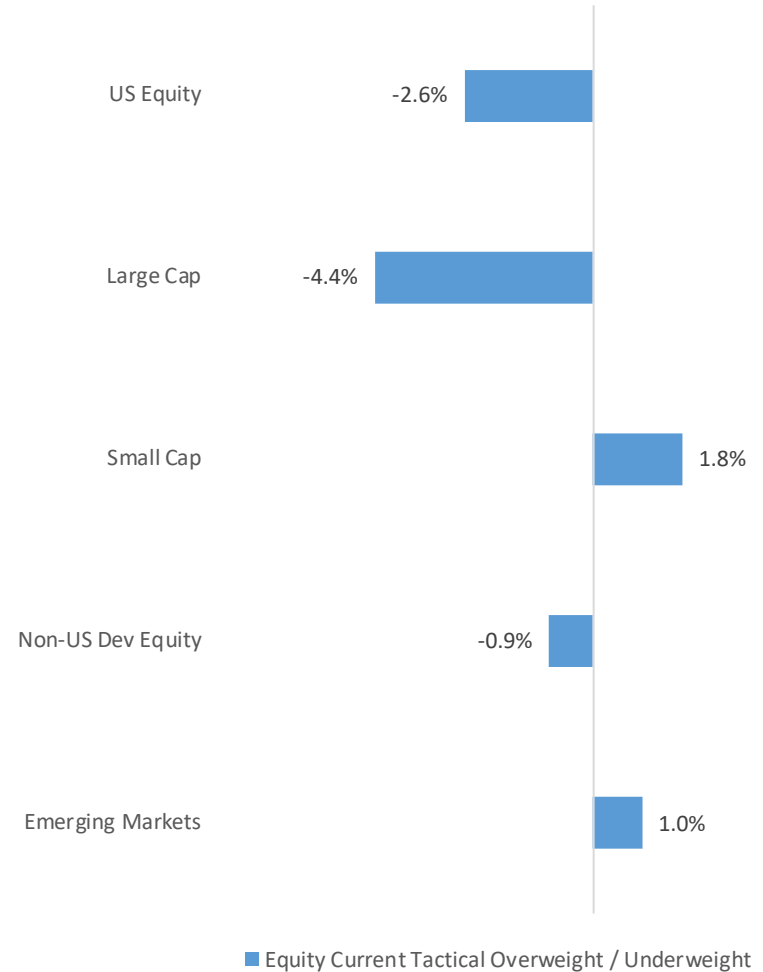
# Harpowell Sample Client Allocation Analysis

As of December 31, 2022

## Equity Level Allocation



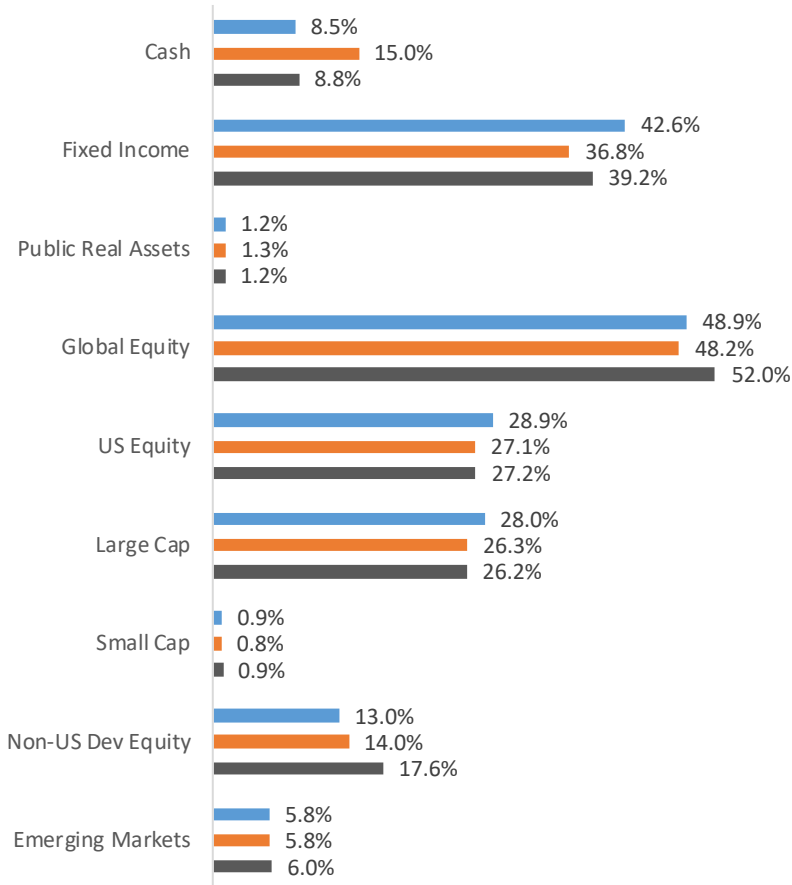
## Equity Level Tactical Positions



# Harpowell Sample Client Allocation Analysis

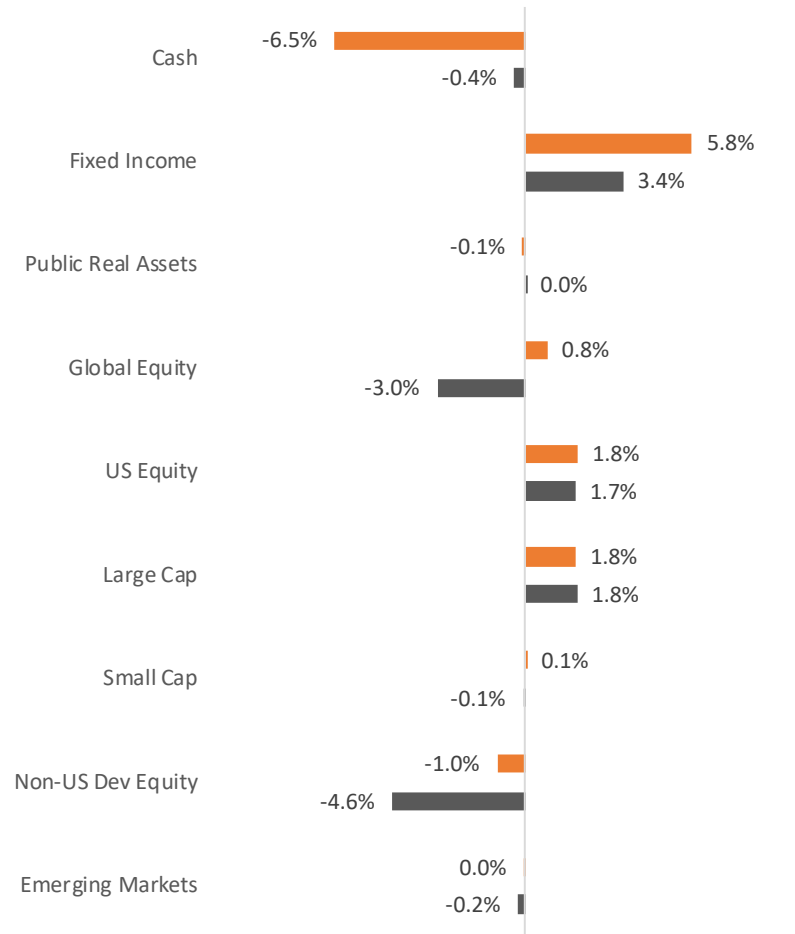
As of December 31, 2022

## Portfolio Level Allocation Changes



■ Current Allocation ■ Beginning of Quarter Allocation ■ Beginning of Year Allocation

## Portfolio Level Tactical Changes

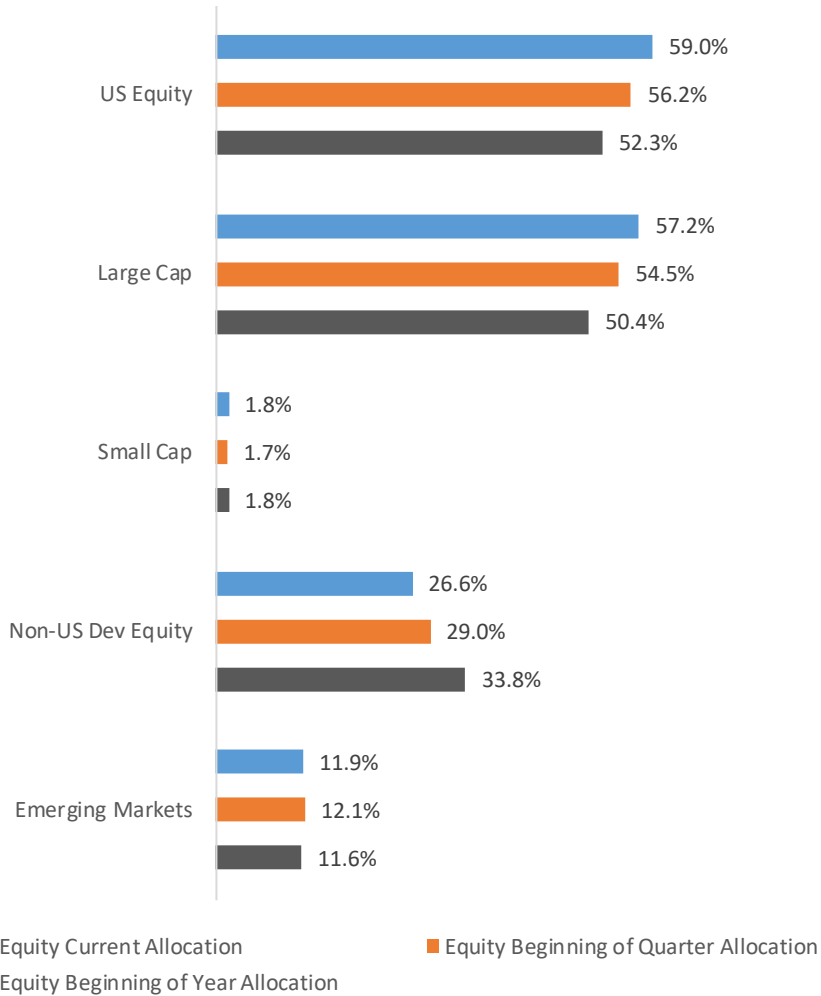


■ QTD Tactical Changes ■ YTD Tactical Changes

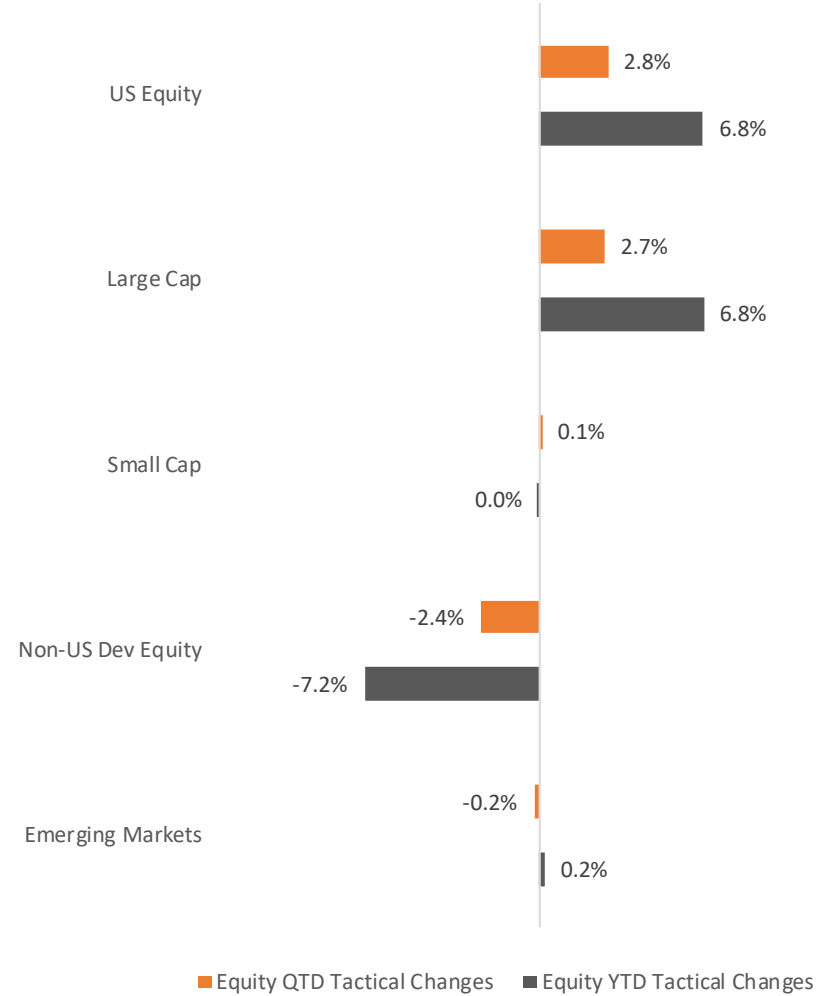
# Harpowell Sample Client Allocation Analysis

As of December 31, 2022

## Equity Level Allocation Changes



## Equity Level Tactical Changes



# Harpowell Sample Client Portfolio Trade Activity

As of December 31, 2022

Date	Description	Product	Ticker	Amount	Units	Price
01/05/2022	Sell Exchange	FNMA 2.00% 1/5/2022	31xxxxS38	\$ (50,000)	(50,000)	\$ 1.00
01/27/2022	Sell Exchange	DFA United Kingdom Small Co	DFUKX	\$ (73,056)	(2,596)	\$ 28.14
01/27/2022	Sell Exchange	Matthews India Instl	MIDNX	\$ (32,912)	(1,194)	\$ 27.56
01/27/2022	Sell Exchange	Vanguard Global Minimum Volatility Admiral	VMNVX	\$ (45,975)	(1,648)	\$ 27.90
01/27/2022	Buy Exchange	Matthews Asia Growth Fund Institutional	MIAPX	\$ 25,000	934	\$ 26.76
01/27/2022	Buy Exchange	Vanguard FTSE Social Index Adm	VFTAX	\$ 100,025	2,432	\$ 41.13
01/27/2022	Buy Exchange	WCM Focused Emerging Markets Fund Institutional	WCMEX	\$ 35,015	2,055	\$ 17.04
01/27/2022	Buy Exchange	WCM Focused International Growth Instl	WCMIX	\$ 75,015	3,231	\$ 23.22
03/11/2022	Sell Exchange	FHLB 2.25% 3/11/2022	31xxxxCR0	\$ (25,000)	(25,000)	\$ 1.00
03/11/2022	Sell Exchange	FHLB 2.50% 3/11/2022	31xxxxWG2	\$ (25,000)	(25,000)	\$ 1.00
<b>04/28/2022</b>	<b>Client Contribution</b>	<b>US Dollars</b>	<b>USD999997</b>	<b>\$ 58,500</b>	<b>58,500</b>	<b>\$ 1.00</b>
10/06/2022	Buy Exchange	FHLB Bond 4.75% 4/26/2024	31xxxxHL4	\$ 49,996	50,008	\$ 1.00
10/06/2022	Buy Exchange	US Treasury Note 2.50% 1/31/2024	91xxxx5Z9	\$ 97,670	100,008	\$ 0.98
10/06/2022	Buy Exchange	US Treasury Nts 1.625% 10/31/2023	91xxxxT91	\$ 97,280	100,008	\$ 0.97
10/07/2022	Sell Exchange	Guggenheim Ultra Short Duration A	GIYAX	\$ (43,241)	(4,509)	\$ 9.59
10/07/2022	Sell Exchange	PIMCO Short-Term Instl	PTSHX	\$ (145,859)	(15,241)	\$ 9.57
11/16/2022	Buy Exchange	US Treasury Note 2.25% 12/31/2023	91xxxxV23	\$ 73,105	75,000	\$ 0.97
11/22/2022	Buy Exchange	US Treasury Note 2.25% 3/31/2024	91xxxxEG2	\$ 13,557	14,000	\$ 0.97
12/20/2022	Sell Exchange	DFA Short Term Extended Quality	DFEQX	\$ (12,010)	(1,189)	\$ 10.10
12/20/2022	Sell Exchange	DFA Short-Term Govt	DFFGX	\$ (76,307)	(7,810)	\$ 9.77
12/20/2022	Sell Exchange	Vanguard Global Minimum Volatility Admiral	VMNVX	\$ (95,551)	(3,573)	\$ 26.74
12/20/2022	Buy Exchange	PIMCO Total Return Instl	PTTRX	\$ 100,025	11,550	\$ 8.66
12/20/2022	Buy Exchange	Vanguard Mega Cap Val	MGV	\$ 81,765	800	\$ 102.20



# Harpowell Sample Client Portfolio Holdings

As of December 31, 2022

<u>Asset</u>	<u>Market Value</u>	<u>% of Asset Category</u>	<u>% of Portfolio</u>	<u>Yield</u>
<b>Equity</b>				
<b>Global</b>				
iShares MSCI Global Impact	\$ 1,736,020	8.0%	3.8%	1.8%
JOHCM Regnan Global Equity Impact Solutions - Institutional Shares	\$ 1,596,920	7.4%	3.5%	0.0%
<b>Global Funds Total</b>	<b>\$ 3,332,940</b>	<b>15.4%</b>	<b>7.3%</b>	<b>0.9%</b>
<b>US Large Cap</b>				
Vanguard ESG US Stock	\$ 6,375,248	29.4%	14.0%	1.4%
Parnassus Endeavor Instl	\$ 2,079,937	9.6%	4.6%	1.5%
Vanguard FTSE Social Index Adm	\$ 1,858,740	8.6%	4.1%	1.3%
Vanguard Mega Cap Val	\$ 1,810,160	8.3%	4.0%	2.5%
<b>US Large Cap Total</b>	<b>\$ 12,124,085</b>	<b>55.9%</b>	<b>26.7%</b>	<b>1.6%</b>
<b>Int'l Developed</b>				
WCM Focused International Growth Instl	\$ 2,622,992	12.1%	5.8%	0.0%
Vanguard ESG International Stock ETF	\$ 1,947,924	9.0%	4.3%	2.6%
<b>International Developed Total</b>	<b>\$ 4,570,916</b>	<b>21.1%</b>	<b>10.1%</b>	<b>1.1%</b>
<b>Emerging Market</b>				
Matthews Asia Growth Fund Institutional	\$ 631,778	2.9%	1.4%	0.0%
WCM Focused Emerging Markets Fund Institutional	\$ 582,019	2.7%	1.3%	0.5%
Matthews Emerging Markets Small Companies Fund Instl	\$ 324,903	1.5%	0.7%	0.6%
Matthews Asia Esg Instl	\$ 122,632	0.6%	0.3%	0.5%
<b>Emerging Market Total</b>	<b>\$ 1,661,331</b>	<b>7.7%</b>	<b>3.7%</b>	<b>0.3%</b>
<b>Equity Total</b>	<b>\$ 21,689,272</b>	<b>100.0%</b>	<b>47.7%</b>	<b>1.3%</b>
<b>Real Assets</b>				
Vanguard Short-Term Inflation Protected Secs Index	\$ 561,626	100.0%	1.2%	6.0%
<b>Real Assets Total</b>	<b>\$ 561,626</b>	<b>100.0%</b>	<b>1.2%</b>	<b>6.0%</b>

# Harpowell Sample Client Portfolio Holdings

As of December 31, 2022

<u>Asset</u>	<u>Market Value</u>	<u>% of Asset</u>	<u>% of</u> <u>Portfolio</u>	<u>Yield</u>
<b><u>Fixed Income</u></b>				
<b>Core Bonds</b>				
Guggenheim Total Return Bond Fund I	\$ 2,714,117	14.0%	6.0%	3.9%
PIMCO Total Return Instl	\$ 2,149,192	11.1%	4.7%	3.1%
Vanguard Total Bond Market Index Adm	\$ 2,095,355	10.8%	4.6%	2.5%
Vanguard Core Bond Fund Admiral Shares	\$ 1,207,976	6.2%	2.7%	2.9%
JP Morgan Core Bond Select	\$ 467,570	2.4%	1.0%	3.1%
Metropolitan West Total Return Bond I	\$ 455,311	2.4%	1.0%	2.9%
<b>Core Bonds Total</b>	<b>\$ 9,089,520</b>	<b>46.9%</b>	<b>20.0%</b>	<b>3.2%</b>
<b>Laddered Bonds</b>				
US Treasury Note 2.50% 1/31/2024	\$ 2,148,432	11.1%	4.7%	2.6%
US Treasury Nts 1.625% 10/31/2023	\$ 2,145,440	11.1%	4.7%	1.4%
FNMA 2.625% 9/6/2024	\$ 2,135,342	11.0%	4.7%	2.7%
US Treasury Note 2.25% 12/31/2023	\$ 1,609,658	8.3%	3.5%	2.3%
FHLB Bond 4.75% 4/26/2024	\$ 1,093,598	5.6%	2.4%	4.8%
Andrew W Mellon Fndtn 0.947% 8/1/2027	\$ 845,064	4.4%	1.9%	1.1%
US Treasury Note 2.25% 3/31/2024	\$ 298,929	1.5%	0.7%	2.3%
<b>Short Term Laddered Bonds Total</b>	<b>\$ 10,276,463</b>	<b>53.1%</b>	<b>22.6%</b>	<b>2.4%</b>
<b>Fixed Income Total</b>	<b>\$ 19,365,983</b>	<b>100.0%</b>	<b>42.6%</b>	<b>2.8%</b>
<b><u>Cash</u></b>				
Vanguard Admiral US Treasury Money Market	\$ 3,410,000	88.7%	7.5%	4.0%
Pershing Money Market	\$ 435,853	11.3%	1.0%	0.0%
US Dollars	\$ -	0.0%	0.0%	0.0%
<b>Cash Total</b>	<b>\$ 3,845,853</b>	<b>100.0%</b>	<b>8.5%</b>	<b>3.5%</b>
<b>Portfolio Total</b>	<b>\$ 45,462,735</b>			<b>2.2%</b>

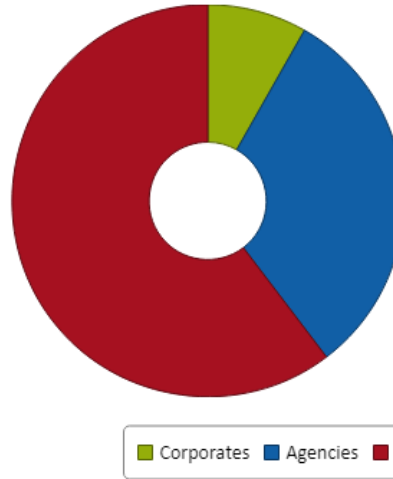
# Harpowell Sample Client – Endowment-1632

As of December 31, 2022

## Summary

Total Par Value	\$484,000.00
Total Principal Value	\$467,111.95
Principal + Accrued	\$470,030.28
12 Month Income	\$11,553.65
Est. YTW	4.722%
Est. YTM	4.722%
Avg Rating	Aaa / AAA
Avg Coupon	2.387%
Avg Maturity	1.49
Avg Duration	1.43
Avg Redemp Date Yrs	1.34
Avg Price	\$96.51

## Debt Type



## Details - Top Items

Par	%	Description	Type
100	20.7%	UNITED STA 1.63% 10/31/2023	TREA
100	20.7%	UNITED STA 2.50% 01/31/2024	TREA
100	20.7%	FEDERAL NA 2.63% 09/06/2024	GOVT
75	15.5%	UNITED STA 2.25% 12/31/2023	TREA
50	10.3%	FEDERAL HO 4.75% 04/26/2024	GOVT
45	9.3%	THE ANDREW 0.95% 08/01/2027	CORP
14	2.9%	UNITED STA 2.25% 03/31/2024	TREA

484 100% Total

## Expected Cash Flow

	Jan	Feb	Mar	Apr	Total	2023	2024	2025
Muni Income	\$0	\$0	\$0	\$0	\$	\$0	\$0	\$0
Taxable Income	\$1,250	\$213	\$1,470	\$2,000	\$	\$11,554	\$5,646	\$426
Principal	\$0	\$0	\$0	\$0	\$	\$175,000	\$264,000	\$0
<b>Total</b>	<b>\$1,250</b>	<b>\$213</b>	<b>\$1,470</b>	<b>\$2,000</b>	<b>\$</b>	<b>\$186,554</b>	<b>\$269,646</b>	<b>\$426</b>

# Harpowell Sample Client Portfolio Analytics

As of December 31, 2022

## Top Holdings



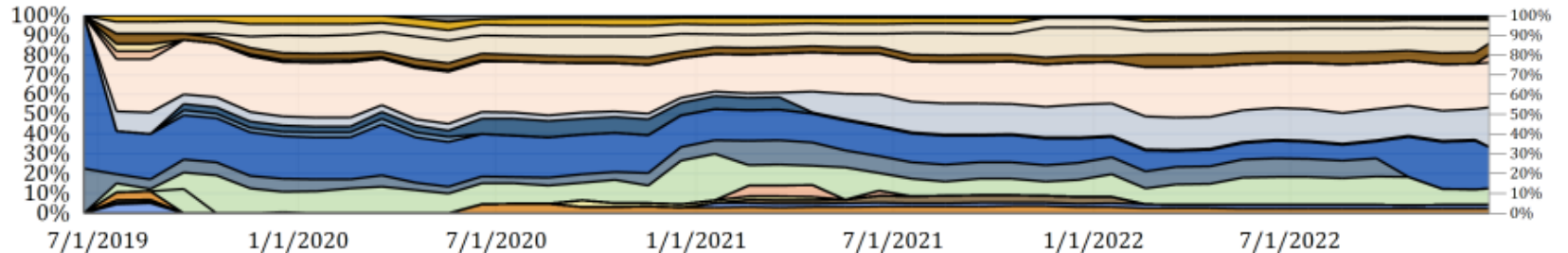
### Asset Class

- Emerging Asia
- Intermediate Corp Bonds
- CASH - Taxable
- Short Term Gov't
- Aggregate Bond Index
- Large Cap Equities
- Russell Large Value Index
- International Developed
- MSCI World
- MSCI World ex US

### Top 10 Securities

Top 10 Securities	Allocation
Vanguard ESG US Stock	14.00 %
Vanguard Admiral US Treasury Money Market	7.49 %
Guggenheim Total Return Bond Fund I	5.96 %
WCM Focused International Growth Instl	5.76 %
US Treasury Note 2.50% 1/31/2024	4.77 %
FNMA 2.625% 9/6/2024	4.73 %
US Treasury Nts 1.625% 10/31/2023	4.73 %
PIMCO Total Return Instl	4.72 %
Vanguard Total Bond Market Index Adm	4.60 %
Parnassus Endeavor Instl	4.57 %

## Allocation Over Time for the period 6/18/2019 to 12/31/2022

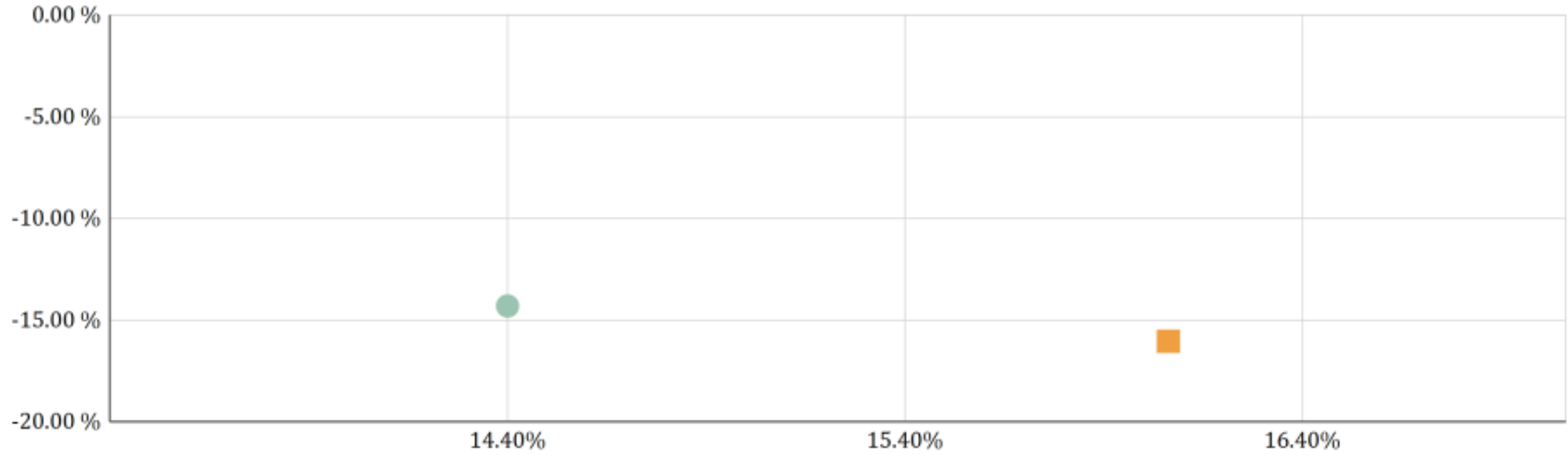


- Emerging Asia
- S&P MidCap 400
- Short Aggregate Bond Index
- International Developed
- Intermediate Corp Bonds
- CASH - Tax Exempt
- Aggregate Bond Index
- MSCI World
- International Small Cap Eq
- CASH - Taxable
- Large Cap Equities
- MSCI World ex US
- REITS
- Ultra Short Term Bonds
- Russell Large Value Index
- Emerging Markets Equity
- Russell Mid Cap Value
- Short Term Gov't
- Russell 2000 Index
- Real Assets
- Russell MidCap Growth
- Short Term Corporates
- Russell Small Value

# Harpowell Sample Client Portfolio Analytics

As of December 31, 2022

## Risk and Return



	Return	Std Dev
● Sample Client	-14.30 %	14.40 %

Benchmark	Return	Std Dev
■ Client Benchmark	-16.03 %	16.06 %

Return and Standard Deviation Year-to-Date

# Harpowell Sample Client Portfolio Analytics

As of December 31, 2022

## Asset Allocation | Holdings Detail >>



	Long%	Short%	Net%
Cash	11	-4	7
U.S. Stocks	30	0	30
Foreign Stocks	17	0	17
Bonds	48	-2	46
Other	1	-1	0
Not Classified	0	0	0
<b>Total</b>	<b>107</b>	<b>-7</b>	<b>100</b>

## Stock Style Diversification | Holdings Detail >>

### Valuation

Value Core Growth

	Value	Core	Growth	Size
	14	26	34	Large
	6	9	8	Med
	1	2	2	Small

### Interest Rate Sensitivity

Ltd Mod Ext

	Ltd	Mod	Ext	Credit Quality
	45	15	0	High
	0	32	0	Med
	0	0	0	Low

Not Classified 0.00%

Not Classified 7.74%

## Stock Type | Holdings Detail >>

	Portfolio (% of Domestic Stocks)	S&P 500 (%)
High Yield	1.69	1.29
Distressed	0.33	0.24
Hard Asset	3.72	7.62
Cyclical	38.52	42.95
Slow Growth	6.64	8.52
Classic Growth	14.81	16.93
Aggressive Growth	15.09	19.19
Speculative Growth	2.34	0.94
Not Classified	16.85	2.32

## Stock Sector | Holdings Detail >>

	Portfolio (% of Stocks)	S&P 500 (%)
<b>Cyclical</b>	<b>31.63</b>	<b>29.09</b>
Basic Materials	3.39	2.40
Consumer Cyclical	10.28	10.17
Financial Services	14.34	13.75
Real Estate	3.62	2.76
<b>Sensitive</b>	<b>38.53</b>	<b>45.18</b>
Communication Services	5.23	7.46
Energy	0.81	5.13
Industrials	12.63	8.86
Technology	19.86	23.74
<b>Defensive</b>	<b>29.85</b>	<b>25.72</b>
Consumer Defensive	8.32	7.40
Healthcare	20.35	15.32
Utilities	1.17	3.00



# Harpowell Sample Client Portfolio Analytics

As of December 31, 2022

## SMCC Portfolio

Underlying Stocks Holdings	Ticker Symbol	% of Portfolio Net Assets
<b>Apple Inc</b>	<b>AAPL</b>	<b>1.35</b>
Vanguard ESG US Stock ETF	ESGV	0.97
Vanguard FTSE Social Index Admiral	VFTAX	0.32
Parnassus Value Equity Institutional	PPFWX	0.07
<b>Microsoft Corp</b>	<b>MSFT</b>	<b>1.18</b>
Vanguard ESG US Stock ETF	ESGV	0.82
Vanguard FTSE Social Index Admiral	VFTAX	0.27
Parnassus Value Equity Institutional	PPFWX	0.09
<b>Novo Nordisk A/S Class B</b>	<b>NOVO B</b>	<b>0.56</b>
WCM Focused International Growth Instl	WCMIX	0.23
Regnan Global Equity Impact Sol Instl	REGIX	0.20
iShares MSCI Global Impact ETF	SDG	0.08
Vanguard ESG International Stock ETF	VSGX	0.04
<b>Amazon.com Inc</b>	<b>AMZN</b>	<b>0.49</b>
Vanguard ESG US Stock ETF	ESGV	0.37
Vanguard FTSE Social Index Admiral	VFTAX	0.12
<b>Merck &amp; Co Inc</b>	<b>MRK</b>	<b>0.45</b>
Parnassus Value Equity Institutional	PPFWX	0.21
Vanguard ESG US Stock ETF	ESGV	0.12
Vanguard Mega Cap Value ETF	MGV	0.08
Vanguard FTSE Social Index Admiral	VFTAX	0.04
<b>UnitedHealth Group Inc</b>	<b>UNH</b>	<b>0.43</b>
Vanguard ESG US Stock ETF	ESGV	0.22
Vanguard Mega Cap Value ETF	MGV	0.14
Vanguard FTSE Social Index Admiral	VFTAX	0.07
<b>Accenture PLC Class A</b>	<b>ACN</b>	<b>0.42</b>
WCM Focused International Growth Instl	WCMIX	0.22
Parnassus Value Equity Institutional	PPFWX	0.09
Vanguard ESG US Stock ETF	ESGV	0.08
Vanguard FTSE Social Index Admiral	VFTAX	0.03
<b>Alphabet Inc Class A</b>	<b>GOOGL</b>	<b>0.40</b>
Vanguard ESG US Stock ETF	ESGV	0.24
Vanguard FTSE Social Index Admiral	VFTAX	0.09
Parnassus Value Equity Institutional	PPFWX	0.08
<b>Eli Lilly and Co</b>	<b>LLY</b>	<b>0.34</b>
Vanguard ESG US Stock ETF	ESGV	0.13
Vanguard Mega Cap Value ETF	MGV	0.09
iShares MSCI Global Impact ETF	SDG	0.08
Vanguard FTSE Social Index Admiral	VFTAX	0.04
<b>JPMorgan Chase &amp; Co</b>	<b>JPM</b>	<b>0.34</b>
Vanguard ESG US Stock ETF	ESGV	0.17
Vanguard Mega Cap Value ETF	MGV	0.11
Vanguard FTSE Social Index Admiral	VFTAX	0.06
<b>Alphabet Inc Class C</b>	<b>GOOG</b>	<b>0.33</b>
Vanguard ESG US Stock ETF	ESGV	0.25
Vanguard FTSE Social Index Admiral	VFTAX	0.08

## Benchmark

Underlying Stocks Holdings	Ticker Symbol	% of Portfolio Net Assets
<b>Apple Inc</b>	<b>AAPL</b>	<b>1.77</b>
iShares MSCI ACWI ETF	ACWI	1.77
<b>Microsoft Corp</b>	<b>MSFT</b>	<b>1.50</b>
iShares MSCI ACWI ETF	ACWI	1.50
<b>Amazon.com Inc</b>	<b>AMZN</b>	<b>0.69</b>
iShares MSCI ACWI ETF	ACWI	0.69
<b>Alphabet Inc Class A</b>	<b>GOOGL</b>	<b>0.47</b>
iShares MSCI ACWI ETF	ACWI	0.47
<b>Alphabet Inc Class C</b>	<b>GOOG</b>	<b>0.44</b>
iShares MSCI ACWI ETF	ACWI	0.44
<b>UnitedHealth Group Inc</b>	<b>UNH</b>	<b>0.43</b>
iShares MSCI ACWI ETF	ACWI	0.43
<b>Johnson &amp; Johnson</b>	<b>JNJ</b>	<b>0.41</b>
iShares MSCI ACWI ETF	ACWI	0.41
<b>Exxon Mobil Corp</b>	<b>XOM</b>	<b>0.39</b>
iShares MSCI ACWI ETF	ACWI	0.39
<b>Berkshire Hathaway Inc Class B</b>	<b>BRK.B</b>	<b>0.35</b>
iShares MSCI ACWI ETF	ACWI	0.35
<b>JPMorgan Chase &amp; Co</b>	<b>JPM</b>	<b>0.35</b>
iShares MSCI ACWI ETF	ACWI	0.35
<b>Procter &amp; Gamble Co</b>	<b>PG</b>	<b>0.32</b>
iShares MSCI ACWI ETF	ACWI	0.32
<b>Taiwan Semiconductor Manufacturing Co Ltd</b>	<b>2330</b>	<b>0.32</b>
iShares MSCI ACWI ETF	ACWI	0.32
<b>NVIDIA Corp</b>	<b>NVDA</b>	<b>0.31</b>
iShares MSCI ACWI ETF	ACWI	0.31
<b>Visa Inc Class A</b>	<b>V</b>	<b>0.30</b>
iShares MSCI ACWI ETF	ACWI	0.30
<b>Chevron Corp</b>	<b>CVX</b>	<b>0.29</b>
iShares MSCI ACWI ETF	ACWI	0.29
<b>The Home Depot Inc</b>	<b>HD</b>	<b>0.28</b>
iShares MSCI ACWI ETF	ACWI	0.28
<b>Nestle SA</b>	<b>NESN</b>	<b>0.28</b>
iShares MSCI ACWI ETF	ACWI	0.28
<b>Mastercard Inc Class A</b>	<b>MA</b>	<b>0.26</b>
iShares MSCI ACWI ETF	ACWI	0.26
<b>Eli Lilly and Co</b>	<b>LLY</b>	<b>0.26</b>
iShares MSCI ACWI ETF	ACWI	0.26
<b>AbbVie Inc</b>	<b>ABBV</b>	<b>0.25</b>
iShares MSCI ACWI ETF	ACWI	0.25
<b>Tesla Inc</b>	<b>TSLA</b>	<b>0.25</b>
iShares MSCI ACWI ETF	ACWI	0.25
<b>Pfizer Inc</b>	<b>PFE</b>	<b>0.25</b>
iShares MSCI ACWI ETF	ACWI	0.25

Source:  
Morningstar

# Harpowell Sample Client Portfolio Analytics

As of December 31, 2022

## Stock Statistics

	Portfolio	Relative to S&P 500		Portfolio	Relative to S&P 500
Forward P/E Ratio	17.01	0.95	5-Yr Proj EPS Growth %	12.49	1.07
P/B Ratio	2.64	0.77	Dividend Yield %	1.94	1.24
ROA	9.14	0.80	Average Market Cap \$mil	57,299.26	0.33
ROE	25.79	0.82			

## Fees & Expenses

Average Mutual Fund Expense Ratio %	0.37	Estimated Mutual Fund Expense \$	5,893.19
Expense Ratio of Similarly Weighted Hypothetical Portfolio %	0.66	Total Sales Charge Paid \$	0.00

## Regional Exposure

	% of Stocks		% of Stocks		% of Stocks
North America	66.16	Europe Emerging	0.13	Asia Developed	◆ 3.43
Latin America	1.51	Africa/Middle East	0.47	Asia Emerging	◆ 6.84
United Kingdom	◆ 2.34	Japan	4.56	Not Classified	0.00
Europe Developed	13.43	Australasia	1.11		

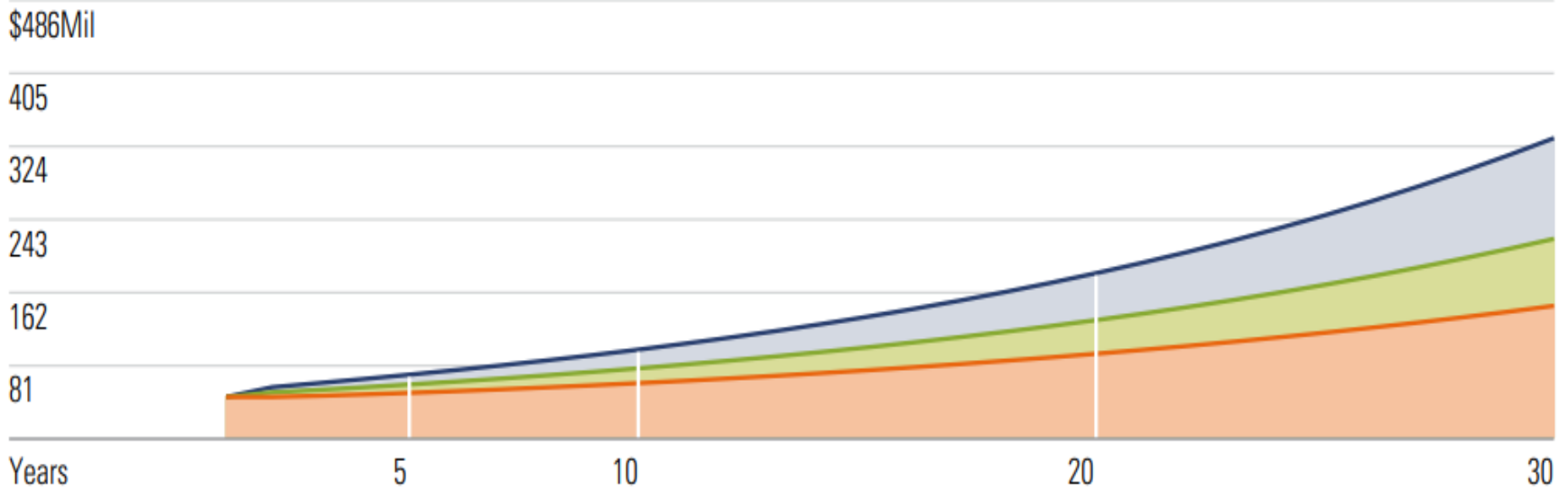
### Regional Exposure

- ◆ Over Exposure
- ◇ Under Exposure

# Harpowell Sample Client Portfolio Analytics

As of December 31, 2022

## Potential Value in 5, 10, 20 and 30 Years

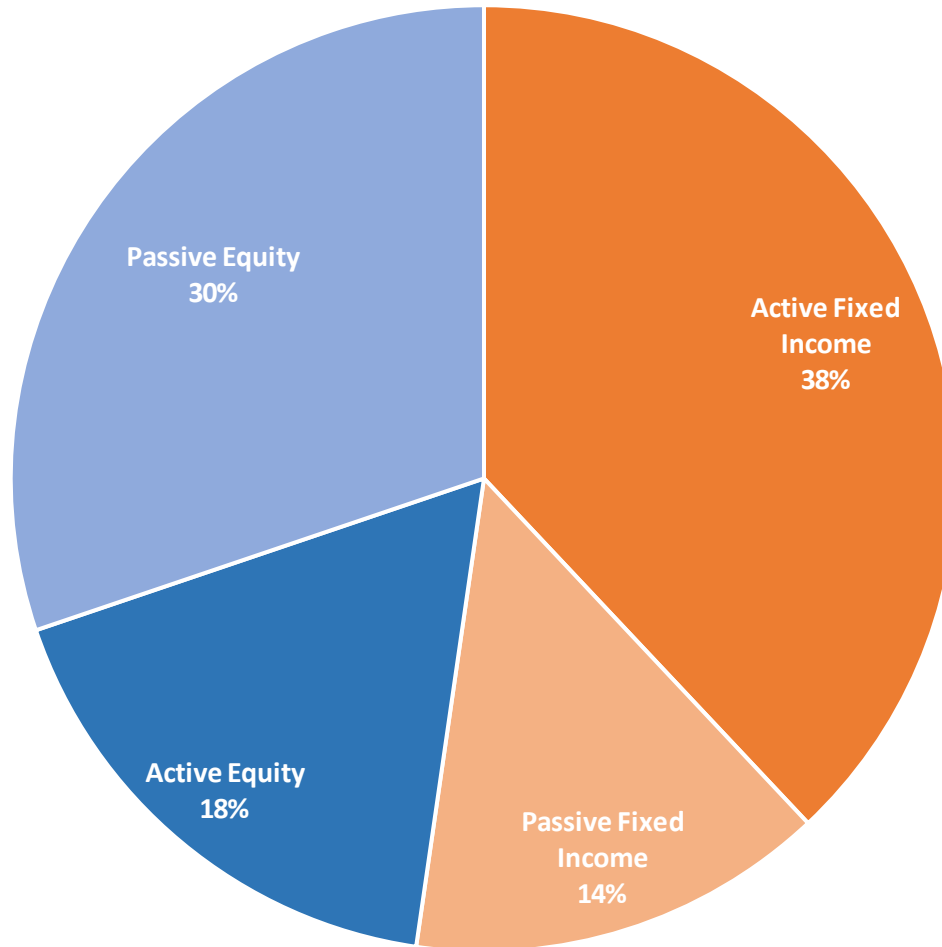


● 75% Chance	50,938,115	61,700,230	94,259,626	147,307,030
● 50% Chance	60,169,519	78,087,595	131,520,380	221,515,471
● 25% Chance	71,073,911	98,827,386	183,510,281	333,107,685

# Harpowell Sample Client Portfolio: 32% Passive

As of December 31, 2022

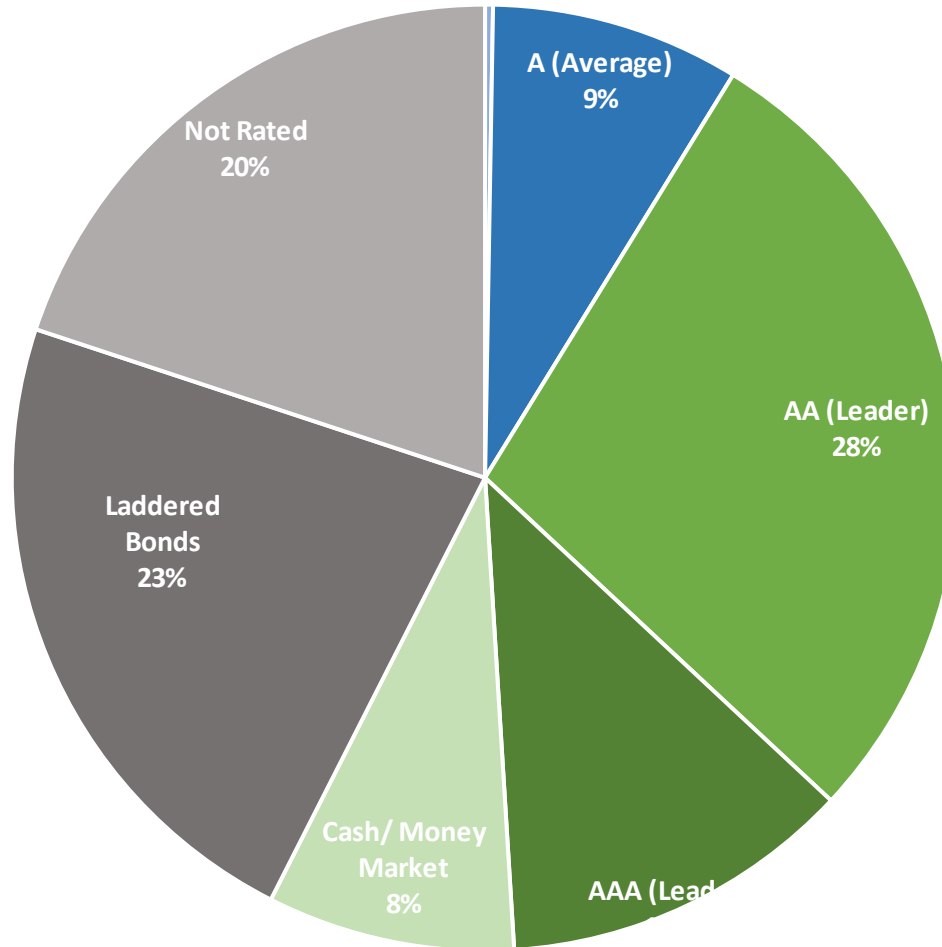
Active vs. Passive Allocations



# Harpowell Sample Client ESG Analysis - MSCI

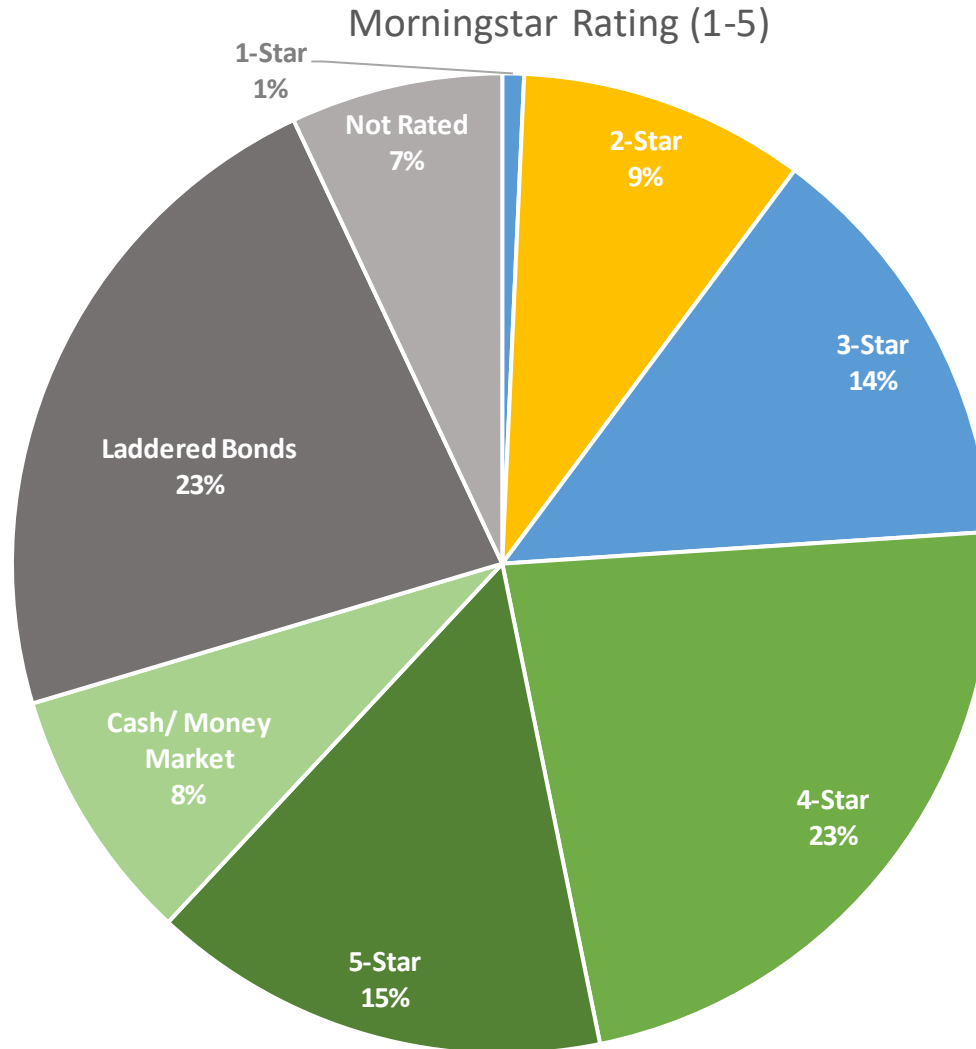
As of December 31, 2022

MSCI Rating (CCC - AAA)



# Harpowell Sample Client ESG Analysis - Morningstar

As of December 31, 2022





# Harpowell Sample Client ESG Analysis

As of December 31, 2022

ESG-Rated Equity Funds	Value	%	MSCI Rating (CCC - AAA)	Morningstar Rating (1-5)
Vanguard ESG US Stock	\$ 6,375,248	14.0%	AA (Leader)	4-Star
WCM Focused International Growth Instl	\$ 2,622,992	5.8%	AA (Leader)	5-Star
Parnassus Endeavor Instl	\$ 2,079,937	4.6%	AAA (Leader)	5-Star
Vanguard FTSE Social Index Adm	\$ 1,858,740	4.1%	AA (Leader)	4-Star
Vanguard ESG International Stock ETF	\$ 1,947,924	4.3%	AA (Leader)	3-Star
Vanguard Mega Cap Val	\$ 1,810,160	4.0%	AAA (Leader)	2-Star
iShares MSCI Global Impact	\$ 1,736,020	3.8%	Not Rated	2-Star
JOHCM Regnan Global Equity Impact Solutions - Institutional Shares	\$ 1,596,920	3.5%	AAA (Leader)	5-Star
Matthews Asia Growth Fund Institutional	\$ 631,778	1.4%	A (Average)	2-Star
WCM Focused Emerging Markets Fund Institutional	\$ 582,019	1.3%	A (Average)	5-Star
Matthews Emerging Markets Small Companies Fund Instl	\$ 324,903	0.7%	Not Rated	1-Star
Matthews Asia Esg Instl	\$ 122,632	0.3%	BBB (Average)	2-Star
<b>Total ESG-Rated Equity</b>	<b>\$ 21,689,272</b>	<b>47.7%</b>		
ESG Rated Fixed Income	Value	%		
PIMCO Total Return Instl	\$ 2,149,192	4.7%	Not Rated	4-Star
Vanguard Total Bond Market Index Adm	\$ 2,095,355	4.6%	A (Average)	3-Star
Vanguard Core Bond Fund Admiral Shares	\$ 1,207,976	2.7%	Not Rated	3-star
Vanguard Short-Term Inflation Protected Secs Index	\$ 561,626	1.2%	A (Average)	3-Star
JP Morgan Core Bond Select	\$ 467,570	1.0%	Not Rated	Not Rated
Metropolitan West Total Return Bond I	\$ 455,311	1.0%	Not Rated	3-Star
<b>Total ESG-Rated Fixed Income</b>	<b>\$ 6,937,030</b>	<b>15.3%</b>		
<b>Total ESG Rated Portfolio</b>	<b>\$ 28,626,302</b>	<b>63.0%</b>	<b>AA (Leader)</b>	<b>4-Star</b>
Unrated Holdings	Value	%		
Guggenheim Total Return Bond Fund I	\$ 2,714,117	6.0%	Not Rated	Not Rated
Vanguard Admiral US Treasury Money Market	\$ 3,410,000	7.5%	Cash/ Money Market	Cash/ Money Market
Pershing Money Market	\$ 435,853	1.0%	Cash/ Money Market	Cash/ Money Market
US Treasury Note 2.50% 1/31/2024	\$ 2,148,432	4.7%	Laddered Bonds	Laddered Bonds
US Treasury Nts 1.625% 10/31/2023	\$ 2,145,440	4.7%	Laddered Bonds	Laddered Bonds
FNMA 2.625% 9/6/2024	\$ 2,135,342	4.7%	Laddered Bonds	Laddered Bonds
US Treasury Note 2.25% 12/31/2023	\$ 1,609,658	3.5%	Laddered Bonds	Laddered Bonds
FHLB Bond 4.75% 4/26/2024	\$ 1,093,598	2.4%	Laddered Bonds	Laddered Bonds
Andrew W Mellon Fndtn 0.947% 8/1/2027	\$ 845,064	1.9%	Laddered Bonds	Laddered Bonds
US Treasury Note 2.25% 3/31/2024	\$ 298,929	0.7%	Laddered Bonds	Laddered Bonds
<b>Total Unrated</b>	<b>\$ 16,836,433</b>	<b>37.0%</b>		
<b>Total Portfolio</b>	<b>\$ 45,462,735</b>	<b>100.0%</b>		

# Disclosure

## General

The information contained herein regarding Harpswell Capital Advisors is confidential and proprietary and intended only for use by the recipient. The information contained herein is not complete, and does not contain certain material information about alternative investments, including important disclosures and risk factors associated with an investment in these types of vehicles, and is subject to change without notice. This document is not intended to be, nor should it be construed or used as an offer to sell, or a solicitation of any offer to buy shares or limited partnership interests in any funds managed by Harpswell Capital Advisors. Neither the Securities and Exchange Commission nor any state securities administrator has approved or disapproved, passed on, or endorsed, the merits of these securities.

## Performance

The performance information herein has been prepared by or on behalf of Harpswell Capital Advisors, and has not been independently audited or verified except for certain year-end data. Investment returns may vary from the stated objectives so that investors may have a gain or a loss when they redeem their investment. As with any investment vehicle, risk of losses are possible and past performance cannot assure any level of future results. Investors should always refer to fund prospectuses or consult an investment manager prior to investing in funds. Proposed model performance has limitations inherent in model results in that it does not represent actual trading and may not reflect the impact that material economic and market factors might have had on the adviser's decision-making if the adviser were actually managing accounts. The adviser's clients may have had investment results materially different from the results portrayed in the model. Actual results portrayed may relate to a select group of adviser's clients, unless otherwise specified. Actual proportions to funds and asset classes will vary on a client by client basis to correspond with their Investment Policy Statement and may not match the proposed model allocations.

## Risks

Harpswell invests in stocks, bonds, mutual funds and sometimes alternative investments. Each asset class, along with each individual investment, carries varied degrees of risk of loss. Harpswell analyses investments from a long-term fundamental perspective and aims to engineer portfolios that have an attractive risk and reward balance. Despite a strong bias for diversification, all Harpswell portfolios do carry risks of losses, particularly in times of escalated market volatility. Harpswell does focus on capital preservation yet extraordinary markets can potentially generate material losses.

Our investment decisions and recommendations are based upon our professional judgment. We do not guarantee the results of any of our investment decisions or recommendations, the future performance of your Assets or Accounts, any specific level of performance, the success of any Independent Manager, investment decision, strategy or recommendation made by an Independent Manager, or the overall success of the Account. Past performance is not indicative of future results. Investments in your Account may go up or down in value depending on market conditions. Alternative investments are designed only for sophisticated investors who are able to bear the economic risk of losing all of their investment. Alternative investments: (1) often engage in leveraging and other speculative investment practices that may increase the risk of investment loss; (2) can be highly illiquid; (3) are not required to provide periodic pricing or valuation information to investors; (4) may involve complex tax structures and delays in distributing important tax information; (5) are not subject to the same regulatory requirements as mutual funds; and (6) often charge high fees.

## Current Information

Opinions expressed are current opinions as of the date appearing in this material only. While the data contained herein has been prepared from information that Harpswell Capital Advisors believes to be reliable, Harpswell Capital Advisors does not warrant the accuracy or completeness of such information.

## Use of Indices

Market index information shown herein, such as that of the S&P 500 Stock Index, is included to show relative market performance for the periods indicated and not as standards of comparison, since these are unmanaged, broadly based indices which differ in numerous respects from the portfolio composition of the Fund. Market index information was compiled from sources that Harpswell Capital Advisors believes to be reliable. No representation or guarantee is made hereby with respect to the accuracy or completeness of such data.

## Index Definitions

The S&P 500 Stock Index is an unmanaged market capitalization index of 500 US equities generally considered to be representative of US stock market activity. The Morgan Stanley Capital International World Index is a market capitalization-weighted equity index of over 1,500 stocks traded in 22 world markets. The NASDAQ Composite Index measures all NASDAQ domestic and non-U.S. based common stocks listed on the NASDAQ Stock Market. The Index is market value-weighted. The SB World Bond Index is a market capitalization weighted index of 18 Government bond markets composed of sovereign debt denominated in the domestic currency. The Lehman Aggregate Index is a benchmark index made up of the Lehman Brothers. The Hennessee Hedge Fund Indices® are calculated from performance data obtained from publicly available information, internally developed data and other third party sources believed to be reliable. MSCI EAFE is a stock market index that is commonly used as a benchmark for the performance of major international equity markets. The MSCI Emerging Market Index is an index created by Morgan Stanley Capital International (MSCI) that is designed to measure equity market performance in global emerging markets. The Russell 1000 seeks to track the investment results of an index composed of large- and mid-capitalization U.S. equities. The Russell 2000 seeks to track the investment results of an index composed of small-capitalization U.S. equities. The Russell 2500™ Index measures the performance of the small to mid-cap segment of the U.S. equity universe, commonly referred to as "smid" cap. The Barclays Capital Global Aggregate Bond Index is designed to be a broad based measure of the global investment-grade, fixed rate, fixed income corporate markets outside the United States. The Barclays Capital US Gov/Credit bond Index measures the performance of U.S. Dollar denominated U.S. Treasuries, government-related and investment grade U.S. corporate securities that have a remaining maturity of greater than one year.

# Contact

*For additional information please contact Jack Moore.*

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